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Hydrogen treated anatase TiO$_2$: a new experimental approach and further insights from theory†

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Hydrogenated TiO$_2$ (H:TiO$_2$) is intensively investigated due to its improvement in solar absorption, but there are major issues related to its structural, optical and electronic properties and therefore an easily compatible method of preparation is much needed. In order to clarify this issue we studied TiO$_2$ nanocrystals under the partial pressure of hydrogen to modify the structural, optical and electrical properties and to significantly improve the photocatalytic and photoelectrochemical performance. The hydrogen treated TiO$_2$ nanocrystals contained paramagnetic Ti$^{3+}$ centers and exhibited a higher visible light absorption cross-section as was confirmed by electron paramagnetic resonance diffuse reflectance spectra measurements and X-ray photoelectron spectroscopy. The hydrogen annealed samples showed a noticeable improvement in photocatalytic activity under visible light (λ > 380 nm) which was demonstrated by the degradation of methylene blue dye and an improved photoelectrochemical response in terms of high photocurrent density. Ab initio simulations of TiO$_2$ were performed in order to elucidate the conditions under which localized Ti$^{3+}$ centres rather than delocalized shallow donor states are created upon the reduction of TiO$_2$. Randomly distributed oxygen vacancies lead to localized deep donor states while the occupation of the oxygen vacancies by atomic hydrogen favours the delocalized shallow donor solution. Furthermore, it was found that localization is stabilized at high defect concentrations and destabilized under external pressures. In those cases where localized Ti$^{3+}$ states are present, the DFT simulations showed a considerable enhancement of the visible light absorption as well as a pronounced broadening of the localized Ti$^{3+}$ energy levels with increasing defect concentration.

1. Introduction

Nanosized titanium dioxide (TiO$_2$) in the anatase phase has been extensively used in solar driven photocatalytic processes for hydrogen production and water decontamination.¹−³ However, its large bandgap (≈3.2 eV) requires light below 388 nm to create an electron–hole pair and limits the overall efficiency. Therefore, in order to increase absorption of TiO$_2$ for natural solar radiation, a variety of techniques have been reported such as metal ion doping,⁴−⁵ anion doping,⁶−⁷ noble metal loading,⁸−⁹ addition of electron donors,¹⁰ metal ion-implantation¹¹ and self-doping that produce Ti$^{3+}$ species¹² etc. At present, anion-doped TiO$_2$ (especially nitrogen doping in TiO$_2$) exhibits the greatest optical response to the visible region, due to its modified electronic transition from the dopant 2p orbitals to the Ti3d orbitals which is responsible for the visible light absorption. However, N-doped TiO$_2$ still has no sufficient absorption in the visible region.⁶

Over the past few years hydrogen induced modification in metal oxide semiconductors has been of substantial interest due to their higher photocatalytic performance. Hydrogen treatment techniques play a key role in tailoring the optical and electronic properties of metal oxide thin films and nanoparticles. Various hydrogen treatment processes such as high pressure hydrogen annealing,¹³,¹⁴ electrochemical hydrogenation¹⁵,¹⁶ and hydrogen plasma¹⁷,¹⁸ for making black metal oxide nanoparticles and thin films have been used to modify the optical, electrical and photocatalytic properties. Different
hydrogen treatment processes lead to different properties of the hydrogen modified TiO2 materials. Chen et al. reported that TiO2 nanocrystals treated in a hydrogen atmosphere under a 20 bar H2 atmosphere for 5 days showed a colour change to black with a reduction in the bandgap energy up to ~1.54 eV.13,14 They have reported that the change in colour is due to the surface disorder of TiO2 nanoparticles. The colour change in the metal oxide due to hydrogen depends upon the hydrogen treatment temperature, the partial pressure and also on the nature of hydrogen species, while in the work of Chen et al.15 the narrowing of the band gap could be traced back to the surface disorder without the formation of Ti3+ centres; other studies12 found strong evidence that lattice defects such as oxygen vacancies can form localized Ti3+ centres within the TiO2 bandgap. Moreover, in the work of Wang et al.17 hydrogen doping created a high density of delocalized Ti3d electrons leading to improved charge transport properties.

Different hydrogen treatment processes lead to different properties of the hydrogen modified TiO2 materials. Hydrogen annealing of TiO2 under high pressure did not show any detectable Ti3+ states, but, produces disordered surface layers over the crystalline TiO2 particles forming a core–shell structure.13 Reduction of TiO2 particles in a H2 atmosphere at 500 °C shows the formation of a crystalline core/amorphous shell (TiO2@TiO2-H2) structure with about 83% absorption of solar light.17 Hydrogen plasma treatment in TiO2 nanotubes has been observed to result in simultaneously incorporated Ti3+ and –OH groups.19 Hydrogen plasma treated ALD grown TiO2 films also show the formation of Ti3+ states.20 Electrochemical H2 doping in TiO2 films also exhibits the presence of Ti3+ and –OH that may lead to additional electronic states in the band gap and shift light absorption to the visible region.21 Different hydroxyl groups present on TiO2 surfaces are supposed to participate in electron trapping in TiO2 and other oxides.21 Reduction of TiO2 and –OH group formation has also been reported in theoretical hybrid density functional (B3LYP) based studies on hydrogen doping in TiO2.18,22

Although, encouraging results on hydrogen treated TiO2 have been obtained,13,14 a detailed understanding of the role of hydrogen species (e.g. atomic, molecular or ionic hydrogen) and an easily scalable process to tailor the required hydrogen and to modify the structural, electronic energy band structure of TiO2 to realize the improvements in photocatalytic properties is of utmost importance.

Therefore, in this work we used 5% H2 in Ar to modify optical absorption by creating defect/disordered states in the TiO2 lattice and studied photocatalytic degradation of methylene blue to be used in photoelectrochemical water splitting applications. This is the new experimental approach to tailor the optical, electrical and photocatalytic properties of TiO2 in a controlled way. The hydrogen treated brownish TiO2 nanoparticles with long-wavelength absorption showed much better performance in photocatalytic decomposition of methylene blue and phenol than pure TiO2 nanocrystals. In our hydrogen treated TiO2 samples, localized Ti3+ centres were identified, which explain the origin of the modified electronic structure. In order to further elucidate the conditions under which localized Ti3+ states rather than delocalized shallow donor states are formed upon hydrogen treatment, ab initio calculations within the density functional theory (DFT) of TiO2 with varying concentrations of oxygen vacancies and oxygen vacancies occupied by atomic hydrogen were performed.

2. Experimental procedures

2.1 Synthesis of TiO2 nanoparticles

Pristine TiO2 nanocrystals were prepared by a wet chemical method using titanium tetra-isopropoxide, ethanol, hydrochloric acid and deionized water as reported earlier.23 In brief, 25 mL deionized water mixed with 1 mL hydrochloric acid was added slowly to 10 mL of titanium tetra-isopropoxide with continuous stirring in an ice bath. A white precipitate solution was obtained, which was filtered and heated in air at 80 °C to evaporate extra water. After evaporation of water, the white precipitate was sintered at 400 °C for 4 h. For the hydrogen treatment under partial pressure, the annealing chamber was pumped down to 2.0 × 10⁻³ Torr and 20 sccm. 5% H2 balanced Ar was introduced into the chamber while maintaining the chamber pressure at 2 × 10⁻⁵ Torr. The annealing temperature was set at 300 °C for 10, 20 and 30 h followed by cooling down for 1 h.

2.2 Materials characterization

Glancing angle X-ray diffraction and Raman analysis were carried out on a Philips’s X’Pert PRO-PW vertical system operating in reflection mode using Cu Kα (λ = 0.15406 nm) radiation and an Invia Raman microscope using a 514 nm argon ion laser pulse for excitation, respectively. To understand the optical behavior, the UV-visible spectra were measured on a Perkin-Elmer Lambda 35 UV visible spectrometer in the wavelength range of 200–800 nm. About 50 mg of TiO2 nanocrystals were pressed into a small sample container to make a flat surface and uniform density in a ‘Praying Mantis’ diffuse reflection accessory. Fourier transform infrared spectroscopy (FTIR) analysis was performed at room temperature using a Nicolet 5700 spectrometer in transmission mode in the wavenumber range of 500–4000 cm⁻¹. The spectroscopic grade KBr pellets were used for collecting the spectra with a resolution of 4 cm⁻¹ performing 32 scans. All the measurements were conducted under ambient conditions in air. TEM (FEI-Technai-G20 with a LaB6 filament, operating at 200 keV) was used to study the size and structural properties of nanoparticles. Proton Nuclear magnetic resonance (1H-NMR) measurements were carried out to investigate the effect of hydrogen treatment on H:TiO2 using a Nuclear Magnetic Resonance Spectrometer (JEOL-JNM-ECA Series [Delta V4.3]-100 MHz;FT-NMR). The EPR spectra were recorded on a Bruker EPR 100d X-band spectrometer for pristine and H:TiO2 samples through the EPR cavity. G values were calibrated using a dil[phenyl]-[2,4,6-trinitrophenyl]liminooazanium (DPPH) sample. The parameters utilized in EPR measurements are as follows: center field, 3512 G; frequency, 9.74 GHz; microwave power, 20 mW. X-ray photoelectron spectroscopy (XPS, Kratos, XSAM 800) was carried out with non-monochromatic Mg (hv = 1253.6 eV) radiation. All binding energies were calibrated by referencing to the C1s peak at 284.6 eV. The deconvolution of the Ti peaks was performed through CASA XPS software.
2.3 Photocatalytic experiments

The photocatalytic performance of pristine and hydrogen treated TiO₂ samples was evaluated by observing their abilities to degrade the methylene blue (MB) dye, which is adopted as a representative organic pollutant in wastewater, in aqueous solution under simulated visible irradiation induced by using a 100 W tungsten lamp equipped with a UV cut-off filter to remove the radiation below 380 nm.

In a typical visible-light photocatalytic experiment, the catalyst (30 mg) was dispersed in a 100 mL aqueous solution of MB (10 μM) and the obtained catalyst suspensions were magnetically stirred at 250 rpm in the dark for 40 min to ensure adsorption/desorption equilibrium between the catalyst and organic dye. The catalyst suspension was subsequently irradiated with a 100 W tungsten lamp. A sufficient dye solution (2 mL) of the suspension was extracted and centrifuged after every 10 min during the course of 100 min irradiation to remove the residual catalyst particulates for analysis. Control experiments were performed either without the catalyst or in the dark to attest that the degradation reaction is solely by a photocatalytic process. The photodegradation efficiency was monitored by measuring the change in intensity of the characteristic absorbance peak of MB at 664 nm using a Shimadzu UV-2450 spectrometer with 10 mm path length quartz cells. The photodegradation efficiency (D) of each catalyst was determined using the following equation:

\[ D(\%) = 100 \times \frac{(C_0 - C)}{C_0} \]  

here \( C \) is the concentration of MB at the time interval \( t \) and \( C_0 \) is the concentration after the adsorption equilibrium is reached before irradiation.

2.4 Photoelectrochemical and electrochemical impedance spectroscopy

For photoelectrochemical and electrochemical impedance spectroscopy (EIS) measurements, thin films from TiO₂ powders were prepared using sol–gel spin coating techniques. These measurements were carried out in a three-electrode photoelectrochemical cell using pristine and H:TiO₂ thin films as the working electrode, Pt as the counter electrode and Ag/AgCl as the reference electrode in a 1 M NaOH electrolyte (pH = 13.6). The photoelectrochemical cell was controlled using a CIMPS-2 (Controlled Intensity Modulated Photospectroscopy) system consisting of a Zennium Electrochemical Workstation (X-Pot Potentiostat). For photoelectrochemical measurements, linear sweep voltammetry scans under dark and illumination were carried out in the potential range of −1.0 to +1.0 V versus Ag/AgCl with a scan rate of 20 mV s⁻¹. The illumination source was a white light source (λ > 380 nm) having an output illumination intensity of 100 mW cm⁻². The electrochemical impedance spectroscopy (EIS) measurements were carried out in the frequency range from 100 kHz to 0.01 Hz with an AC signal amplitude of 10 mV under open bias conditions (i.e. 0.0 V versus Ag/AgCl reference electrode) to obtain the physical parameters such as flat band potential, carrier concentration, carrier conductivity, charge separation, and recombination processes.

2.5 Ab initio simulations

For the ab initio calculations we used the Vienna ab initio simulations package (VASP).²⁴ In VASP the valence electrons are described by using a plane wave basis set and the core electrons are taken into account using the projector-augmented wave formalism.²⁵,²⁶ All simulations were carried out within the PBE + U framework.²⁷ Here, the properties of the strongly correlated d-electrons are corrected by using a Hubbard-like term for the onsite Coulomb interactions. We used a value of 4 eV for the Hubbard parameter U very close to the choice used in previous studies on n-type defects in TiO₂.²⁸⁻³¹ For the energy cut-off that defines the plane wave basis set we used a value of 500 eV. For modelling hydrogen treated anatase TiO₂ with different levels of disorder, we used \( 3 \times 3 \times 1 \) super cells. Reciprocal space was sampled by using a \( 3 \times 3 \times 4 \) grid including the \( Γ \) point and by using a Gaussian smearing with a width of 0.1 eV. Structural relaxations were performed until all forces were smaller than 0.02 eV Å⁻¹. The unit cell vectors were optimized simultaneously with the ion positions until pressures smaller than 1.0 kbar (0.1 GPa) were reached. In those cases where external pressure was applied the unit cell vectors were varied until the target pressures were reached within the same accuracy of at least 1.0 kbar.

In order to calculate optical absorption coefficients we determined the frequency dependent dielectric function of the material by ab initio methods. The wavelength dependent absorption coefficient \( \alpha(\lambda) \) is given by:

\[ \alpha(\lambda) = \frac{2 \pi}{\lambda} \sqrt{2(\varepsilon - \varepsilon_i)} \]  

where \( \varepsilon \) is the complex frequency dependent dielectric function and \( \varepsilon_i \) is its real part.

The imaginary part \( \varepsilon_i \) of the dielectric function was calculated by a summation over matrix element products between the occupied and unoccupied Kohn–Sham eigenstates using the VASP software package as described by Gajdošet et al.³³ The real part of the dielectric function was derived via the Kramers–Kronig relation. Off-diagonal elements of the dielectric function were found to be strongly suppressed and were hence ignored. An average over the diagonal elements of \( \varepsilon \) was used as an input for the calculation of the absorption coefficient in order to take into account the absence of any predominant orientation in polycrystalline materials.

3. Results and discussion

To determine the crystal structure and possible phase changes after hydrogen treatment, X-ray diffraction (XRD) spectra were collected from the pristine and hydrogen treated TiO₂ nanocrystal samples at 300 °C for different times (Fig. 1(a)). All the peaks in the XRD pattern of pristine and H:TiO₂ exhibited feature characteristics of the tetragonal anatase TiO₂ crystallographic phase. Major peaks are observed at 2θ angles of 25.3, 37.84, 48.07, 53.95, and 55.10° with \( d_{hk0} \) of 3.51, 2.37, 1.89, 1.69, and 1.66 Å corresponding to diffraction from planes (101), (044), (200), (105) and (211), respectively. Therefore, the tetragonal anatase phase of TiO₂ has been retained without any
structural transformation even after hydrogen treatment at 300 °C for 30 hours. The annealing of TiO₂ in hydrogen ambient may affect TiO₂ in two ways. It can lead to the formation of TiH₂ (ref. 34) or the hydrogen would react with the oxygen in TiO₂ leading to the formation of lattice defects.³⁵ Since XRD data did not indicate any peak corresponding to TiH₂, the reduction of TiO₂ resulting in the formation of lattice defects such as oxygen vacancies is more likely. Both TiO₂ and H:TiO₂ samples show similar diffraction patterns (Fig. 1(a)). The slightly weaker XRD diffraction peak intensity in H:TiO₂ indicates that the crystallinity of H:TiO₂ upon hydrogen treatment is decreased due to defect generation and/or some degree of surface disorder.

To further study any change in the phase structure after hydrogen treatment, Raman spectroscopy was performed on pristine and H:TiO₂ as shown in Fig. 1(b). Well-resolved TiO₂ Raman peaks were observed at 144 cm⁻¹ (B₁g), 398 cm⁻¹ (B₁g), 515 cm⁻¹ (E₁g), and 640 cm⁻¹ (E₁g) in the spectra of all samples, indicating that anatase nanoparticles are the predominant species. Only the peak expected at 147 cm⁻¹ (E₁g) was not visible, which may be suppressed by a much stronger TiO₂ peak at 144 cm⁻¹ (B₁g) line.³⁶ No major effects of hydrogen treatment with time were observed on the position of peaks in Raman spectra, i.e., the anatase structure is retained after hydrogen treatment. However, peak broadening in the H:TiO₂ samples was observed in all the peaks in comparison to pristine. Such peak broadening in the Raman spectrum is assigned to structural changes in H:TiO₂ indicating the formation of oxygen vacancies and other defects in the lattice.

Fig. 2 shows the diffuse reflectance spectra (DRS) of pristine and hydrogen treated black TiO₂ nanocrystals. A steep increase in absorption at wavelengths shorter than 375 nm can be attributed to the intrinsic band gap of crystalline anatase TiO₂. H:TiO₂ samples with different durations of treatment show a significant shift of absorption edge towards higher wavelength sliding to visible light absorption. Especially for the H:TiO₂ sample treated in hydrogen for 30 h, the increase in absorption is observed in the wavelength range of 375–675 nm. The increased absorption is much higher as compared to other modification techniques used for TiO₂ layers. The calculated values of the band gap energy from diffusive reflectance (inset (a) of Fig. 2) show that the band gap of the pristine TiO₂ nanocrystals is approximately 3.30 eV, slightly higher than that of bulk anatase TiO₂. The onset of light absorption for the hydrogen treated brownish TiO₂ nanocrystals was lowered to about 2.59 eV. A digital photograph of pristine and hydrogen treated TiO₂ nanocrystals is shown in the inset of Fig. 2(b) and (c), clearly showing the drastic change in the optical properties upon hydrogen treatment.

In order to investigate the changes in the microstructure upon hydrogen treatment, high-resolution transmission electron microscopy (HR-TEM) studies were carried out on the pristine and hydrogen treated brown TiO₂ nanocrystals as shown in Fig. 3. The pristine TiO₂ nanocrystal is completely crystalline, displaying clearly resolved and well-defined lattice fringes, terminating quite sharply at the surface of the nanocrystals (Fig. 3(a)). However, HR-TEM images of hydrogen treated black H:TiO₂ nanoparticles exhibit a disordered amorphous shell layer structure around the crystalline TiO₂ core resulting in crystalline core/amorphous shell (TiO₂@H:TiO₂) nanostructures as shown in Fig. 3(b). The average size of individual nanoparticles is approximately 15 nm in diameter. The disordered layer on the surface of H:TiO₂ is ≈1–2 nm in
thickness coated on a crystalline core after the hydrogen treatment for 30 h. From these values we can estimate that the fraction of the disordered material is between 15 and 25% of the total volume.

More insights into chemical changes caused by the hydrogen treatment on TiO2 were obtained by Fourier transform infrared (FT-IR) spectroscopic measurements. The results are shown in Fig. 4(a). FT-IR spectra of both pristine and hydrogen treated samples show similar absorption features from 500 cm⁻¹ to 4000 cm⁻¹ and exhibit OH absorption bands near the 1635 cm⁻¹ and 3400 cm⁻¹ region. The characteristic feature of the spectrum symmetric stretching vibrations of the Ti-O bonds is the presence of a band in the region of 400–800 cm⁻¹ which is due to the TiO₆ octahedra. After hydrogen treatment, the intensity of OH absorption bands is decreased near the 3400 cm⁻¹ which suggests that the hydrogen treatment prevents the passivation of more O dangling bonds as this would otherwise increase the absorption. The wider OH absorption band in H:TiO₂ indicates that the H:TiO₂ surface provides the more diverse environment for the absorption of OH bands than the pristine TiO₂.

We further examined TiO₂ and H:TiO₂ by ¹H NMR measurements to study the role of hydrogen treatment under partial pressure conditions. Fig. 4(b) shows the ¹H NMR data of pristine and H:TiO₂ nanocrystals with broad peaks at chemical shifts of 3.74 and 3.87 ppm, respectively. The ¹H NMR spectra of H:TiO₂ samples show a large line width which may be due to the incorporation of H at bridging sites at the disordered surface produced during the hydrogen treatment under partial pressure, or may be due to the bridging sites located on different crystallographic planes on the surface. ²⁷,²⁸ Additionally, pristine TiO₂ shows two narrow peaks at chemical shifts of 0.22 ppm and −0.77 ppm. However, the hydrogen treated H:TiO₂ sample shows a pronounced additional peak at a chemical shift of −1.07 ppm. This new peak at δ = −1.07 ppm in H:TiO₂ is probably due to the presence of weakly bound hydrogen atoms as a result of the hydrogen treatment process and may provide an explanation for the enhanced hydrogen mobility in black TiO₂. ²⁷ Considering the theoretical studies, we may conclude that the weakly bound hydrogen atoms are frequently absorbed by oxygen vacancies in H:TiO₂.²⁹⁻⁴¹

Electron paramagnetic resonance (EPR) spectroscopy was used to determine the presence of unpaired electron spins corresponding to localized Ti³⁺ centers. To provide a clear picture of the existence of Ti³⁺ in hydrogen treated H:TiO₂, we performed the EPR measurement on TiO₂ treated in 5% H₂ mixed Ar at 300 °C for 30 hours. As shown in Fig. 5, the pristine TiO₂ possesses a very weak resonance signal at a g-value of 2.08 which results from surface adsorbed O₂⁻ from air.⁴² This confirms the existence of Ti³⁺ in the sample treated with hydrogen for 30 h, a strong EPR signal was observed with a g value of 1.95, which is a characteristic of paramagnetic Ti³⁺ centers.⁴³ The formation of Ti³⁺ centers is frequently explained by the generation of oxygen vacancies during the reduction of TiO₂ by hydrogen treatment. The strong EPR signal in H:TiO₂ confirms that the vacuum hydrogen treated TiO₂ nanocrystal sample contains a large concentration of Ti³⁺ and oxygen vacancies.

XPS measurements were carried out on both pristine and hydrogen treated TiO₂ nanoparticles to understand the surface chemical bonds. In order to understand the chemical composition, peak position and hence the electronic properties, the Ti2pₓᵧ core level spectra were fitted with a mixture of Gaussian and Lorentzian line shapes, where Gaussian takes care of instrumental broadening and Lorentzian for the core hole life time with a Shirley background. Fig. 6(a) and (b) show a peak at
a binding energy of $459 \pm 0.1$ eV which is attributed to Ti$^{3+}$ states for both TiO$_2$ and hydrogen treated TiO$_2$. However, for hydrogen treated TiO$_2$ a new peak is observed at a binding energy of $456.2 \pm 0.1$ eV which is attributed to Ti$^{3+}$ as shown in Fig. 6(b), indicating a different bonding environment which is due to hydrogen treatment that creates disorder in the lattice, resulting in the near surface Ti$^{3+}$. Fig. 6(c) and (d) represent the O1s spectra of TiO$_2$ systems. The peak at a binding energy of $530.4 \pm 0.1$ eV corresponds to O–Ti bonds in titanium dioxide. The second component is broader at a binding energy of $531.6 \pm 0.1$ eV due to Ti–OH bonds. The reduction in the hydroxyl component could be due to the combination of desorption of hydroxyl groups and the influence of hydrogen treatment.

It has been further proposed by experimental and theoretical studies that atomic hydrogen gets preferably absorbed at the oxygen vacancies in TiO$_2$. In the work of Wang et al., it was concluded that all oxygen vacancies get occupied by atomic hydrogen leading to the conversion of stoichiometric TiO$_2$ to the composition TiO$_2$–xH$_x$. This finding was rationalized by the absence of any localized Ti$^{3+}$ centers which are typically formed near oxygen vacancies. This reasoning is supported by our simulations as will be discussed later on. Since we do find a strong EPR signal of localized Ti$^{3+}$ states in our samples and as the samples were synthesized under rather mild hydrogen conditions, it can be concluded that the majority of
oxygen vacancies are not occupied by atomic hydrogen, at least in our case. As previous experimental studies\textsuperscript{14,43,47} and our simulation results discussed below show, a high concentration of oxygen vacancies and Ti\textsuperscript{3+} centers introduces occupied mid-gap states, which substantially narrow the electronic band gap of TiO\textsubscript{2}. Photoexcitation of these states correspondingly leads to a narrow optical band gap in agreement with our DRS measurements (Fig. 2) and potentially to enhanced visible-light photocatalytic activity.

The experimental results clearly indicate the relevance of enhanced visible light absorption which is one of the main reasons for improving the photoelectrochemical performance of TiO\textsubscript{2}. It is important to understand the crucial role of hydrogen treatment in forming localized electronic mid-gap states in the form of Ti\textsuperscript{3+} centers as experimentally observed in this work. In different studies oxygen vacancies (pristine V\textsubscript{O} or occupied by atomic hydrogen H\textsubscript{O}) have been investigated as prototypical defects associated with the reduction of TiO\textsubscript{2} by hydrogen in order to elucidate the modification of the electronic structure and optical absorption properties. In many cases,\textsuperscript{47,48} the blackening of hydrogen treated TiO\textsubscript{2} is traced back to the formation of localized Ti\textsuperscript{3+} states in the TiO\textsubscript{2} band gap enabling the absorption of photons from the visible light spectrum. However, hydrogen treatment of TiO\textsubscript{2} can also lead to the generation of a high density of occupied delocalized electronic states which act as shallow electron donors and hence lead to a high concentration of mobile charge carriers.\textsuperscript{17}

In order to elucidate the conditions which favor the generation of localized or delocalized electrons after the reduction of TiO\textsubscript{2} we studied in this work the energetics of localized and delocalized states induced by different defect types, in terms of defect concentration and external pressure. We have used anatase supercells of the composition Ti\textsubscript{36}O\textsubscript{72} which are generated by a 3 × 3 × 1 repetition of the tetragonal anatase unit cell. The dimensions of the optimized defect free supercell are 1.17 × 1.17 × 0.97 nm\textsuperscript{3}. Different supercell compositions for oxygen vacancies Ti\textsubscript{36}O\textsubscript{72–x} [V\textsubscript{O} defects], and hydrogen saturated oxygen vacancies Ti\textsubscript{36}O\textsubscript{72–x}H\textsubscript{x} (H\textsubscript{O} defects), x = 0, 1, 6, 10, were considered. These correspond to defect concentrations of 0, 1.4, 8.3 and 13.9% with respect to the number of oxygen atoms. The corresponding structures for the case of V\textsubscript{O} defects are shown in Fig. 7. The disorder in the material was modeled by placing the lattice defects on random sites in the super cell. The positions of the impurities were chosen such that defects on adjacent sites are avoided since these configurations are energetically disfavored.

We start the discussion of the ab initio calculations with the results concerning the conditions that favor or disfavor the generation of localized Ti\textsuperscript{3+} centers or delocalized shallow donor states due to V\textsubscript{O} and H\textsubscript{O} defects. As a measure for the stability of the localized Ti\textsuperscript{3+} states we calculated the localization energy $E_{\text{loc}} = E_{\text{deloc}} - E_{\text{loc}}$ for varying concentrations of V\textsubscript{O} and H\textsubscript{O} defects and varying external pressure. Here $E_{\text{deloc}}$ and $E_{\text{loc}}$ are the ground state energies of the defective TiO\textsubscript{2} unit cells with delocalized and localized Ti\textsuperscript{3+} states, respectively, and #(defects) is the number of defects per unit cell. Hence, positive values of $E_{\text{loc}}$ indicate that localization is more favorable than delocalization. We find that the localized states tend to be more stable at higher defect concentrations for both defect types (Fig. 8(a)). At higher concentrations of V\textsubscript{O} and H\textsubscript{O} defects similar localization energies in the range from about 0.2 to 0.45 eV are obtained in both cases, indicating the formation of localized Ti\textsuperscript{3+} centers. However, at the lowest considered defect concentration, corresponding to a single defect in the 3 × 3 × 1 supercell, the behavior of the two defect types deviates substantially. Here, the delocalized solution is slightly favored in the case of the H\textsubscript{O} defect by 0.03 eV, while the V\textsubscript{O} defect leads to a localized solution with $E_{\text{loc}} = 0.22$ eV. The latter value is in reasonable agreement with hybrid functional calculations\textsuperscript{32} where $E_{\text{loc}} = 0.17$ eV was found. The formation of a delocalized solution in the case of a low concentration of H\textsubscript{O} defects is in good agreement with the experimental work of Wang et al.\textsuperscript{17} who assumed that the substitution of oxygen by hydrogen leads to the observed high densities of delocalized mobile charge carriers. Selected HSE06 hybrid functional calculations confirmed the delocalized ground state of the electron donated by the H\textsubscript{O} defect at the lowest considered defect concentration of 1.4% and the full localization of the additional electrons at 8.3% defect concentration (see ESI for details†). The external isotropic pressure was considered as a further parameter that might determine the localization energy for single V\textsubscript{O} and H\textsubscript{O} defects in the 3 × 3 × 1 supercell. Here our ab initio calculations predict the transition from localized mid-gap states to delocalized shallow donor-states with increasing external pressure for both defect types (Fig. 8(b)). The sensitivity of the localization energy to pressure is more pronounced in the case of the V\textsubscript{O} defects. While the transition takes place at negative (expansive) pressure for the H\textsubscript{O} defects, compressive pressures larger than 5 GPa are needed to enforce delocalization in the case of V\textsubscript{O} defects. The origin of the pressure dependence of the
Localization energy can be traced back to the lattice distortion of the localized Ti$^{3+}$ centers which is easier to be accommodated in an expanded lattice. Structural analysis shows that the lattice distortions are locally confined near the Ti$^{3+}$ centers and can hence be considered as small polarons.

In the next step the electronic structure modification of TiO$_2$ depending on the concentration of V$_O$ and H$_O$ defects is investigated. The presence of localized Ti$^{3+}$ centers can be associated with the formation of occupied mid gap states in the density of states (DoS). As shown in Fig. 9(a), at low concentrations of oxygen vacancies of about 1%, we find two mid gap states, 0.74 eV and 1.11 eV, below the conduction band minimum (CBM), which are formed by two localized Ti$^{3+}$ centers in the vicinity of the respective oxygen vacancies. These are shown in Fig. 7 as grey spheres and their effect on the density of states is in good agreement with previous ab initio studies on single oxygen vacancies. As discussed above, at the lowest considered concentration of H$_O$ defects the delocalized solution is favored such that in this case no midgap state is present in the DoS (Fig. 9(b)). At higher defect concentrations occupied midgap states are present for both defect types and the respective midgap state spectra broaden and shift down towards the valence band maximum, although the broadening of the spectrum is considerably more pronounced for the V$_O$ defects. In order to facilitate the comparison, the DoS of the different defect concentrations in Fig. 9(a) and (b) were aligned with respect to the center of the O2s band which is only weakly affected by the presence of V$_O$ and H$_O$ defects, see ESI Fig. S2.

We obtain qualitatively similar results employing the HSE06 hybrid functional as shown in Fig. S1 of the ESI. Both methods predict the double peak in the midgap region for a single V$_O$ defect and the considerably broadened and down shifted spectrum of defect states at higher V$_O$ concentrations. In the case of the H$_O$ defects, in both methods no midgap state is formed at low defect concentrations while at higher defect concentrations a relatively narrow spectrum of defect states forms below the CBM. Quantitatively, the main differences between the two approaches are the deviating predictions for

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**Fig. 8** Localization energy $E_{loc}$ per defect for the excess charge carriers induced by V$_O$ and H$_O$ defects as a function of (a) defect concentrations and (b) external pressure for a single defect. Positive values of $E_{loc}$ mean that the localized Ti$^{3+}$ centers are more stable than the delocalized shallow donor states. For the H$_O$ defects localized solutions could not be stabilized in the ab initio simulations at pressures >5 GPa.

**Fig. 9** Density of states (DoS) of anatase TiO$_2$ for different concentrations of oxygen vacancies V$_O$ in (a) and substitutional H$_O$ defects in (b). The band gap areas are enlarged and shown as insets. Shown are the densities of states for 0, 1, 6 and 10 defects in the $3 \times 3 \times 1$ supercell. This corresponds to defect concentrations of 0%, 1.4%, 8.3% and 13.9% with respect to the number of oxygen atoms. The highest occupied state of pristine TiO$_2$ sets the zero energy. Occupied energy levels are indicated by the grey shading.
the electronic band gap of pristine TiO$_2$ of 2.55 eV (PBE + $U$) and 3.77 eV (HSE06). Hence the PBE + $U$ formalism underestimates, while the HSE06 functional overestimates the experimental value of 3.4 eV.$^{22}$

Finally, we investigated the effect of V$_{O}$ and H$_{O}$ defects on the optical absorption below the absorption edge of pristine TiO$_2$ and the influence of the defect concentration. In Fig. 10(a), we show the wavelength dependent optical absorption coefficient for the V$_{O}$ defects. Already at a low defect concentration of 1.4%, the absorption of photons with energies below the band gap energy of pristine TiO$_2$ is observed. With increasing defect concentration the absorption increases further and up to the investigated defect concentration of 13.9%, no saturation in the visible light absorption coefficient is seen, indicating that the degree of disorder is the crucial quantity that leads to the experimentally observed high photoabsorption. Consistent with the experimentally obtained DRS measurements a declining photoabsorption with increasing wavelength is observed. We note, however, that the simulated values are red-shifted with respect to the experimental data due to the underestimation of the electronic band gap. The photoabsorption spectrum of different H$_{O}$ defect concentrations is shown in Fig. 10(b). Here, no considerable photoabsorption in the band gap region of pristine TiO$_2$ is observed at a low defect concentration of 1.4% in accordance with the absence of a localized midgap state. At the higher defect concentrations photoabsorption in the visible light range sets in and again an increasing defect concentration leads to higher absorption coefficients. In contrast to the V$_{O}$ defects and the experimental data the absorption coefficient decays much slower with increasing wavelength. This suggests that the hydrogen treated samples considered in this work mainly contain oxygen vacancies. This does not exclude their partial occupancy by substitutional hydrogen.

3.1 Photocatalytic and photoelectrochemical activity

The photocatalytic activity of all four samples was evaluated by measuring the time-dependent degradation of methylene blue (MB) under visible-light irradiation (Fig. 11(a)). From Fig. 11(a), we can see that the pristine TiO$_2$ sample shows poor photocatalytic activity in the visible-light. The degradation rate
constant $k$ of pristine TiO$_2$ was $\sim 5.1 \times 10^{-6}$ min$^{-1}$. This small value is attributed to the large band gap energy of TiO$_2$. For the H:TiO$_2$ samples, the photodegradation activity increases with increasing the hydrogen treatment time as expected from the enhanced visible light absorption. The photodegradation rate constant $k$ reaches the maximum $\sim 9.9 \times 10^{-3}$ min$^{-1}$ for the 30 h hydrogen treated sample. This value is approximately three orders of magnitude higher than that of pristine TiO$_2$ under visible-light irradiation. Further, we have also investigated the photocatalytic degradation efficiency of pure and hydrogen treated TiO$_2$ for colorless pollutants such as phenol. The phenol degradation efficiency with different photocatalysts as a function of visible light irradiation time is given in ESI Fig. S3.$^\dagger$ To check the long stability of the defect generated in H:TiO$_2$ by hydrogen treatment when stored under ambient conditions, we used H:TiO$_2$ samples to re-examine the structural, optical and electrical properties and also used them for photocatalytic and photoelectrochemical application tests. We found that H:TiO$_2$ samples still exhibit the presence of Ti$^{3+}$ and the enhanced photocatalytic activity for phenol degradation (Fig. S3 of the ESI$^\dagger$).

To determine the photoelectrochemical activity for solar hydrogen production through water splitting, thin films of pristine TiO$_2$ and H:TiO$_2$ powders were prepared using the spin coating technique on ITO substrates and converted into electrodes. The photoelectrochemical measurements were performed under visible light illumination ($\lambda = 380$ nm) with an output intensity of 100 mW cm$^{-2}$ through a tungsten lamp. The enhanced visible light photoactivity of H:TiO$_2$ was examined by measuring the linear sweep voltammetry scans under dark and illumination in the potential range of $-0.5 \text{ to } +1.0 \text{ V} \text{ vs. } \text{Ag/AgCl}$ with a scan rate of 20 mV s$^{-1}$. Fig. 12(a) shows the photocurrent density vs. applied potential (V vs. Ag/AgCl) curves for pristine and hydrogen treated TiO$_2$ samples collected in 1 M NaOH solution. In the case of pristine TiO$_2$ a very small photocurrent was obtained which is $\sim 35$ $\mu$A cm$^{-2}$ (at 1.0 V vs. Ag/AgCl). However, the H:TiO$_2$ samples show a large improvement in the photocurrent density ($\sim 0.56$ mA cm$^{-2}$ at 1.0 V vs. Ag/AgCl) under the same experimental conditions.

To determine the intrinsic electrical properties of pristine TiO$_2$ and H:TiO$_2$ samples, thin films of TiO$_2$ were used as working electrodes for the Mott–Schottky $(1/C^2$ versus $V_{app})$ measurements. Mott–Schottky measurements were carried out at 1 kHz AC signal frequency in 1 M NaOH solution under dark conditions to calculate the donor density ($N_D$) and flat band potential ($V_{FB}$) by using the Mott–Schottky equation.$^4$ Fig. 12(b) shows the Mott–Schottky plots for pristine and hydrogen treated TiO$_2$ samples. The positive slope of Mott–Schottky curves in all the samples indicated the n-type conductivity of the TiO$_2$ thin films. The donor density was estimated from the slopes of the Mott–Schottky curve using the equation, 

$$N_D = \frac{2}{\varepsilon r o} \left[ \frac{d(1/C^2)}{dV} \right]^{-1}.\,$$

The calculated value of $N_D$, as estimated from the slopes of the Mott–Schottky curve, was found to increase with hydrogen incorporation. The hydrogen treated H:TiO$_2$ films exhibited significantly higher donor density, $5.5 \times 10^{17}$ cm$^{-3}$, compared to the pristine TiO$_2$ ($7.2 \times 10^{16}$ cm$^{-3}$) sample even though the phase structure of the material did not show any major changes. The enhanced donor density can be attributed to excess electrons provided by lattice defects such as oxygen vacancies ($V_{O}$) or oxygen vacancies that are occupied by atomic hydrogen ($H_O$). Our simulation shows that delocalized charge carriers can rather be expected in the bulk region of the nanocrystals, where the defect concentration should be considerably lower. The observed negative shift of flat band potential could be due to the substantially increased electron density, which is expected to shift the Fermi level of TiO$_2$ towards the conduction band.

Further, electrochemical impedance spectroscopic (EIS) measurements have been performed under visible light illumination to understand the effect of hydrogen treatment of TiO$_2$ on charge separation and recombination processes. EIS Nyquist plots of the pristine and H:TiO$_2$ samples are shown in Fig. 13. The EIS results show that only one semicircle was observed for each sample and thus can be fitted with the

![Fig. 12](image-url)  

**Fig. 12.** (a) Photocurrent density vs. applied potential (V vs. Ag/AgCl) curves (b) Mott–Schottky plots for pristine and hydrogen treated TiO$_2$ samples collected in 1 M NaOH solution.
H:TiO₂ is likely due to the formation of midgap states induced by oxygen vacancies and Ti³⁺ states. Additionally, these modifications in electronic properties induced a higher charge carrier concentration and a more negative flat band potential as compared to pristine TiO₂.

The experimental studies were substantiated by ab initio calculations in order to gain a deeper understanding of the origin of enhanced visible light absorption due to Ti³⁺ centers and the conditions that favor the formation of localized or delocalized excess electrons due to oxygen vacancies. It was shown that with higher concentrations of V₀ and H₂O defects the spectrum of the induced midgap states broadens considerably and moves energetically downward towards the VBM. At the same time the visible light absorption increases and localization of the Ti³⁺ states becomes more favorable. Substitutional H₂ defects at low concentrations were identified as candidates to provide delocalized charge carriers in TiO₂. This study provides a detailed overall picture covering experimental and theoretical aspects of the effect of hydrogen treatment on the structural, optical and electronic properties of the TiO₂ matrix and resulting improvements in the photoelectrochemical properties.

Author contributions

A. P. Singh and S. Basu conceived the idea, designed the experiments and worked out the overall structure of the manuscript. M. Mehta and N. Kodan prepared and characterized the samples and analyzed the data for the experimental part of the manuscript. S. Kumar performed the catalytic measurements and was involved in writing the particular section of the manuscript. A. Kaushal performed the HRTEM and analyzed the data. A. Dey and S. Krishnamurthy performed the XPS measurements and the XPS peak fitting and were involved in writing the particular section of the manuscript. L. Mayrhofer performed the DFT calculations and was involved in writing the manuscript. M. Walter was involved in writing the revision of the manuscript and in discussing the DFT results. M. Moseler gave advice on the setup and analysis of the DFT calculations and was involved in the interpretation and discussion of the DFT results. The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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