The Psychological Impact of Austerity: A Briefing Paper

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Executive Summary

This report directly links cuts to public services with mental health problems. Well-established psychological research that explains these links already exists. However, this knowledge has been missing from the debate on austerity so far.

Psychologists are often in a position to see the effects that social and economic changes have on people. We also occupy a relatively powerful position as professionals and therefore have an ethical responsibility to speak out about these effects.

Key conclusions

Austerity policies have damaging psychological costs. Mental health problems are being created in the present, and further problems are being stored for the future. We have identified five ‘Austerity Ailments’. These are specific ways in which austerity policies impact on mental health:

1. Humiliation and shame
2. Fear and distrust
3. Instability and insecurity
4. Isolation and loneliness
5. Being trapped and powerless

These experiences have been shown to increase mental health problems. Prolonged humiliation following a severe loss trebles the chance of being diagnosed with clinical depression. Job insecurity is as damaging for mental health as unemployment. Feeling trapped over the long term nearly trebles the chances of being diagnosed with anxiety and depression. Low levels of trust increase the chance of being diagnosed with depression by nearly 50 per cent.

These five ‘ailments’ are indicators of problems in society, of poisonous public policy, weakness of social cohesion and inequalities in power and wealth. We also know what kind of society promotes good health. Key markers are that societies are equal, participatory and cohesive. Some important indicators of a psychologically healthy society are:

1. Agency
2. Security
3. Connection
4. Meaning
5. Trust

Mental health is not just an individual issue. To create resilience and promote wellbeing, we need to look at the entirety of the social and economic conditions in which people live.

Recommendations

- Social policy should work towards a more equitable and participatory society, to facilitate individual wellbeing, resilient places and strong communities.
- It is crucial that policy makers and service developers consider the psychological impacts of current and future policies.
- Creating the conditions for wellbeing and resilience directly helps to prevent distress in the short and long term, both saving resources and reducing suffering.
We argue that recent cuts are both avoidable and immoral. As psychologists we are often in a position to see the effects that societal and economic conditions have on people. Psychologists also occupy a relatively powerful position as professionals with access to resources like theory and research and therefore have an ethical responsibility to speak about these effects. Indeed, according to the British Psychological Society (BPS) code of ethics, part of the standard for competence is sensitivity to developments in our social and political context[2].

It is imperative to take into account the psychological costs of austerity for individuals and communities. Psychological impacts of recent austerity policies have been little discussed in media and policy debates, yet there is clear and robust research linking recent austerity policies with damaging psychological outcomes. Work at an epidemiological level on social determinants of health like the Marmot Review[3] and The Spirit Level[4] shows robust evidence for the effects of social inequality on health, including emotional wellbeing. Mental health problems are associated with markers of low income and social economic status in all the developed nations, no matter which indicator is used[5]. There are indications of higher levels of mental health problems following austerity, with a rise in antidepressant prescriptions[6] and GPs reporting increasing numbers of mental health appointments[7] and a rise in male suicides[8]. Since the financial crisis, suicides have increased in European countries that have adopted austerity policies (UK, Greece, Spain and Portugal) but not in those who have protected their welfare state (Iceland and Germany)[9, 10].

In this paper, we assume that the emotional wellbeing of societies and individuals is determined by multiple factors that interact with one another[11]. These include economic, societal, familial, psychological and biological influences. We use the terms ‘emotional wellbeing’, ‘distress’ and ‘mental health problems’ rather than ‘mental illness’. This is because there is disagreement about whether emotional difficulties are best understood as a product of individual pathology or a consequence of toxic environments and difficult life experiences.

We use diagnostic terminology as a proxy for a wide range of experiences of distress, which are biographically unique. As psychologists, we believe that the diagnostic and medical understanding of ‘mental illness’ often neglects socio-economic context. As Lynne Friedli says, “Mental health is produced socially: the presence or absence of mental health is above all a social indicator and therefore requires social, as well as individual solutions”[12].

Psychological research provides evidence for some of the wide range of pathways by which increasing social inequality and austerity increase emotional distress. In this paper, we will outline well-established pathways to short- and long-term psychological damage from austerity policies; we have called these ‘austerity ailments’. They are:

- Humiliation and shame
- Fear and distrust
- Instability and insecurity
- Isolation and loneliness
- Being trapped and powerless

These five ‘ailments’ are indicators of problems in society, of poisonous public policy, weakness of social cohesion and inequalities in power and wealth. However, there are also well-established psychological outcomes of living in a healthy, well-balanced society and economy, which we will explore. These are:

- Agency
- Security
- Connection
- Meaning
- Trust

To provide some indications of the best ways to produce these outcomes, we will end with some recommendations for services, communities and policy makers.

**Ailment One: Humiliation and Shame**

Austerity has increased poverty; austerity policies have hit the poorest hardest[13], increasing levels of poverty in families on the lowest incomes[14]. Households living below minimum income standard have increased by a third since 2008. The majority of this increase is since 2010, when austerity policies began, and families with children are the worst affected group[15]. Experiences of both shame and humiliation are endemic in poverty[16], due to the low status assigned to people on low incomes and rhetoric that blames poor people for their own need. Humiliation has also been highlighted as a central experience for those affected by the changes to disability benefits[17]. Both shame and humiliation are social emotions. Humiliation arises when people are made to feel that they are lesser in status or worth, while shame occurs when people are made to feel that they have violated a social or moral standard[18].
These feelings have been compounded by the punitive benefits rhetoric used to drive through austerity policies, which has promoted the idea that those who use welfare benefits are worth less (‘shirkers’) than those who work (‘strivers’) [19].

The costs to mental health

Shame has been described as “the bedrock of psychopathology” [20] meaning that it is central to many forms of emotional distress. Shame is associated with experiences of depression [21][22], specifically when combined with a feeling of a lack of community [23]. Shame is also central to many distressed responses to abuse [24]. Experiences of humiliation are also known to be a key cause of depressed experiences [25]. Prolonged humiliation following a severe loss trebles the chance of being diagnosed with clinical depression [26]. Particularly at risk, therefore, are people who face sudden changes to their circumstances which place them in poverty, such as a job loss or benefit cuts.

Case study: Food banks

The growth of food banks has been a high-profile feature of austerity. Reliance on food banks has increased 22-fold since the beginning of austerity policies in 2010, according to the Trussell Trust, which served nearly 1 million people in 2013/14[27]. Shame has been identified as the most common emotion reported by users of food banks [28]. In a US study, 84 per cent of visitors to food banks described feeling humiliated by the experience, while 43 per cent hid their use of food banks from their children [29]. This is one example of how the public exposure of being in poverty leads to shame and humiliation. The most common reason for using a food bank in the UK is problems with the benefits system [30], including delays and benefit changes. This directly links austerity policies to the growth in food bank use.

Ailment Two: Fear and Distrust

Austerity has relied on a politics of fear and distrust to drive through policies that hit the most vulnerable the hardest. Fear occurs in situations of danger, whether physical or emotional, while distrust is a response to unreliable or damaging social relationships. Both imagine a negative future [31]. Blaming people for misfortune, disability or poverty and promoting the idea that people who receive state help are untrustworthy directly promotes distrust in society. In addition, people living in communities that have fewer resources and higher levels of disorder and disorganisation have higher levels of distrust [32], and austerity policies have been shown to have hit such deprived areas hardest [33].

The costs to mental health

Fear and distrust are central to many mental health problems. Life events which are rated as dangerous are known to cause experiences of serious anxiety [34]. Societies that are less trusting also tend to be less equal and have higher levels of mental health diagnoses [35]. High levels of distrust are associated with an 80 per cent increase in overall reported poor health [36]. Low levels of trust also increase the chance of being diagnosed with depression by nearly 50 per cent [37]. People who live in neighbourhoods that have high levels of distrust also have increased levels of all mental health problems, particularly psychosis [38]. Loss of trust in the world and others is also known to be a precursor to suicide [39]. Policies that increase distrust within and between communities are therefore poisonous to both community cohesion and individual mental health.

Case study: Benefits claimants

Austerity policies targeted benefit claimants, using the vilification of benefit claiming and beliefs about the level of benefit cheating. The DWP has been reprimanded by both the UK Statistics Authority and the parliamentary committee for Work and Pensions on the misleading and ideological use of statistics to promote negative views about benefit claimants, including disabled people [40]. This is a deliberate strategy to undermine popular support for the principle of social security; over the past 30 years, there has been a 20 per cent reduction in people who think that the unemployed are deserving of the support they receive [41], and people are more likely to think that benefit claimants are lazy and do not deserve help [42]. Media reports are also more likely to contain language that implies that benefit claimants are undeserving of help or have lacked effort to help themselves [43]. In addition, 30 per cent of media stories discussing benefits focus on fraud, despite the fact that the fraud rate is only 0.5–3 per cent [44]. This feeds the finding that the public overestimate benefit fraud by a factor of 34 [45], and 14 per cent of people believe a majority of claims are fraudulent [46]. This kind of rhetoric fosters distrust within and between communities by promoting the idea that people who receive state help are duplicitous and undeserving.

Ailment Three: Instability and Insecurity

Austerity has increased insecurity in both work and welfare benefit payments; instability has become an intrinsic part of many people’s experiences. Work is no longer a guarantee of stability. Half of the people in poverty in the UK, over 6 million people, are now in working households [47]. This period of austerity has led to poor people in work outnumbering poor people out of work for the first time [48]. An increasingly precarious workforce finds itself moving back and forth between insecure work and insecure benefits, with sanctions underpinning an increasingly punitive system. The number of financial penalties (‘sanctions’) imposed on benefit claimants by the Department of Work and Pensions now exceeds the number of fines imposed by the courts [49].
The costs to mental health

Insecurity, both personal and material, is known to be central to mental distress[50]. It is well established that job insecurity leads to poor mental health outcomes[51][52][53], independently of income or occupation level[54], and is as detrimental to mental health as unemployment[55]. Insecurity at a community level has also been found to feed into individual distress, in particular a feeling that authorities are unreliable or cannot be trusted to look after the interests of an area[56].

Case study: Zero-hours contracts

Jobs are increasingly insecure. In December 2014 697,000 people were employed on zero-hours contracts, comprising a job with no guarantee of work or pay[57]. This number has increased fourfold since the beginning of austerity in 2010[58]. The most recent estimate is that 1.8 million people in Britain are on contracts without guaranteed hours[59]. It is also estimated that 22 per cent of UK workers earn less than the living wage, up from 20 per cent in 2012[60]. Robust research has established that job insecurity has damaging effects on both individual employees and organisations[61]. The more insecure the job, the higher levels of mental distress and physical health complaints found in employees[62]. Job insecurity leads to higher levels of strain, worsened job performance and increased sickness[63]. In addition, jobs that are characterised by low status and high levels of strain, along with insecurity, are as damaging to mental and physical health as unemployment[64].

Case study: Housing

Punitive austerity policies combined with an out-of-control housing market have led to people being uprooted from their homes. The BBC suggests that around 30,000 people have been forced to move following the implementation of the bedroom tax[65]. Since 2010, there has been an estimated 37 per cent increase in rough sleeping in England[66]. The number being made homeless following a private tenancy has also doubled over the same period, indicating severe insecurity in the private rental sector[67]. It is well known that people on low incomes tend to have smaller, denser and more localised support networks[68]. Being forced to move from established communities, therefore, is likely to be particularly problematic and a risk to mental wellbeing.

Ailment Four: Isolation and Loneliness

Austerity has hit local government very hard, and the biggest losses of funding have come in deprived areas[69]. This reduces resources that support community living, social support and contact for groups at particular risk of being lonely and isolated, such as young families and older people[70]. People living in deprived communities are, on average, more socially isolated[71], as well as being more significantly affected by the cuts to free communal and cultural resources[72]. Deprived communities have been disproportionately affected by government cuts[73].

The costs to mental health

Isolation, both social and cultural[74], is known to both precipitate mental health difficulties and inhibit recovery[75]. Loneliness has a comparable mortality risk to smoking and drinking alcohol, and is a higher risk for mortality than obesity[76]. Britain already has one of the highest levels of loneliness in Europe[77]. Policies that increase isolation and loneliness, therefore, have a direct risk of damaging mental health outcomes in both the short and long term.

Case study: Sure Start centres

More than 400 Sure Start centres closed during the first two years of the Coalition government, following a cut of one third in funding[78]. Mothers of young children are a group at high risk for developing mental health problems, with one in ten women experiencing mental health problems during or after pregnancy. Women living in poverty are four times more likely to develop postnatal depression than those in the highest income bracket[79]. Supportive social networks, including those developed at children’s centres, have been shown to decrease the level of depression experienced by this group[80]. Early years environments are known to be critical for children’s long-term development and adult mental health. Experiencing depression after birth is linked to reduced quality in mother–child interactions and child–stranger interactions[81]. Supporting parents to provide good early years in environments is incredibly important[82].

Case study: Older people and social care

While those over 65 have been relatively protected from austerity[83], the cuts to local government have meant cuts to services for older people at particular risk of loneliness. The Supporting People budget has been cut, and support staff have been removed from people living independently[84]. Widespread ‘call cramming’, meaning shortened visits to disabled and older people, has been reported. Older people are already more likely to be lonely[85], so removing lifelines of social contact is highly damaging. Concentration of social care on only the most severe need is a short-termist strategy that creates problems in the long term. Those affected by the first wave of cuts are often those who need only minimal support. Without this support they are likely to suffer more and to develop more serious levels of need.
Ailment Five: Being Trapped and Powerless

Austerity has removed many choices from the lives of people who are struggling or living with low incomes. The cuts to legal aid have meant that many people are without legal help in crucial areas such as housing, family, debt and benefits[86]. The tripling of university tuition fees has led to a 47 per cent drop in part-time students[87]. Part-time students are more likely to be mature[88] and so often already have responsibilities, such as children. The debt burden of university education has therefore had the effect of trapping people who do not take the traditional path straight from school to university.

The costs to mental health

Entrapment has serious short- and long-term impacts. Feeling trapped is a key cause of depression and anxiety[89]. Long-term entrapping life experiences nearly treble the chances of anxiety and depression[90]. Central to feeling trapped is a loss of hope in the possibility of being able to change life for the better. Feeling powerless is also a key component of many psychotic experiences, such as paranoia[91]. Mental health problems are responses to difficult life circumstances; so trapping people into situations of trauma, abuse and neglect can create lifelong problems.

Case study: Domestic violence

Funding for domestic violence shelters has plummeted. Last year, nearly a third of referrals to refuges were turned away due to a lack of space. On just one day 112 women and 84 children were refused accommodation[92]. This literally traps women and children into violent and abusive situations. Beside the risks to women and children this poses in the present, the links between childhood adversity and adult mental health are well known. People are significantly more likely to be diagnosed with both depression[93] and psychosis[94] in adulthood if they have experiences of being abused or neglected in childhood. Experiencing or witnessing abuse as a child increases the risk of attempting suicide as an adult by nearly 70 per cent and of being prescribed medication for mental health issues by three times[95]. There is some evidence that long-term changes in biological stress systems, brain structure and chemistry can be attributed to witnessing or experiencing abuse in childhood[96]. All of these links have a 'dose response', meaning adult impacts are more severe the more sustained and repeated the experiences are in childhood[97]. This is a long-term mental health disaster.

The Five Ailments: Summary

People living in particularly deprived circumstances are likely to be exposed to situations that have elements of all of these ‘ailments’. Many austerity policies, such as harsh benefit sanctions, are likely to produce experiences that have more than one of these features. These experiences can also intertwine and coalesce to compound experiences of distress over time. Powerlessness is linked to distrust, for instance; people living in deprived communities have higher levels of both[98]. Experiences of abuse and neglect, which people can get trapped into, can lead to lifelong feelings of shame[99], colouring future interactions and relationships[100]. Insecurity and instability can also trap people, leaving them feeling powerless over their own lives.

Five Psychological Indicators of a Healthy Society

Austerity tears apart communities and reduces people’s capacity to live well. The costs and consequences of austerity policies will be long term and far reaching. To counter them we need to build a society and public services that create the conditions for people to have “the freedom to live a valued life”[101]. Defining a ‘good society’ is fraught with difficulty, as such a definition will always be tied to a particular culture and time. In The Quality of Life[102], Nussbaum and Sen argue that, although what is valued by different cultures may vary, all societies should aim to support people’s capacity to function well within them. Suggested capabilities include ensuring people have the capacity to be healthy; to think, feel and act freely; to have control over their environment; and to form communities.

There is evidence that particular kinds of social and economic organisation are better for health and wellbeing than others. Poverty is a robust predictor of poor mental and physical health[103]. However, there are also ‘resilient places’, where residents are healthier and happier than other demographically similar areas[104]. Resilience can be defined as the “capability of individuals or systems (such as families, groups, and communities) to cope successfully in the face of significant adversity and risk”[105]. This is a crucial concept for considering how best to provide conditions in which people can live well.

Several aspects of community life predict good health and resilience, including civic participation, social cohesion, reciprocation and political efficacy[106]. The built environment is also important, as good quality housing predicts good mental health[107]. Being able to see green space has been found to help people cope more successfully[108]. On a broader level, equality of wealth is known to be central to wellbeing — more equal societies have healthier citizens and lower levels of mental health problems[109]. Social capital, the social ties which link people within and between communities, is also stronger in more equal societies and is protective for health[110]. This evidence points to the benefits of an equal society, with cohesive communities, in which all citizens have access to meaningful power and influence. Drawing on published research, we outline five key psychological indicators of such a resilient and healthy society.
1. Agency

Agency is subjective sense of having control over one’s life, having power to make decisions and shape the future. There is considerable evidence that in Western cultures, which prize individualism, feeling agentic, sometimes called having an ‘internal locus of control’, is related to better physical[111] and mental health[112]. A similar concept of ‘mastery’ is also used to describe a person’s sense of control over their environment. A general sense of mastery, along with good social resources, has been found to protect disabled people from developing depression in later life[113]. Overall, a sense of mastery over both self and environment predicts lower levels of depression[114]. Preserving a sense of agency is also crucial in times of mental health crisis[115] as well as being central to the recovery process[116].

Many aspects of people’s lives, communities and environments feed into the level of perceived agency. Living in poverty is a key circumstance that reduces people’s capability to feel agency. People on low incomes[117], and in low-status, passive jobs[118], tend to have a more external ‘locus of control’, meaning that they feel their lives are more controlled by others[119]. Considering the lower levels of autonomy in low-status jobs[120], and the lack of choice that comes with a low income, this is a valid assessment. Public services that are paternalistic, didactic or punitive are also known to disempower people and reduce their feelings of agency[121]. Agency is also not only an individual characteristic. Community-level empowerment, involving an increase in the participation and efficacy of groups to impact local decision making, has been found to be beneficial for health[122].

2. Security

Feeling safe is central to being a happy and healthy person. Psychologists have long known that feeling secure in our environments and our relationships with others is central to wellbeing. Knowing that you will have enough to eat and somewhere to live is a basic requirement for emotional wellbeing. For example, homelessness has been linked to greater anxiety and low mood in children and parents in homeless families, compared to those in poverty who are housed[123]. Secure housing is likely to have a positive impact on wellbeing, given that moving house three or more times has been identified as a risk factor for increased emotional and behavioural problems in children[124].

There is a wealth of research on the effects of fear of crime, showing the detrimental effects of feeling unsafe on mental health and wellbeing[125]. Areas with visible markers of instability, such as vandalism, litter and abandoned buildings tend to have higher levels of mental health problems[126]. Having a job and feeling secure that you will have a job in the future are clearly important for wellbeing, as one in five suicides worldwide is linked to unemployment[127], and job insecurity as well as unemployment predicts depression and anxiety[128].

Supporting the capability for experiencing emotions means creating the conditions whereby children’s emotional development is not adversely affected by feelings of fear. Good early relationships lead to secure attachments and feelings of safety. Attachment research indicates that maternal sensitivity, a strong predictor of attachment security, is affected by economic deprivation[129] and family socio-economic status (SES)[130]. Research examining contextual predictors of secure attachments in low-SES families found a range of interrelated resources, including maternal social support, provision of toys, maternal depression and education, predicted secure attachment[131].

A society that supported parents would increase the chance of children beginning their lives with a sense of safety that in turn is linked to improved wellbeing in adulthood.

3. Connection

Connection to others is crucial for having a sense of meaningful identity and place in the world. Relatedness is a basic human need, according to psychological research, including attachment theory, mainstream social psychology and community psychology[132]. Humans experience social exclusion as painful[133], and a sense of belonging is associated with better emotional wellbeing[134].

There is also a body of research showing a link between sense of community and emotional wellbeing[135]. Research has found that in blocks with comparable levels of moderate deprivation, greater community participation predicted lower levels of anxiety and depression. However, in the most deprived areas, living in a block with little community participation appeared to be slightly protective[136]. Levels of community participation were lower in the most deprived blocks. This shows how isolation created by austerity policies can be amplified by the creation of vicious cycles of deprivation and disconnection, with mutually reinforcing negative effects on emotional wellbeing.

Evidence indicates that where people have more contact and involvement with others they experience a greater sense of connection and belonging, which is protective for emotional wellbeing. Increasing inequity over the past 30 years has been linked to reduced levels of cohesion and involvement in community life[137]. Therefore, it is crucial that the trend toward rising inequality is reversed.

4. Meaning

The ability to live a meaningful life, whether through work, relationships or creative pursuits, is central to wellbeing[138]. An overall sense that life is understandable and meaningful[139], often characterised as a ‘sense of coherence’, predicts good mental health[140] and physical health[141]. The extent to which people feel valued is based in the quality of their environment, relationships and pursuits.
Work is a key route for people to find meaning, purpose and value in our society, but the quality of work is crucial. Low-skilled jobs have been found to decrease people’s sense of coherence[142], helping to mediate the poorer mental health generally found in people in low-paid roles[143]. For jobs to be beneficial for health, rather than detrimental, there needs to be a positive ‘effort–reward’ balance, where the rewards from the job, whether financial, personal or intellectual, are not overwhelmed by the effort and strain required by the job[144].

Other routes to a meaningful life include relationships[145], creativity[146], spirituality[147] and civic participation[148]. Improving levels of social support for people using mental health services can actively increase a sense that life is meaningful[149]. People who continue to be embedded in family relationships and responsibilities tend to recover better from distress[150], particularly if their role in the family is valued[151]. Engaging in creative pursuits during recovery from distress has also been found to foster hope and develop a sense of meaning and purpose[152]. For those who have a spiritual outlook, these beliefs can also be a strong source of hope, meaning and comfort[153]. Finally, being actively involved in civic and community activities, and feeling a strong sense of belonging through activities such as volunteering, is also beneficial for health[154].

5. Trust

Trust is a crucial component of wellbeing in individuals, communities and society. Societies that are more equal[155] and socially cohesive[156] have citizens who trust each more. People living in more trusting societies have higher levels of subjective wellbeing[157], lower levels of mental health diagnoses[158] and a range of other positive social[159] and health[160] outcomes. Trust is important because it acts as a social and interpersonal facilitator; it helps us to develop and sustain social capital, the social bonds, networks and associations that sustain strong communities[161]. Socially cohesive societies and communities are more trusting due to both the level of bonding within communities and better bridging links between groups[162]. Consequently, communities are able to come together to work towards collective ends[163].

It is by having trust in others that we are able to build strong and stable interpersonal relationships[164], which provide us with a sense of belonging and security, and a foundation upon which to explore ourselves and the environment around us[165]. It is the experience of these trusting and nurturing relationships, particularly in our early development, which are the foundation of good mental health[166], as they help reduce the likelihood of developing a mental health difficulty later in life[167]. Consequently, promoting the accumulation of social capital is now seen as an important objective for governments in order to promote social cohesion and public wellbeing[168].

Implications and Recommendations

The evidence presented in this report indicates that a range of key psychological experiences can be directly linked to public policy and are sensitive to macro social and economic changes. It is therefore crucial that policy makers and service developers consider the psychological impacts of current and future policies. Creating the conditions for wellbeing and resilience directly helps to prevent distress in the short and long term, thereby saving resources and reducing suffering.

We call for:

- Social policy that works towards a more equitable and participatory society, to facilitate individual wellbeing, resilient places and strong communities.
- Policy makers to take into account the psychological impacts of macro social and economic changes.
- A social security system that empowers and supports, rather than punishing people in times of need.
- Public services to increase focus on preventing distress, improving citizen participation and social justice, as well as help facilitate the five positive indicators above.
- Co-production to be one such model of public service reform. This approach harnesses individuals’ and communities’ assets and expertise, rather than viewing them just as passive recipients of and burdens on services.
- A community-led approach to mental health and emotional wellbeing that develops collective responses to individual needs and by doing so works to strengthen communities and build on communal resources.[169]

References

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