

1 **Evidence for weathering and volcanism during the PETM from Arctic Ocean and**
2 **Peri-Tethys osmium isotope records**

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17 weathering, volcanism

18

19 **Abstract**

20 Sudden global warming during the Paleocene-Eocene Thermal Maximum (PETM, 55.9 Ma)
21 occurred because of the rapid release of several thousand gigatonnes of isotopically light carbon
22 into the oceans and atmosphere; however, the cause of this release is not well understood. Some
23 studies have linked carbon injection to volcanic activity associated with the North Atlantic Igneous
24 Province (NAIP), while others have emphasised carbon cycle feedbacks associated with orbital
25 forcing. This study presents the osmium isotope compositions of mudrocks that were deposited
26 during the PETM at four locations (one from the Arctic ocean, and three from the Peri-Tethys). The
27 Os-isotope records all exhibit a shift of similar magnitude towards relatively radiogenic values
28 across the PETM. This observation confirms that there was a transient, global increase in the flux
29 of radiogenic Os from the weathering of continental rocks in response to elevated temperatures at

30 that time. The tectonic effects of NAIP volcanic emplacement near the onset of the PETM is
31 recorded by anomalously radiogenic Os-isotope compositions of PETM-age Arctic Ocean samples,
32 which indicate an interval of hydrographic restriction that can be linked tectonic uplift due to hotspot
33 volcanism in the North Atlantic seaway. The Peri-Tethys data also document a transient, higher
34 flux of unradiogenic osmium into the ocean near the beginning of the PETM, most likely from the
35 weathering of young mafic rocks associated with the NAIP. These observations support the
36 hypothesis that volcanism played a major role in triggering the cascade of environmental changes
37 during the PETM, and highlight the influence of paleogeography on the Os isotope characteristics
38 of marine water masses.

39

40 **Introduction**

41 There is considerable debate surrounding the source of ^{13}C -depleted carbon that was released into
42 the oceans and atmosphere at the onset of the PETM, as well as the triggering mechanisms that
43 could have initiated this carbon-cycle perturbation. One possibility is that orbital forcing destabilised
44 exchangeable sources of carbon on land and in the oceans through a series of Earth-system
45 feedback mechanisms, such as ocean and atmospheric circulation (Lourens et al., 2005, Lunt et
46 al., 2011; De Conto et al., 2012). This hypothesis is supported by the cyclostratigraphic tuning of
47 data from marine sedimentary sections, which suggests that early Eocene hyperthermals occurred
48 in phase with 100 kyr orbital eccentricity cycles (Westerhold et al., 2007). This suggestion is,
49 however, inconsistent with the observation of a difference between the phasing of the PETM
50 relative to the 400 kyr orbital eccentricity cycle, compared to subsequent early Eocene
51 hyperthermal events (Westerhold et al., 2007; Charles et al., 2011). An alternative hypothesis has
52 linked the onset of the PETM to volcanic triggering associated with extensive volcanism in the
53 North Atlantic Igneous Province (NAIP), which began ~60 Ma ago and culminated in rapid North
54 Atlantic seafloor spreading near the Paleocene/Eocene boundary (Eldholm and Thomas, 1993;
55 Storey et al., 2007, 2007b). Tectonic uplift caused by the emplacement of a NAIP mantle plume at
56 the base of the lithosphere could have caused carbon release at the start of the PETM, by
57 triggering the dissociation of marine gas hydrates through the depressurisation of uplifted marine
58 sediments (MacLennan and Jones, 2006), or from intermediate-depth seawater warming triggered

59 by tectonically-induced changes in ocean basin morphology (Bice and Marotzke, 2002; Roberts et
60 al., 2009). A further theory suggests that the thermal alteration of organic-carbon rich marine
61 sediments might have liberated substantial amounts of carbon by igneous intrusions during
62 emplacement of the NAIP (Svenssen et al., 2004, 2010). However, it is difficult to test hypotheses
63 linking the emplacement of the NAIP to the PETM using radioisotopic ages alone because the
64 uncertainties associated with the dating of many volcanic rocks in the late Paleocene are longer
65 than the duration of the PETM itself (Storey et al., 2007, 2007b; Svenssen et al., 2010,
66 supplementary material).

67 The osmium (Os) isotope composition of seawater (expressed as $^{187}\text{Os}/^{188}\text{Os}$) reflects the
68 mixing of radiogenic Os weathered from ancient continental crust ($^{187}\text{Os}/^{188}\text{Os} = \sim 1.4$ (Peucker-
69 Ehrenbrink and Jahn, 2001)), and unradiogenic Os from mantle (hydrothermal) and extraterrestrial
70 sources ($^{187}\text{Os}/^{188}\text{Os} = 0.12$ (Peucker-Ehrenbrink and Ravizza, 2000)). The residence time of Os in
71 seawater is $\sim 10,000$ - $55,000$ years (Burton et al, 1996; Sharma et al., 1997; Levasseur et al., 1999;
72 Peucker-Ehrenbrink and Ravizza, 2000), which is longer than the present-day mixing time of the
73 oceans. Seawater $^{187}\text{Os}/^{188}\text{Os}$ is therefore able to track globally-averaged variations in the
74 proportions of radiogenic Os delivered to the oceans by the weathering of old continental rocks,
75 and unradiogenic Os delivered by the weathering and alteration of young mafic rocks emplaced
76 subaerially and in submarine hydrothermal systems (Pegram et al., 1992; Cohen et al., 1999;
77 Peucker-Ehrenbrink and Ravizza, 2000). Tracing variations in weathering fluxes using Os isotopes
78 carries the assumptions that extraterrestrial Os fluxes to the oceans are quantitatively insignificant
79 or temporally constant, and also that the globally averaged $^{187}\text{Os}/^{188}\text{Os}$ of the radiogenic Os flux to
80 the oceans from weathered continental rocks does not vary significantly on relatively short
81 timescales. These assumptions are supported by previous reconstructions of global weathering
82 fluxes using Os isotopes, which are reproducible at different locations (e.g. Cohen et al., 1999;
83 Peucker-Ehrenbrink and Ravizza, 2001; Ravizza et al., 2001; Cohen and Coe, 2002; Cohen et al.,
84 2004; Turgeon and Creaser, 2008; Bottini et al., 2012; Du Vivier et al., 2014). Furthermore, the
85 relatively short seawater residence time of Os allows it to be utilised as an ocean circulation tracer
86 in certain situations. If seawater mixing is limited in a basin or marginal seaway (for example due to
87 topographic or hydrographic constraints), the residence time of Os in the basinal seawater may

88 become shorter than the global seawater Os residence time. Since Os is typically associated with
89 organic matter (Ravizza et al., 1992; Cohen et al., 1999), enhanced organic carbon burial in
90 restricted basins under low-oxygen conditions would favour depletion of the dissolved Os inventory
91 in the basin seawater, which would be recorded as a decrease in sedimentary Os abundances. In
92 such circumstances, $^{187}\text{Os}/^{188}\text{Os}$ may evolve locally to compositions that differ from the fully mixed
93 global signal due to the greater relative importance of local Os input and output fluxes (e.g. Paquay
94 and Ravizza, 2012).

95 The Os-isotope composition of seawater can be reconstructed from organic-rich mudrocks
96 because of the high degree of enrichment of hydrogenous Os in these deposits that limits the
97 proportional contribution from lithogenic Os (Ravizza et al., 1992; Cohen et al., 1999). Recovery of
98 the hydrogenous Os component of sediments can be assisted by acid-leaching organic-rich
99 mudrocks using either inverse Aqua-Regia (Cohen and Waters, 1996), or $\text{CrO}_3\text{-H}_2\text{SO}_4$ (Selby and
100 Creaser, 2003). Previous studies deep-marine metalliferous clays have demonstrated a radiogenic
101 shift in the Os-isotope composition of seawater across the PETM (Ravizza et al., 2001; Ravizza
102 and Peuker-Ehrenbrink, 2012), but these data have never been replicated in marine mudrocks.
103 This study presents $^{187}\text{Os}/^{188}\text{Os}$ measurements of samples from four organic-carbon enriched
104 marine sedimentary sections spanning the PETM; three of the sections are from the northern
105 Tethys Ocean, and the fourth is from the Arctic Ocean (Fig. 1). The intention was to assess the
106 impact of volcanic activity on seawater $^{187}\text{Os}/^{188}\text{Os}$ during the PETM, and to investigate whether
107 the previously observed radiogenic Os-shift across the PETM was expressed globally in a range of
108 different marine basins.

109

110 **Methods**

111 Re-Os preparation and analyses were carried out in metal-free conditions in a Picotrace© clean
112 laboratory using the method of Cohen and Waters (1996). 0.25-1 g of finely ground sample powder
113 was accurately weighed into an acid-cleaned glass Carius tube, to which an exact amount of a
114 mixed $^{185}\text{Re}\text{-}^{190}\text{Os}$ isotope spike and 8-12 ml of inverse Aqua Regia were added. Each sample
115 tube was sealed with an oxygen and propane flame and placed into an oven at 180°C for 5 days.
116 Os was extracted from the chilled acid digest with carbon tetrachloride (CCl_4), and subsequently

117 back extracted from CCl_4 with hydrobromic acid. Following a micro-distillation step (Birck et al.,
118 1997), sample residues were loaded onto clean, degassed Pt filaments for analysis by n-TIMS.
119 Instrumental mass fractionation was corrected by internal normalisation to a $^{192}\text{Os}/^{188}\text{Os}$ ratio of
120 3.09202. Re concentrations were determined by isotope dilution from aliquots of the same acid
121 digests analysed for Os. Re was extracted from the inverse Aqua-Regia using an iso-amylol liquid-
122 liquid separation technique (Birck et al., 1997). Aliquots of the purified Re solutions were doped
123 with Ir and analysed using a Thermo-Finnegan Neptune MC-ICP-MS. Instrumental mass
124 fractionation was corrected by normalising to a $^{193}\text{Ir}/^{191}\text{Ir}$ ratio of 1.68299 (Berglund and Wieser,
125 2011). All quoted $^{187}\text{Os}/^{188}\text{Os}$ ratios and Os and Re abundances were blank corrected. Average Os
126 blanks were ~1 ppt, with an average $^{187}\text{Os}/^{188}\text{Os}$ of 0.30 (n=9). The average Re blank was ~5 ppt
127 (n=10). Initial $^{187}\text{Os}/^{188}\text{Os}$ ratios ($^{187}\text{Os}/^{188}\text{Os}_{(i)}$) were calculated assuming a depositional age of 55.9
128 Ma and a Re decay constant of 1.666×10^{-11} (Smoliar et al., 1996). The uncertainty of $^{187}\text{Os}/^{188}\text{Os}_{(i)}$
129 has been estimated from separate digestions of an in-house mudrock standard (Monterey mudrock
130 00N118) as ± 0.015 (2 S.D., n=8).

131

132 **Results**

133 The stratigraphy of the Peri-Tethys Ocean records have been discussed in detail by Gavrillov et al.
134 (2003) and Dickson et al. (2014). All sites contain mudrocks variably enriched in organic carbon by
135 up to ~18 wt%. These mudrocks were deposited during a negative $\delta^{13}\text{C}_{\text{org}}$ excursion of ~4‰
136 (Dickson et al., 2014), which can be attributed to isotopically light carbon release during the PETM.
137 These features, together with primary biostratigraphic constraints at each site from nannofossil and
138 dinocyst taxonomy, allow the sections to be correlated (Fig. 2).

139 ^{192}Os concentrations in all samples are greatly elevated above the average crustal
140 concentration (Peucker-Ehrenbrink and Jahn, 2001). At Kheu River and Guru-Fatima, $^{187}\text{Os}/^{188}\text{Os}_{(i)}$
141 increases from ~0.32 below the CIE to a maximum of 0.38–0.39 during the CIE. $^{187}\text{Os}/^{188}\text{Os}_{(i)}$
142 values at Dzhengutay also increase to 0.39 within the CIE with a similar overall range to the other
143 Tethys sites. The $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ records from Kheu River and Guru Fatima also display shifts to
144 relatively unradiogenic values near the base of the CIE. The lowest $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ at both Guru-
145 Fatima and Kheu River occur immediately after the first $\delta^{13}\text{C}_{\text{org}}$ data point indicating the PETM CIE.

146 However, fully deciphering the phasing of these lead-lag relationships is limited by the temporal
147 resolution of each record, which is relatively low over the CIE onset due to the limited availability of
148 sample material.

149 There is an increase in $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ of Arctic Ocean seawater from 0.39 to 0.55 during the
150 PETM, which occurs at 390.66 mcd, 4.5 m below the first negative shift in $\delta^{13}\text{C}_{\text{org}}$ that is taken to
151 denote the onset of the CIE (Sluijs et al., 2006; Stein et al., 2006; Dickson et al., 2012). As with the
152 Tethys Ocean sites, the relatively radiogenic Arctic Ocean $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ recorded at Site M0004A
153 returns to less radiogenic values above the PETM.

154

155 **Discussion**

156 *Data integrity*

157 The high ^{192}Os concentrations in most of the samples confirm a substantial enrichment of
158 hydrogenous Os over the average continental crust (detrital Os) contribution. Furthermore, the Re-
159 Os data for each of the four sites are generally tightly clustered around an isochron age of 55.9 Ma
160 (Fig. 3). Large differences in the contribution of lithogenic Os to the measured $^{187}\text{Os}/^{188}\text{Os}$ ratios, or
161 a significant amount of post-depositional remobilisation of Re or Os, would impart a greater degree
162 of scatter to the plots (e.g. Peucker-Ehrenbrink and Hannagan, 2000; Jaffe et al., 2002; Georgiev
163 et al., 2012) than is observed. In the case of the three Tethys sites, the Re-Os data from the PETM
164 intervals define identical $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ of 0.36, further demonstrating the robustness of the datasets
165 and showing that each location sampled the same, well-mixed late Paleocene–early Eocene
166 seawater Os reservoir. It is noteworthy that the steady-state $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ of Peri-Tethys seawater
167 of 0.36 inferred from Fig. 3 is extremely close to the pre- and post-PETM $^{187}\text{Os}/^{188}\text{Os}$ values
168 measured for open ocean seawater at DSDP Site 213 and 549 (Ravizza et al., 2001). This
169 observation implies that there was little or no hydrographic restriction in the northern Peri-
170 Tethys and that the study locations were able to freely exchange seawater with the wider Tethys
171 Ocean. These lines of evidence demonstrate that the new data are robust and that variations in
172 $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ at each site can be used to track variations in the Os-isotope compositions of early
173 Eocene seawater.

174

175 *Weathering of radiogenic Os during the PETM*

176 All four studied sections exhibit a shift towards more radiogenic $^{187}\text{Os}/^{188}\text{Os}$ values during
177 the PETM CIE, with the Tethys sites having similar magnitude shifts of ~ 0.06 . The range of the
178 $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ excursions recorded at the three Tethys Ocean sites are similar to the magnitude of
179 the shift towards more radiogenic $^{187}\text{Os}/^{188}\text{Os}$ values during the PETM at DSDP Sites 213 and 549
180 in the Indian Ocean and North Atlantic Ocean respectively (Ravizza et al., 2001), at Zumaya in
181 Spain (Schmitz et al., 2004), and also in Pacific Ocean Fe-Mn crusts (Klemm et al., 2005)
182 (supplementary online material). The Site 549 data (Ravizza et al., 2001; Peucker-Ehrenbrink and
183 Ravizza, 2012) are systematically slightly higher than the Tethys Ocean datasets, which may be
184 due to the leaching of small quantities of lithogenic Os from the clay-rich deposits at that site.
185 Likewise, Arctic Ocean $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ values recorded at Site M0004A are also systematically higher
186 than at the Tethys Ocean sites, which is consistent with a range of observations suggesting that
187 seawater exchange between the Arctic Basin and global ocean occurred at a rate that was too
188 slow to allow the $^{187}\text{Os}/^{188}\text{Os}$ of Arctic Ocean seawater to equilibrate fully with the global ocean
189 during the portion of the late Paleocene and early Eocene studied. Nonetheless, the consistent
190 observation of relatively more radiogenic $^{187}\text{Os}/^{188}\text{Os}$ during the CIE at the different locations leads
191 us to conclude that the Os-isotope records (Fig. 2) reflect a shift in the $^{187}\text{Os}/^{188}\text{Os}$ of global
192 seawater towards relatively radiogenic values during the PETM. This observation requires a
193 change in the global balance and/or composition of Os fluxes to the ocean across this event
194 (Ravizza et al., 2001; Cohen et al., 2007).

195 The overall increase in seawater $^{187}\text{Os}/^{188}\text{Os}$ during the PETM could have been caused by
196 an increase in the flux to the oceans of radiogenic Os weathered from continental rocks, by an
197 increase in the average $^{187}\text{Os}/^{188}\text{Os}$ of weathered continental rocks, or by a decrease in the flux of
198 unradiogenic Os to seawater. An increase in the flux of continentally-derived Os at a global scale is
199 the most parsimonious interpretation of the data in the light of evidence for a perturbed
200 hydrological cycle during the PETM (Bowen et al., 2004; Pagani et al., 2006; Handley et al., 2012)
201 and for exceptionally high fluxes of terrestrial sediments to continental margins (Schmitz and
202 Pujalte, 2007; Sluijs et al., 2008a; John et al., 2008; Dickson et al., 2014). In principle, the shift
203 could also have been caused by the preferential weathering of radiogenic Os from exposed

204 organic-rich mudrocks, thereby raising the average $^{187}\text{Os}/^{188}\text{Os}$ of weathering fluxes. However,
205 there is no evidence for a change in the terrestrial exposure of such deposits during the PETM.
206 Additionally, Svensen et al. (2004, 2010) have suggested that hydrothermal fluids could have been
207 expelled rapidly into the Vøring and Møre basins (northeast Atlantic Ocean) at the onset of the
208 PETM after the heating by igneous intrusions of Cretaceous mudrocks, which are likely to have
209 had more radiogenic $^{187}\text{Os}/^{188}\text{Os}$ than early Eocene seawater (Ravizza et al., 2007; Turgeon and
210 Creaser, 2008; Bottini et al., 2012). However, assuming a seawater residence time for Os of $\sim 10^4$
211 years, and a duration for the PETM of 10^5 years (Röhl et al., 2007; Charles et al., 2011) the PETM
212 $^{187}\text{Os}/^{188}\text{Os}$ records obtained here and in previous studies (Ravizza et al., 2001; Peucker-
213 Ehrenbrink and Ravizza, 2012) require the flux of radiogenic Os to be sustained over multiple
214 residence times to explain the prolonged excursion to more radiogenic seawater $^{187}\text{Os}/^{188}\text{Os}$
215 values (Röhl et al., 2007; Charles et al., 2011). The prolonged duration for the radiogenic
216 $^{187}\text{Os}/^{188}\text{Os}$ excursion is therefore incompatible with the very short timescale for the postulated
217 hydrothermal fluid release of only a few thousand years (Svensen et al., 2004).

218 At steady state, the Os-isotope composition of seawater can be described by mixing
219 between unradiogenic and radiogenic Os input fluxes. The endmember $^{187}\text{Os}/^{188}\text{Os}$ compositions
220 of these fluxes are assumed to be 0.12 and 1.4 respectively (Luck and Allègre, 1983; Peucker-
221 Ehrenbrink and Jahn, 2001). The relative contribution (mole fraction, F) of the radiogenic
222 endmember can be described by:

223

$$224 \quad F_r = (R_s - R_u) / (R_r - R_u) \quad (1)$$

225

226 Where subscripts r , s and u denote the radiogenic, seawater and unradiogenic $^{187}\text{Os}/^{188}\text{Os}$
227 compositions respectively (Ravizza et al., 2001). The contribution of the unradiogenic endmember
228 can then be calculated using:

229

$$230 \quad F_u = (1 - F_r) \quad (2)$$

231

232 The fractional increase in radiogenic Os fluxes during the PETM has been calculated with the
233 assumption that flux of unradiogenic Os to the oceans remained constant. Then, the fractional
234 increase in the radiogenic contribution (ΔF_r) can be calculated:

235

$$236 \Delta F_r = ((F_{u \text{ [pre-event]}} / F_{u \text{ [event]}}) - F_{u \text{ [pre-event]}}) / F_{r \text{ [pre-event]}} \quad (3)$$

237

238 Applying this approach to data from the best resolved Peri-Tethys site (Kheu River), yields a result
239 suggesting a 38% increase in the flux of radiogenic Os for the PETM compared with pre-PETM
240 fluxes. The magnitude of the increase in $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ during the PETM at Guru-Fatima is higher
241 than at Kheu River, but is based on a single $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ measurement of 0.43 during the CIE. A
242 similar calculation can not be made for Dzhengutay because pre-PETM samples are not available.
243 For comparison, the calculated change in radiogenic Os flux during the PETM based on the DSDP
244 Site 549 dataset (Ravizza et al., 2001) suggests an increase in radiogenic Os flux of 44%, which is
245 very close to our estimate using data from the Tethyan Kheu River site. The fact that $^{187}\text{Os}/^{188}\text{Os}_{(i)}$
246 closely tracks the evolution of the CIE during the PETM is consistent with a mechanistic link
247 between elevated global temperatures, moisture availability and weathering fluxes (Gaillardet et al.
248 1999), as also inferred for other global warming events in Earth history (e.g. Ravizza et al., 2001;
249 Cohen and Coe, 2002; Cohen et al., 2004; Pagani et al., 2006; Bottini et al., 2012; Pogge van
250 Strandmann et al., 2013).

251

252 *Unradiogenic Os fluxes near the beginning of the PETM*

253 Our records contain two key lines of evidence for a phase of volcanism at the onset of the PETM.
254 The first is the short-term transient decrease in $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ of ~ 0.05 near the base of the CIE at
255 Kheu River and Guru-Fatima (Fig. 4) (the behaviour of $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ at the onset of the PETM is
256 unknown at Dzhengutay, because samples from the lower part of that section were not sampled).
257 The similarity between the observed $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ decrease in the two Peri-Tethys sites with a
258 previously observed decrease in $^{187}\text{Os}/^{188}\text{Os}$ at the base of the PETM at Zumaya, Spain (Schmitz
259 et al., 2004), suggests that the decrease in $^{187}\text{Os}/^{188}\text{Os}$ was likely to have been at least a regional
260 phenomenon. The timings of the $^{187}\text{Os}/^{188}\text{Os}$ decrease at each site are consistent, beginning near

261 the base of the negative $\delta^{13}\text{C}_{\text{org}}$ excursion, and ending within nannofossil zone NP 9 (Fig. 3).
262 These features constrain the duration of the transient stratigraphic shift in $^{187}\text{Os}/^{188}\text{Os}$ to a few tens
263 of thousands of years at most (Charles et al., 2011). The $^{187}\text{Os}/^{188}\text{Os}$ decrease at the start of the
264 PETM is consistent with a proportionally larger flux of unradiogenic Os to the oceans near the start
265 of the PETM. Equation (3) was used to calculate the magnitude of the unradiogenic flux increases
266 recorded in the initial stages of the PETM (Fig. 3), but substituting F_u for F_r and vice-versa. This
267 calculation resulted in fluxes of unradiogenic Os for Kheu River, Guru Fatima and Zumaya that
268 were 33%, 39% and 12% higher, respectively, compared to pre-excursion fluxes.

269 There is no convincing evidence for an extraterrestrial impact in PETM deposits that could
270 explain the shift towards relatively unradiogenic $^{187}\text{Os}/^{188}\text{Os}$ at the start of the PETM (Schmitz et
271 al., 2004). However, a recent $^{187}\text{Os}/^{188}\text{Os}$ record from the Svalbard Central Basin (core BH9/04)
272 suggests that a large amount of volcanic ash was deposited in the Central basin over an interval of
273 approximately 8000 years shortly before the onset of the PETM (Fig. 4, Weiczorek et al., 2013).
274 The magnitude of the unradiogenic Os-isotope excursion in the Central Basin is about six times
275 larger than in the Tethys sections, probably because the proximity of that site made it sensitive to
276 the direct input of unradiogenic Os from mafic material (ash) from the NAIP. It is noteworthy that
277 the $^{187}\text{Re}/^{188}\text{Os}$ and $^{187}\text{Os}/^{188}\text{Os}$ data from Svalbard (Weiczorek et al., 2013) exhibit a high degree
278 of scatter when examined around a 55.9 Ma reference isochron. In contrast, the new Tethys
279 records reported here have $^{187}\text{Re}/^{188}\text{Os}$ and $^{187}\text{Os}/^{188}\text{Os}$ data that are more tightly defined around
280 55.9 Ma isochrons (Fig. 3). These observations confirm that the addition of unradiogenic Os to
281 Svalbard sediments, as identified by Weiczorek et al. (2013), was more than a regional-scale
282 phenomenon, and that volcanism caused a significant (although locally disproportionate) impact on
283 the Os-isotope composition of global seawater during the early stages of the PETM.

284 The second line of evidence for volcanism near the onset of the PETM comes from the
285 sharp increase in the $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ of Arctic Ocean seawater below the onset of the PETM at Site
286 M0004A that is not observed in a similar stratigraphic position at any site outside the Arctic Basin
287 (Fig. 2). This shift is interpreted to record a short interval (between 390.66–388.63 mcd) when
288 hydrological restriction became sufficiently pronounced so as to cause the $^{187}\text{Os}/^{188}\text{Os}$ of Arctic
289 Ocean seawater to evolve independently from the global seawater trend. Taking the age of the

290 PETM onset and the age of the C25n/C24r magnetic reversal from Westerhold et al. (2008), the
291 approximate timing of hydrographic restriction in the Arctic Ocean can be estimated to have occurred ~50-
292 500 kyr prior to the onset of the CIE. The broad range of estimates is partly the result of a paucity of age-
293 control between the C25n/C24r reversal and the PETM (Backman et al., 2008), along with incomplete
294 recovery of the PETM interval itself, which makes identification of the CIE onset highly imprecise (± 0.9 m).
295 The relative ages noted here should therefore be treated with a degree of caution.

296 The temporary period of pronounced hydrographic restriction at Site M0004A is consistent
297 with low sedimentary Mo/U ratios and very low $\delta^{98/95}\text{Mo}$ (Dickson et al., 2012). The abrupt increase
298 in $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ is unlikely to have been caused by an increase in the delivery of radiogenic Os to
299 the Arctic Ocean from surrounding landmasses, because proxy data for moisture availability and
300 continental temperatures do not show significant changes in pan-Arctic temperatures or hydrology
301 before the onset of the CIE (Fig. 2, supplementary information) (Pagani et al., 2006; Weijers et al.,
302 2007). The most likely explanation for the increase in the $^{187}\text{Os}/^{188}\text{Os}$ of Arctic Ocean seawater is
303 therefore a reduction in the flux of less radiogenic Os into the Arctic Basin from the global ocean,
304 as hydrological restriction in the basin became more pronounced (Fig. 1).

305 The temporary period of marked hydrological restriction in the Arctic Ocean preceding the
306 onset of the PETM could have been caused by the tectonic uplift of the North Atlantic seaway.
307 Evidence suggesting a regional relative sea-level (RSL) fall of >200 m is associated with the
308 Lamba/Flett sequence boundary in the Faroe-Shetland Basin and the Lista IIIb/Forties sequence
309 boundary in the North Sea (Mudge and Bujak, 2001; Smallwood and Gill, 2002), the ages of which
310 are constrained by the highest occurrence of the dinocyst *Alisocysta margarita* and the lowest
311 occurrence of *Apectodinium augustum* (Mudge and Bujak, 2001). This RSL fall has been attributed
312 to regional mantle-plume related uplift, lithospheric thinning and the commencement of an active
313 period of NAIP volcanism (MacLennan and Jones, 2006; Smallwood and Gill, 2002). A fall in
314 eustatic sea-level of several tens of metres, deduced from the sequence boundary on the New
315 Jersey shelf, in New Zealand, and in the Tethys Ocean (Gavrilov et al., 2003; Sluijs et al., 2008b;
316 Harding et al., 2011), is likely to have further contributed to the change in RSL, but cannot account
317 for its full magnitude.

318 The timing of RSL fall in the North Atlantic seaway (Sluijs et al., 2008b) is consistent with
319 available age constraints at Site M0004A (Expedition 302 Scientists, 2006; Backman et al., 2008)
320 for the onset of enhanced hydrological restriction in the Arctic Ocean inferred from our Os-isotope
321 record (Fig. 2). It is likely that seawater exchange across the North Atlantic seaway was limited
322 throughout the PETM, since re-flooding took place after the last occurrence of the dinocyst
323 *Cerodinium wardense* (Mudge and Bujak, 2001), which occurs stratigraphically above the PETM in
324 Site M0004A (Expedition 302 Scientists, 2006). A slight alleviation of hydrographic restriction in the
325 Arctic basin at the minimum of the CIE (Dickson et al., 2012) was probably facilitated by a eustatic
326 sea-level rise of 20-30 m at the onset of the PETM (Gavrilov et al., 2003; Sluijs et al., 2008b;
327 Harding et al., 2011) that deepened the Arctic-Tethys connection through the central Asian Turgay
328 Straits (Fig. 1). Seawater exchange through this seaway would have been slow enough to maintain
329 a small difference between seawater $^{187}\text{Os}/^{188}\text{Os}$ within the Arctic Basin and the $^{187}\text{Os}/^{188}\text{Os}$ of the
330 global ocean (Fig. 2), but was sufficiently voluminous for Arctic Ocean seawater $\delta^{98/95}\text{Mo}$ to record
331 the global seawater $\delta^{98/95}\text{Mo}$ (Dickson et al., 2012). This difference in isotopic responses arises
332 because the residence time of Mo in seawater is approximately an order of magnitude longer than
333 for Os, and thus would require an almost complete cessation of seawater exchange before the
334 $\delta^{98/95}\text{Mo}$ of Arctic Ocean seawater could begin to evolve independently from that of the global
335 ocean.

336 It has been suggested that multiple sources of carbon could be necessary to reconcile
337 observations of carbonate dissolution and the shape and magnitude of the PETM CIE (Zeebe et
338 al., 2004; Dunkley-Jones et al., 2010; Carozza et al., 2011). Tectonic uplift of the North Atlantic
339 seaway by NAIP volcanic activity could have altered North Atlantic Ocean circulation, generating
340 warming at intermediate depths sufficient to destabilise gas hydrates buried in marine sediments
341 (Lunt et al., 2011, Bice and Marotzke, 2002; Roberts et al., 2009; Sluijs et al., 2007). This scenario
342 requires a time lag of at least several thousand years between the emergence of the North Atlantic
343 seaway and the release of additional fossil carbon, and is consistent with the commencement of
344 Arctic Basin restriction prior to the onset of the PETM observed at Site M0004A. A key test of this
345 hypothesis will be the recovery of a complete PETM section from the Arctic Ocean by future
346 drilling.

347

348 **Conclusions**

349 The new Os-isotope data presented here from the Peri-Tethys and Arctic Ocean sites all exhibit a
350 small increase towards more radiogenic Os-isotope values during the PETM, reflecting a
351 proportional increase in the flux of radiogenic Os to the oceans in response to elevated continental
352 weathering rates. The data also indicate that seawater $^{187}\text{Os}/^{188}\text{Os}$ became relatively unradiogenic
353 for a short interval of time either at, or slightly before the onset of the PETM. Together with
354 evidence for enhanced hydrological restriction in the Arctic Basin in response to the emplacement
355 of the NAIP, these data strongly support the hypothesis that volcanism triggered the carbon-cycle
356 feedbacks that caused rapid global warming and environmental change during the PETM (Eldholm
357 and Thomas, 1993; Svensen et al., 2004; MacLennan and Jones, 2006; Cohen et al., 2007; Storey
358 et al., 2007; Du Vivier et al., 2012; Weiczorek et al., 2013). Lastly, the new results show that there
359 were clear differences between the Os-isotope compositions of seawater in the Arctic basin and in
360 the global ocean during the early Eocene. Since restricted basins, such as the Arctic, are
361 inherently prone to forming organic-rich mudrock deposits, these observations reinforce the
362 importance (e.g. Dickson et al., 2012, 2014b) of understanding the original depositional and
363 hydrographic setting of the deposits before inferences about global seawater chemistry can be
364 made (e.g. Paquay and Ravizza, 2012; Du Vivier et al., 2012).

365

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371

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607

608

609 **Figure captions**

610

611 **Figure 1:** Map of locations. KR: Kheu River, Karbardino-Balkaria; DZ: Dzhengutay, Dagestan; GF:
612 Guru-Fatima, Tajikistan; ZU: Zumaya, Spain; site numbers refer to Deep Sea Drilling Program
613 (Sites 213 and 549) and Integrated Ocean Drilling Program (Site M0004A) cores. The shaded
614 ellipse represents the zone of transient uplift associated with the NAIP mantle plume in the North
615 Atlantic seaway region modelled by MacLennan and Jones (2006). Base map modified from
616 www.scotese.com.

617

618 **Figure 2:** C- and Os-isotope, and Os abundance [^{192}Os] data from the Arctic and Tethys Oceans.
619 $\delta^{13}\text{C}_{\text{org}}$ data at Site M0004A are from Stein et al. (2006), Sluijs et al. (2006) and Dickson et al.
620 (2012). Biostratigraphic and magnetostratigraphic constraints are from Expedition 302 Scientists
621 (2006), Backman et al. (2008), Gavrilov et al. (2003) and Gavrilov et al. (2009). F.O. A. *aug*: First
622 occurrence of *Apectodinium augustum*; H.O. C. *w*: Highest occurrence of *Cerodinium wardense*.
623 Dashed lines on the Site M0004A stratigraphy are used where core gaps are present. Closed
624 symbols are repeat analyses of sample powders. $^{187}\text{Os}/^{188}\text{Os}_{(i)}$ uncertainties are the 2 S.D. external
625 reproducibility calculated from an in-house mudrock standard. The shaded region denotes the
626 PETM negative C-isotope excursion, based on the C-isotope stratigraphies at each location.

627

628 **Figure 3:** $^{187}\text{Re}/^{188}\text{Os}$ - $^{187}\text{Os}/^{188}\text{Os}$ evolution plots for A, B: Site M0004A; C, D: Kheu River; E,F:
629 Dzhengutay and G,H: Guru-Fatima. The upper row of graphs shows all data for each site, while the
630 lower row of graphs shows only the Re-Os data from within the PETM as defined by carbon
631 isotope stratigraphy. Regressions statistics were calculated using the long-term reproducibility (2
632 S.D.) of an in-house mudrock standard, which was 1.7% for $^{187}\text{Os}/^{188}\text{Os}$ and 2.5% for $^{187}\text{Re}/^{188}\text{Os}$.

633

634 **Figure 4:** Expanded view of C- and Os-isotope data across the onset of the PETM at Kheu River
 635 and Guru-Fatima (this study), Zumaya (Schmitz et al., 2004), and Svalbard core BH9/05
 636 (Weiczorek et al., 2013). The four sites all record excursions of local seawater $^{187}\text{Os}/^{188}\text{Os}$ to more
 637 unradiogenic values near the onset of the PETM.
 638

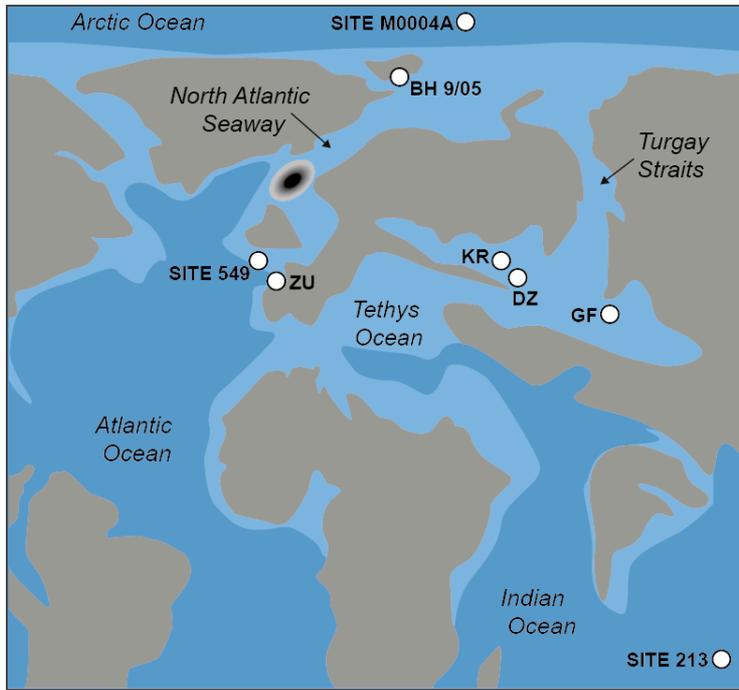


Fig. 1

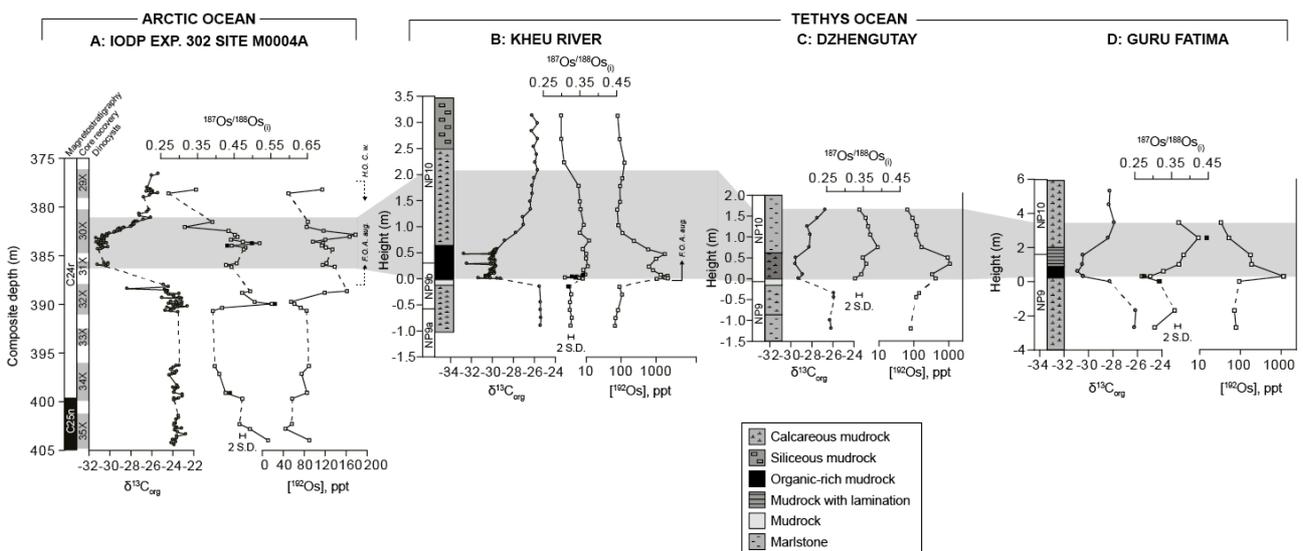
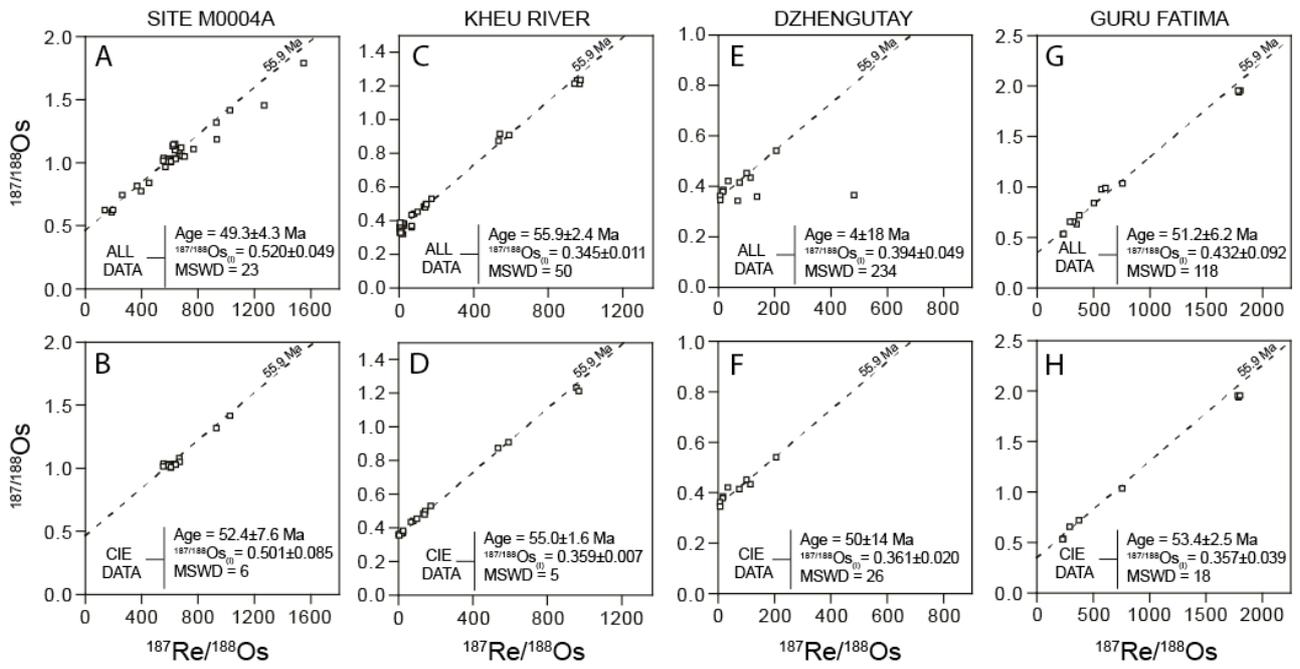


Fig. 2

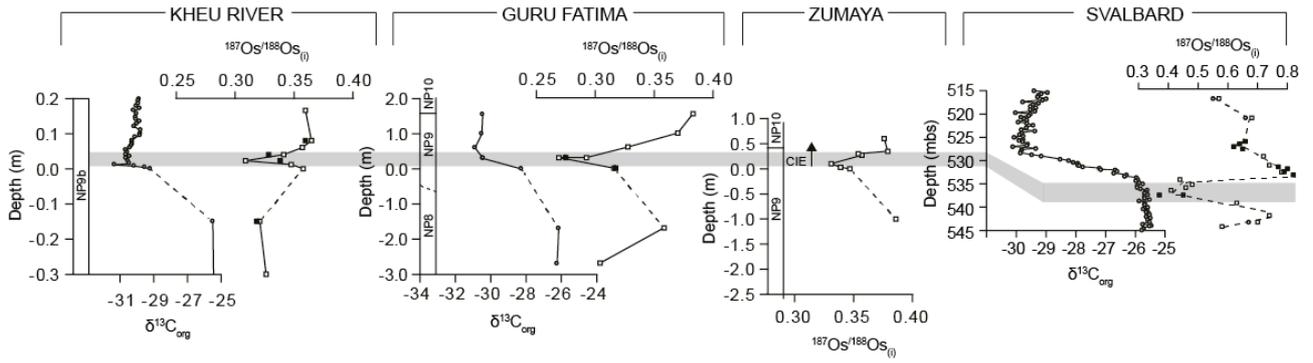
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646

647 Fig. 3

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650 Fig. 4

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