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# High-frequency induced cathodic breakdown during Plasma Electrolytic Oxidation

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The present communication shows the possibility of observing micro-discharges under cathodic polarization during Plasma Electrolytic Oxidation (PEO) at high frequency. Cathodic micro-discharges can ignite beyond a threshold frequency found close to 2 kHz. The presence (resp. absence) of an Electrical Double Layer (EDL) was put forward to explain how the applied voltage can be screened, which therefore prevents (resp. promotes) the ignition of a discharge. Interestingly, in the conditions of the present study, the EDL requires between 175 and 260  $\mu\text{s}$  to form. This situates the expected threshold frequency between 1.92 and 2.86 kHz, which is in good agreement with the value obtained experimentally.

Plasma Electrolytic Oxidation (PEO) is at a pivotal moment of its development. If this process has undeniable assets for surface treatments of light alloys (high growth rate, high ultimate coating thickness, eco-friendliness, wear and corrosion resistance, etc.), the high energy consumption and the lack of understanding of the fundamental processes underlying the breakdown and the oxidation mechanisms still limit a larger scale development.

Improving the energetic efficiency of PEO is a major stake and several strategies can then be followed: dual treatments[1], addition of nanoparticles [2, 3], electrolyte composition [4, 5] and electric regimes [5–8]. Here, we focus on the influence of the electric regime as it has a direct and strong influence on both the space and time properties of micro-discharges (MD), which affect the coating growth. Interestingly, the best properties and the highest growth rates of coatings are obtained while using bipolar current waveform[5, 9] even though MDs are only observed during the anodic (*i.e.* positive) half-period [10–12] in these conditions.

To explain this observation, one should keep in mind that the growth of PEO coatings relies on a balance between the constructive effect of MDs (oxidation and coating growth) and their destructive effect (large craters and porosities). Several studies conducted either by high speed video imaging [5, 6, 11–18] or by direct electrical measurements[10, 19] have investigated this balance. They made it possible to correlate space and time parameters of MDs (number, life-time, size) and the properties of as-grown coatings (thickness, porosity). In short, large and long-lived discharges promote the growth of high temperature phases but create large porosities (several tens of  $\mu\text{m}$ ), high roughness at limited growth rates (strong destructive effect of MDs). On the other hand, too small and short-lived MDs will create more homogeneous coating but with lower amount of high-temperature phases and also at limited growth rates (weak constructive effect).

Nevertheless, all these studies support the same conclusion: adjusting the cathodic half period probably

opens up the possibility to tune the behaviour of anodic MDs. Then, combining high-growth rates, low levels of large-scale porosity (bigger than 10  $\mu\text{m}$ ) and a significant proportion of high-temperature phases becomes possible. Cathodic polarization is actually needed to prevent from the excessive charge accumulation on the coating surface, which is responsible for large discharges. In spite of an important energetic cost, this cathodic cleaning is an excellent way to control the PEO process. Nevertheless, a more efficient use of cathodic half-period, possibly with the presence of cathodic discharges might improve the process energetic efficiency and bring PEO closer to large scale production.

Since PEO has often been compared to anodizing, it has been admitted for long that PEO discharges could only be observed under anodic (*i.e.*, positive) polarization. However, recent studies have shown the possibility to observe micro-discharges under cathodic polarization, both on Magnesium [20–23] and Aluminum [23, 24] substrates either by using uncommon compositions of electrolytes [20, 21] or by applying high-current density (several  $\text{kA dm}^{-2}$ ) [24]. A subsequent study in similar conditions to reference [20] has shown that cathodic discharges do not take part in the growth of an oxide coating and rather have detrimental effect on it [22].

Conditions in which cathodic and anodic discharges in different substrate and electrolyte conditions have been set out by Nominé *et al.* [23] and an explanation based on the formation of an electrical double layer (EDL) has been proposed. Results of this study tend to show that an EDL may form on the surface of PEO coatings and generate a local electric field that can either screen or amplify the externally-applied electric field. The result is to respectively prevent or promote breakdown at a given sample polarization. Similar results had been previously reported by Lukeš *et al.* [25] with a different plasma (corona discharge) generated in liquids.

PEO is a rather complex case of study involving the four states of matter interacting in a small volume (less than one  $\text{mm}^3$ ) during short time scales (from  $\mu\text{s}$  to  $\text{ms}$ ) [26]. The majority of investigations have dealt with the

study of micro-discharges by means of high-speed video imaging [13] and optical emission spectroscopy [27–29] treat actually a large number of events  $10^5$  per  $\text{dm}^2$  per second). To overcome this difficulty, the Gordon Laboratory has developed a setup that makes it possible to study one individual discharge at a time [10, 19, 30] and to simultaneously record its current and image its shape [26, 31].

The experimental procedure followed to isolate one discharge has been described in details in previous works [10, 19, 26, 30], the PEO process being from Keronite<sup>®</sup> rig and operating from 50 to 2500 Hz with a current density of  $30 \text{ A dm}^{-2}$ . The idea is to connect a small wire, the smaller electrode, to a plate serving as larger electrode. The small area of the wire helps to localize the discharge event, even though its triggering in time remains stochastic. Both larger and smaller electrodes are made of Aluminum Al-6082 alloy. The electrolyte is a dilute aqueous solution of potassium hydroxide ( $1 \text{ g L}^{-1}$ ;  $0.018 \text{ mol L}^{-1}$ ) and sodium silicate ( $1.65 \text{ g L}^{-1}$ ;  $0.0135 \text{ mol L}^{-1}$ ). During the PEO treatment, an oscilloscope (Pico Technology, Picoscope 6403) measuring the voltage and the current delivered by the power supply as well as the current flowing through the smaller sample (see fig. 1 in [19]) has been synchronized with an ultra-high speed camera (Photron SA 1).

Figure 1 shows the time evolutions of voltage and current flowing through the smaller sample. Current peaks have been attributed to discharge events [32]. At lower frequency, MD appear in “cascade”: several discharge events follow one after the other with durations ranging from tens to hundreds of  $\mu\text{s}$ , off-times being in the ms range (see fig. 1 and [19, 32]). This cascade effect logically disappears when the current half period becomes close to the typical duration of a discharge, which is 1.5 kHz. In this case, we can see that one and only one discharge appears per cycle and that breakdown occurs only during the anodic half period (see fig. 1). At 2 kHz, we can clearly see that some anodic discharge events are shut down by the inception of the cathodic period. Moreover at 2 and 2.5 kHz and after a certain amount of time (typically 15 - 20 minutes), intense current peaks are observed during the cathodic half period, which suggests the presence of cathodic discharges. These cathodic current peaks remain until the end of the treatment (30 minutes).

This observation is confirmed by high-speed imaging (fig. 2). Two different discharge spots, one during the anodic half-period and one during the cathodic half-period are clearly visible (see fig. 2). It is worth noting that anodic and cathodic discharges occur at different locations on the sample. *A priori*, there is no rapid inversion of the charge motion that could maintain the plasma at the same location during the voltage reversal.

The presence of cathodic discharge on Aluminium surface at a pH significantly higher than the isoelectric point

(IEP) may appear at first sight surprising and somehow contradictory with some published results [23]. On the other hand, the fact that the presence of cathodic discharges is triggered at high frequency (above 2 kHz – see fig. 1) suggests that physical phenomena related to charge mobility are at stake. The velocity of charge carriers  $v_c$  in a given medium under a given electric field  $E$  is defined by the following expression:

$$v_c = \mu_C E$$

where  $\mu_C$  is the mobility (in  $\text{m}^2 \text{s}^{-1} \text{V}^{-1}$ ) of charge carriers. The mobility of common charge carriers in water is given in table I. From figure 2, we can estimate the anodic voltage to be about 600 V and the cathodic one around  $-250\text{V}$ . The distance between the counter-electrodes and the sample being about 20 cm, the anodic and cathodic electric fields  $Ea$  and  $Ec$  are equal to 3 and  $1.25 \text{ kV m}^{-1}$  respectively. Hence, velocities of different species under anodic and cathodic electric fields can be easily calculated as well as the distance traveled within a half-period of time – 200  $\mu\text{s}$  at 2.5 kHz (see table I). Then, it appears that, depending on the charge carrier, the distance varies from 31 to 217 nm from the substrate surface.

These distance must be compared with the thickness  $\lambda_D$  of an EDL, which can be estimated by the Debye-Hückel relation:

$$\lambda_D = \left( \frac{\varepsilon_0 \varepsilon_{rw} N_A k_B T}{2 F^2 I} \right)^{1/2}$$

where  $\varepsilon_0$  and  $\varepsilon_{rw}$  are the permittivity in vacuum and the dielectric constant of water (81) respectively,  $N_A$  is Avogadro’s number,  $F$  is Faraday’s constant,  $k_B$  the Boltzmann’s constant,  $T$  the electrolyte temperature and  $I$  the ionic strength of the electrolyte. The ionic strength is:

$$I = \frac{1}{2} \sum_{i=1}^n c_i z_i^2$$

where  $c_i$  and  $z_i$  are respectively the concentration and the valence of ion  $i$ . For a conventional PEO electrolyte ( $[\text{KOH}] = 0.018 \text{ mol L}^{-1}$ ,  $[\text{Na}_2\text{SiO}_3] = 0.0135 \text{ mol L}^{-1}$ ),  $I$  equals 0.0585 M. Then,  $\lambda_D$  can be estimated to be about 40 nm. This means that at this frequency, the duration of a half-period is not sufficient to completely expel oppositely-charged species (and repeal equally-charged species). In other terms, the short duration of the pulses makes the build-up of the EDL impossible. Consequently, the externally applied electric field is not shielded anymore by the EDL under cathodic polarization, making breakdown possible under this polarization.

Figure 3 shows the distance traveled by  $\text{Na}^+$  and  $\text{K}^+$  anions as a function of time under anodic electric field.

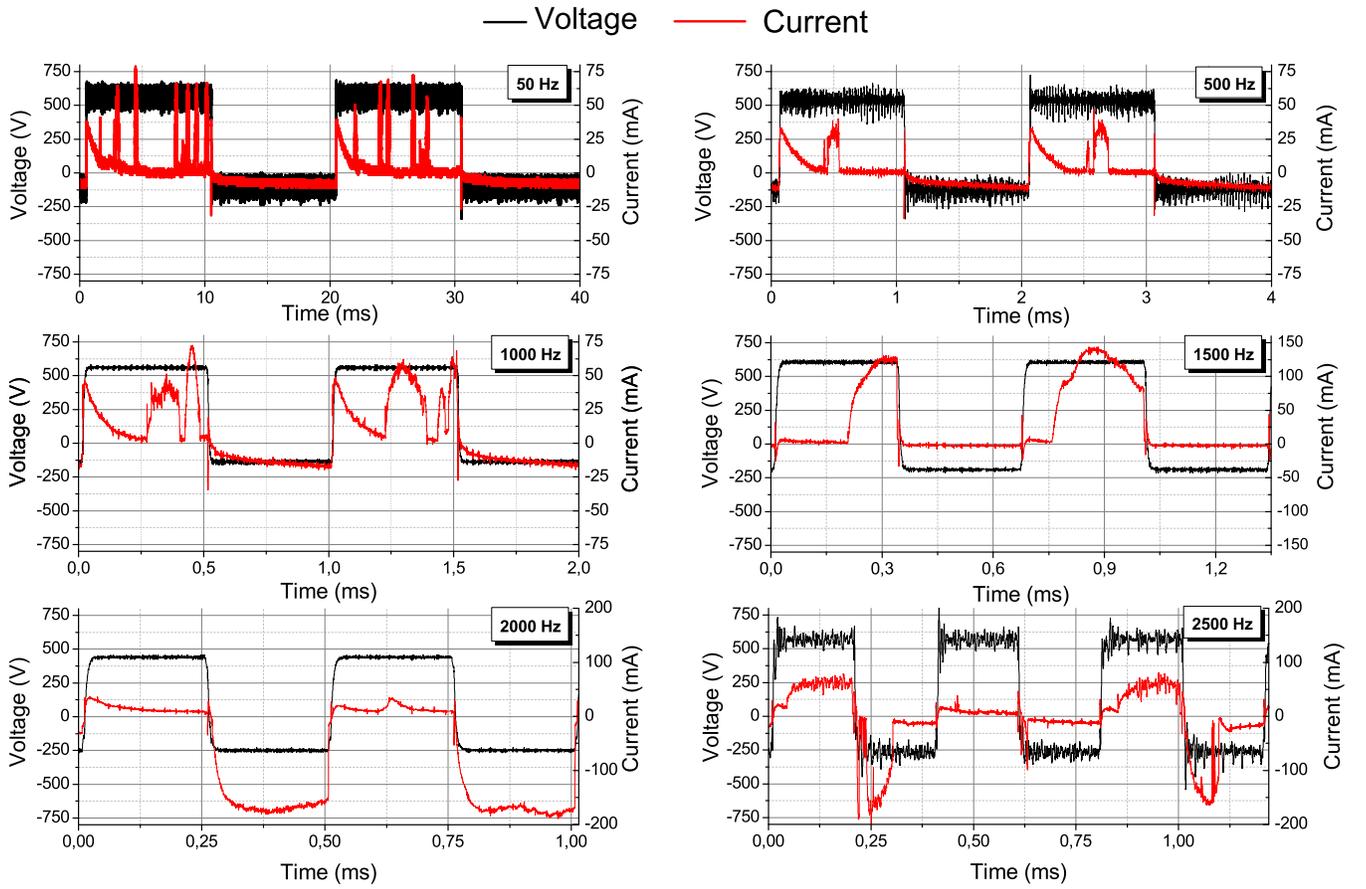


Figure 1. Current and voltage time evolutions at different frequencies in the PEO process of Al-6082 Aluminum alloy in alkaline silicate electrolyte ( $[\text{KOH}] = 1 \text{ g L}^{-1}$ ,  $[\text{Na}_2\text{SiO}_3] = 1.65 \text{ g L}^{-1}$ ).

Table I. Ionic mobilities of different possible charge carriers and their velocity  $v_{anodic}$  and  $v_{cathodic}$  under anodic and cathodic polarization respectively. Distance  $d_{anodic}$  and  $d_{cathodic}$  travelled under anodic and cathodic polarization respectively at 2500 Hz (i.e.,  $200 \mu\text{s}$  half-period). Data for ion mobility are taken from [33].

Carrier	Mobility in $\text{H}_2\text{O}$ ( $\text{m}^2 \text{s}^{-1} \text{V}^{-1}$ )	$v_{anodic}$ ( $\text{m s}^{-1}$ )	$v_{cathodic}$ ( $\text{m s}^{-1}$ )	$d_{anodic}$ (nm) at 2500 Hz	$d_{cathodic}$ (nm) at 2500 Hz
$\text{K}^+$	7.62	$2.3 \cdot 10^{-4}$	$9.5 \cdot 10^{-5}$	46	19
$\text{Na}^+$	5.19	$1.6 \cdot 10^{-4}$	$6.5 \cdot 10^{-5}$	31	13
$\text{H}^+$	36.23	$1.1 \cdot 10^{-3}$	$4.5 \cdot 10^{-4}$	217	90
$\text{OH}^-$	20.64	$6.2 \cdot 10^{-4}$	$2.6 \cdot 10^{-4}$	124	52

It appears that the time needed to travel a distance corresponding to the EDL thickness is between 175 and  $260 \mu\text{s}$ . In other terms, cathodic MDs should appear at a frequency higher than a threshold within the range  $[1.92 - 2.86 \text{ kHz}]$ . Figure 1 shows that cathodic MDs appear at a frequency higher than 2 kHz, a value in good agreement with theoretical results. Since cathodic discharges are associated with an increase in the cathodic current, it is interesting to note that Parfenov *et al.* [7] and Yerokhin *et al.* [34] have observed a significant increase in cathodic current starting in the kHz range when

they swept the frequency in a range between 20 Hz and 20 kHz (see fig. 4 in [7] and fig. 1 in [34]). These results, although obtained in experimental conditions that are slightly different from those studied here, strengthens the consistency of the present results.

These findings raise the question of the role of this particular type of cathodic MDs. In uncommon electrolytes (*e.g.*  $\text{NH}_4\text{F}$  based), cathodic discharges tend to delaminate the coating. Therefore, they are considered as detrimental to the coating growth. On the other hand, in the present conditions, no delamination nor any other

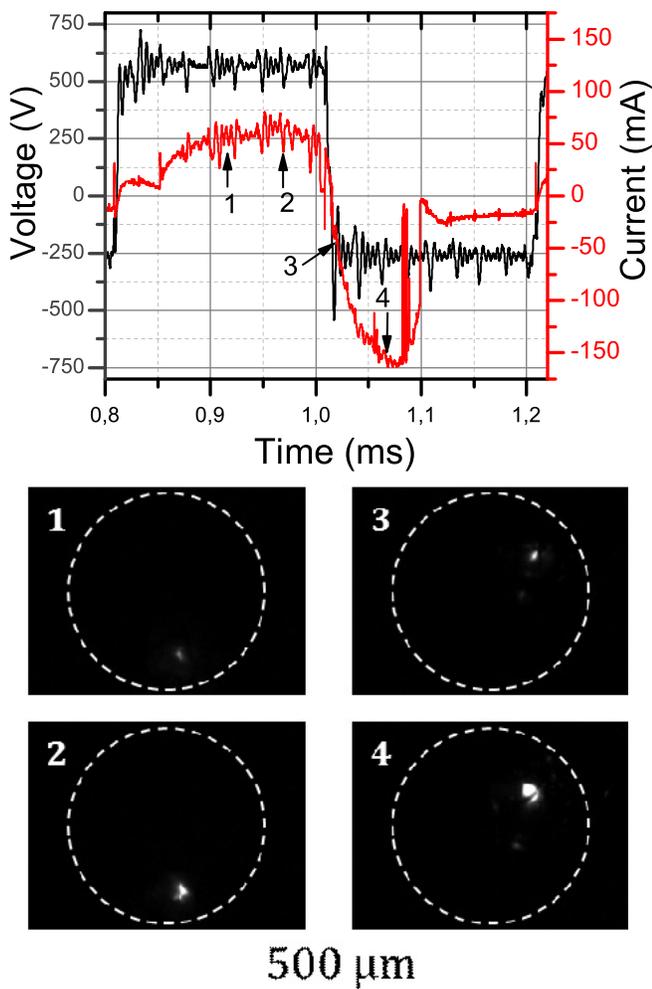


Figure 2. Current and voltage time evolutions at 2500 Hz and corresponding frames showing the presence of discharge events. In dashed line circles show the approximate location of the sample boundary.

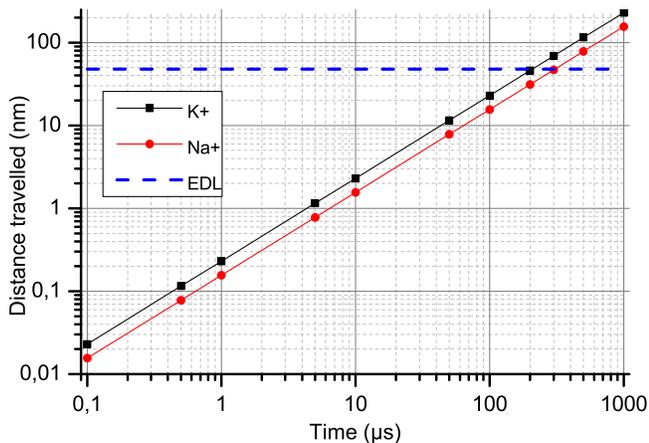


Figure 3. Distance traveled by different charge carriers under anodic polarizations versus time. The EDL thickness is shown by the dotted line.

obvious detrimental effect (see fig 6 of reference [31]) had been observed. Power consumptions at 1.5 kHz (without cathodic MDs) and the one at 2.5kHz (with cathodic MDs) are rather similar (around 50 W on the small sample area). However, the presence of cathodic discharges may be a more efficient way to transfer energy to the coating either by increasing the growth rate or by promoting high temperature phases such as  $\alpha$ - $\text{Al}_2\text{O}_3$ ). A specific study is necessary to state on the effect of high-frequency induced cathodic MDs on coating growth and crystallographic composition.

In any case, these results show that the mobility of charges in the electrolyte is an important parameter of the PEO process as well as the intensities of electric fields (anodic and cathodic). It must be taken into account both in the elaboration of electrolytes (as pH or conductivity are) and in the design of reactors. Indeed, if high-frequency induced cathodic discharges are of interest to improve coating growth or heating (*i.e.* the promotion of high-temperature phases), then it might be useful to decrease the threshold frequency by using less mobile ionic species (or slightly decrease  $Ec$ ). Otherwise, if these cathodic MDs do not show any assets for coating growth, then it would be desirable to extend the frequency range over which they do not appear. To do so, very mobile species or higher  $Ec$  are needed to make the EDL shielding build up very rapidly.

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