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Antarctic climate, Southern Ocean circulation patterns, and deep water formation during the Eocene

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Abstract We assess early-to-middle Eocene seawater neodymium (Nd) isotope records from seven Southern Ocean deep-sea drill sites to evaluate the role of Southern Ocean circulation in long-term Cenozoic climate change. Our study sites are strategically located on either side of the Tasman Gateway and are positioned at a range of shallow (<500 m) to intermediate/deep (~1000–2500 m) paleowater depths. Unradiogenic seawater Nd isotopic compositions, reconstructed from fish teeth at intermediate/deep Indian Ocean pelagic sites (Ocean Drilling Program (ODP) Sites 738 and 757 and Deep Sea Drilling Project (DSDP) Site 264), indicate a dominant Southern Ocean-sourced contribution to regional deep waters ($\varepsilon_{\text{Nd}} = -9.3 \pm 1.5$). IODP Site U1356 off the coast of Adélie Land, a locus of modern-day Antarctic Bottom Water production, is identified as a site of persistent deep water formation from the early Eocene to the Oligocene. East of the Tasman Gateway an additional local source of intermediate/deep water formation is inferred at ODP Site 277 in the SW Pacific Ocean ($\varepsilon_{\text{Nd}} = -8.7 \pm 1.5$). Antarctic-proximal shelf sites (ODP Site 1171 and Site U1356) reveal a pronounced erosional event between 49 and 48 Ma, manifested by ~2 $\varepsilon_{\text{Nd}}$ unit negative excursions in seawater chemistry toward the composition of bulk sediments at these sites. This erosional event coincides with the termination of peak global warmth following the Early Eocene Climatic Optimum and is associated with documented cooling across the study region and increased export of Antarctic deep waters, highlighting the complexity and importance of Southern Ocean circulation in the greenhouse climate of the Eocene.

1. Introduction

The Paleogene time period (66 to 23 Ma) encompasses the transition from the warm “greenhouse” climate state of the late Paleocene and early Eocene (59 to 48 Ma) to the colder “icehouse” climate state of the Oligocene (34 to 23 Ma) [Zachos et al., 2008]. The warmest climatic conditions of the Paleogene occurred during the Early Eocene Climatic Optimum (EECO) (~52 to 48 Ma) [Sexton et al., 2011; Zachos et al., 2008] when deep ocean temperatures reached ~12°C [Littler et al., 2014; Zachos et al., 2001] and polar regions were characterized by extreme warmth with Antarctic coastal summer temperatures in excess of 20°C [Press et al., 2012]. Following the EECO, global temperatures declined until the prevailing greenhouse conditions ended at the Eocene-Oligocene Transition (EOT) (~34 Ma) with continental-scale glaciation of Antarctica and ocean cooling [e.g., Coxall et al., 2005; Dunkley Jones et al., 2008; Ehrmann et al., 1992; Houben et al., 2013; Lear et al., 2008; Liu et al., 2009; Passchier et al., 2017; Zachos et al., 2001].

The relative role of decreasing atmospheric CO$_2$ versus changing Southern Ocean circulation patterns resulting from the opening of high-latitude ocean gateways (i.e., Drake Passage and Tasman Gateway) in the transition from greenhouse to icehouse climates during the Paleogene has been much debated [e.g., DeConto and Pollard, 2003; Galeotti et al., 2016; Goldner et al., 2014; Huber and Nof, 2006; Kennett, 1977; Sijp et al., 2011], with several studies highlighting the complex nature of the long-term cooling trend during the Eocene [Bohaty and Zachos, 2003; Douglas et al., 2014; Scher et al., 2014]. Eocene reconstructions of CO$_2$ concentrations indicate a decline from ≥1400 ppm to ~500 ppm by the early Oligocene [Anagnostou et al., 2016; Galeotti et al., 2016; Pagani et al., 2005, 2011]. Although deep oceans cooled during the Eocene [e.g., Zachos et al., 2001], much less is known about the associated changes in circulation patterns and dominant source regions of deep water formation. Modeling and geochemical data-based studies have proposed both the Southern Ocean and the low latitudes as regions of deep water formation during the warmest periods of the Cretaceous and early Cenozoic [e.g., Brass et al., 1982; Kennett and Stott, 1991; Bice et al., 1997; Cramer et al., 2009; Hague et al., 2012; Pak and Miller, 1992; Scher and Martin, 2004; Sijp and England, 2016;
Thomas, 2004; Thomas et al., 2014), leaving large uncertainty about the link between ocean circulation and climate during the early Cenozoic greenhouse (e.g., the early Eocene “equable climate paradox”) [Huber and Caballero, 2011]. This is in part due to a lack of detailed records documenting Eocene climate and ocean circulation in key geographical areas.

In this study we reconstruct Southern Ocean water mass distributions during the early to middle Eocene from seven different Integrated Ocean Drilling Program (IODP), Ocean Drilling Program (ODP), and Deep Sea Drilling Project (DSDP) sites in the Tasman region, Indian Ocean, and the Southwestern Pacific Ocean using the neodymium (Nd) isotopic composition of fossil fish teeth. Neodymium is incorporated into the teeth during the fossilization process at the sediment-water interface and records the composition of bottom waters at the time of deposition [Martin and Haley, 2000; Shaw and Wasserburg, 1985]. The short residence time of Nd in seawater (~400 to 1000 years) [Arsoze et al., 2009; Tachikawa et al., 2003] allows the Nd isotope composition of fish teeth to be used as a tracer of prevailing water masses and ocean circulation. Our new Southern Ocean records provide insights into (i) pathways of deep and intermediate water masses during the Eocene, (ii) locations of local bottom water formation, and (iii) transient perturbations of seawater chemistry in the Southern Ocean due to changes in Antarctic climate and erosion. We suggest that the Southern Ocean acted as a major source of deep water to the surrounding ocean basins during the Eocene, highlighting the importance of this region in controlling climate even during the warmest periods of the Cenozoic.

### 2. Study Sites and Age Models

An overview of the study sites is presented in Table 1 and discussed in more detail below. Additional information including details of the age models used can be found in the supporting information.

#### 2.1. Pelagic Sites

Four pelagic Southern Ocean drill sites were sampled to obtain low-resolution records of intermediate/deep water masses in the ocean basins adjacent to the Eocene Tasman Gateway region (Figure 1). Three of these sites are located in the Indian Ocean: Deep-Sea Drilling Project (DSDP) Site 264 (34°58′S, 112°02′E) [Hayes et al., 1975], and Ocean Drilling Program (ODP) Sites 738 (62°42′S, 82°47′E) [Barron et al., 1989] and 757 (17°01′S, 88°10′E) [Kennett et al., 1975], is located in the SW Pacific. The sediments at these four pelagic sites consist predominantly of calcareous nanofossil ooze, and Eocene paleowater depths range from 1000 to 1500 m for Sites 277, 738, and 757 [Hollis et al., 1997; Roberts et al., 2011; Zachos et al., 1992] to 2500 m for Site 264 (see supporting information). Site 738 has approximately remained at its present latitude (62°S) since the Eocene. Sites 264, 277, and 757 were located farther south than their current latitudes, at ~50°S, ~65°S, and ~40°S, respectively [Hollis et al., 2015; van Hinsbergen et al., 2015; Zachos et al., 1992]. Age models for these sites are predominantly based on biostratigraphy with ages updated to the GTS2012 age scale [Bohaty et al., 2009; Huber and Quillévéré, 2005; Vandenbergh et al., 2012].

#### 2.2. Shelf Sites

Three shelf sites located on both the Indian (IODP Site U1356) and Pacific (ODP Sites 1171 and 1172) sides of the Tasman Gateway (Figure 1) were included in this study. We use the age models of Bijl et al. [2013a] for all three of these sites, which are based on magnetostratigraphy and nanofossil and dinocyst biostratigraphy calibrated to the GTS2012 timescale.

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### Table 1. Summary of Site and Sample Information Considered in This Study

<table>
<thead>
<tr>
<th>Leg</th>
<th>Program</th>
<th>Site</th>
<th>Hole/s</th>
<th>Cores</th>
<th>Sample Range (mbsf)</th>
<th>Present Location</th>
<th>Water Depth (m)</th>
<th>Paleolatitude</th>
<th>Paleodepth (m)</th>
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<tr>
<td>28</td>
<td>DSDP</td>
<td>264</td>
<td>A</td>
<td>3R-4R</td>
<td>140.30–151.35</td>
<td>34°58′S, 112°02′E</td>
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<td>~50°S</td>
<td>~2500</td>
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<tr>
<td>29</td>
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<td>277</td>
<td>-</td>
<td>38R-43R</td>
<td>389.20–435.00</td>
<td>52°13′S, 166°11′E</td>
<td>1232</td>
<td>~65°S</td>
<td>~1000</td>
</tr>
<tr>
<td>119</td>
<td>ODP</td>
<td>738</td>
<td>B, C</td>
<td>17X-24X, 6R-8R</td>
<td>140.95–264.70</td>
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<td>~62°S</td>
<td>~1500</td>
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<tr>
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<td>1171</td>
<td>D</td>
<td>3SR-71R</td>
<td>565.52–912.85</td>
<td>48°29′S, 149°6′E</td>
<td>2150</td>
<td>~70°S</td>
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<td>~65°S</td>
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<td>U1356</td>
<td>A</td>
<td>67R-106R</td>
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<td>63°18′S, 135°5′E</td>
<td>4003</td>
<td>~65°S</td>
<td>500–1000</td>
</tr>
</tbody>
</table>

*See main text for references.*
ODP Site 1171 (48°29'S, 149°6'E) on the South Tasman Rise (STR) was positioned adjacent to the Antarctic margin at ~70°S during the Eocene [Bijl et al., 2013b; Cande and Stock, 2004]. ODP Site 1172 (43°57'S, 149°55'E) was positioned on the East Tasman Plateau (ETP) and was also located farther south at ~65°S during the Eocene [Bijl et al., 2013b; Exon et al., 2001]. Both sites subsided through the Eocene, with the depositional environments evolving from restricted shallow-shelf (<500 m paleodepth) to more hemipelagic (~1000 m paleodepth) conditions by the late Eocene [Exon et al., 2001; Scher et al., 2015].

Site U1356 (63°18'S, 135°59'E) was positioned within the enclosed Austral-Antarctic Gulf (AAG; Figure 1) during the early and middle Eocene. Progressive subsidence of the site occurred through the Eocene and Oligocene, as evidenced by lithological and dinocyst assemblage changes [Bijl et al., 2013a, 2013b; Escutia et al., 2011]. Existing paleodepth reconstructions suggest deepening from a shallow marine setting (~500 m paleodepth) in the early Eocene to a more hemipelagic slope setting (>1000 m) by the earliest Oligocene; however, there is a significant level of uncertainty associated with these estimates [Escutia et al., 2011]. The stratigraphic sequence of Site U1356 is interrupted by two hiatuses which span from ~51 to 49 Ma and from ~46 to 33.6 Ma [see Bijl et al., 2013b; Houben et al., 2013; Tauxe et al., 2012]. For the purposes of our study, the three hiatus-bound sedimentary packages recovered at Site U1356 will be designated according to age and referenced to as follows: the early Eocene (1006.4 to 948.8 meters below sea floor (mbsf); ~54 to 51.5 Ma), “mid”-Eocene (948.8 to 894.68 mbsf; ~49 to 46 Ma), and Oligocene (894.68 to 633.47 mbsf; ~33.5 to 25 Ma).

3. Methods
3.1. Neodymium Isotopes
3.1.1. Fossil Fish Tooth Sample Preparation
A total of 41 samples across all study sites were prepared for fish tooth Nd isotope analyses for this study. We also include data from an additional 25 fish tooth samples analyzed from mid-Eocene Core U1356A-98R [Huck et al., 2016]. Fossil fish teeth were picked from the >63 μm sediment fractions that were prepared by wet sieving. To test whether a full oxidative-reductive cleaning protocol was necessary for fish tooth analysis, two samples each from Site U1356, Site 1171, and Site 1172, reflecting a range of ages, different sediment compositions, and paleowater depths, were split into two aliquots. One sample split (referred to as “cleaned”) was subjected to a full oxidative-reductive cleaning protocol to remove Fe-Mn oxyhydroxide coatings using the method adapted from Boyle and Keigwin [1985]. The other split ("uncleaned") was treated with the simplified MQ-water and methanol-only cleaning method of Martin and Haley [2000] (supporting information Table S1). Cleaned fish tooth samples were subsequently dissolved overnight in 2 M HCl. A fossil bone

Figure 1. (a) Location of IODP/ODP/DSDP drill sites considered in this study illustrated on a 45 Ma paleogeographic reconstruction made using Ocean Drilling Stratigraphic Network online toolkit (www.odsn.de). Circles denote pelagic sites (≥1000 m), and squares denote shallow shelf sites (<500 m). Major Southern Ocean gateways are outlined with dashed lines. White outlined areas represent submerged plateaus: KP = Kerguelen Plateau, NER = Ninetyeast Ridge, NP = Naturaliste Plateau, CP = Campbell Plateau, and LHR = Lord Howe Rise. (b) Early Eocene reconstruction of shelf sites in the AAG adapted from Bijl et al. [2013a].
To correct for the decay of $^{147}$Sm to $^{144}$Nd within the fish teeth over time we used Sm and Nd concentrations obtained from one or more samples at every site (supporting information Table S2). $^{147}$Sm/$^{144}$Nd ratios for all samples are between 0.124 and 0.174 and are consistent with values for Cretaceous to Miocene fossil tooth material [Martin and Scher, 2006; Moiroud et al., 2013; Thomas et al., 2003]. These maximum and minimum $^{147}$Sm/$^{144}$Nd ratios would yield a difference of between 10 and 30 ppm if applied to $\varepsilon_{Nd}$ calculations for the same samples, which is within the external reproducibility reported. We therefore applied a site-specific average value ($^{147}$Sm/$^{144}$Nd = 0.128 to 0.153) to all samples and denote all $^{147}$Sm decay-corrected Nd isotope data as $\varepsilon_{Nd(t)}$ (where $\varepsilon_{Nd}$ is the deviation of the $^{143}$Nd/$^{144}$Nd ratio measured in a sample from that of the chondritic uniform reservoir in parts per 10,000 [Jacobsen and Wasserburg, 1980] and $n$ denotes the sample correction for radiogenic ingrowth over time).

3.2. Rare Earth Elements

The full suite of REE concentrations were determined for 10 fossil fish tooth samples from the early Eocene and Oligocene sections from Site U1356 and are compiled with a further 16 mid-Eocene samples from Huck et al. [2016]. Two samples each from Sites 1171 and 1172 and one sample each from Sites 757, 738, 264, and 277 were also analyzed. Major and trace element analysis was performed at the Open University using an Agilent 7500s ICP-MS (supporting information Table S2). Oxide interferences were kept below 0.3% for CeO$^+/Ce^+$ and 0.8% for (Ce$^{+++}$/Ce$^+$). Analyses were standardized against seven synthetic reference materials selected for their similarity to the samples that were measured at the beginning and end of each analytical run. Detection limits for elements with atomic masses greater than 85 were typically <10 ppt in solution but were somewhat higher for lighter elements (10–100 ppt in solution). Precision was routinely better than ±2% for elements heavier than rubidium (Rb) (where concentrations exceed 0.5 ppm) and 2–4% for
lighter elements. All sample REE data were normalized to Post Archean Shale (PAAS) concentrations [Taylor and McLennan, 1985]. The Ce anomaly for fish tooth samples (Ce/Ce*) was calculated following De Baar et al. [1985], where Ce/Ce* = 2Ce/La + Pr.

4. Results

4.1. Fossil Fish Tooth Nd Isotopic Compositions

ɛNd(t) Values of fish tooth samples from all pelagic sites in this study yield an average value of −9.1 ± 1.6 (2σ, n = 19). Indian Ocean Sites 264, 738, and 757 range from −10.7 to −7.8 within the time interval between −52 and 44 Ma (average ɛNd(t) = 9.3 ± 1.5, 2σ, n = 13; supporting information Table S1 and Figure 2). The most unradiogenic values at Sites 738 and 757 (ɛNd(t) = −10.7 and −9.8, respectively) occur between −50 and 48.5 Ma, with increasingly radiogenic compositions recorded at these sites between −48 and 44 Ma. The three fish tooth samples from Site 264 have a similar isotopic composition (ɛNd(t) = −9.6 to −9.1). ɛNd(t) values of fish tooth samples from the pelagic SW Pacific Site 277 range from −9.7 to −7.3 between −53 and −47 Ma (average ɛNd(t) = −8.7 ± 1.7, 2σ, n = 6). The most negative ɛNd(t) value of −9.7 recorded at Site 277 occurs at −49 Ma (Figure 2).

ɛNd(t) Values of early to middle Eocene-aged fish tooth samples at shelf Sites 1171 and 1172 span a range of −8.9 to −5.3. The most negative Nd isotopic composition recorded at Site 1172 occurs at −50 Ma (ɛNd(t) = −7.9), whereas the fish tooth ɛNd(t) record at Site 1171 contains two negative excursions to minimum values of −8.9 at −48.7 Ma and −8.2 at −47.8 Ma (Figure 2). Including previously published data from Huck et al. [2016], compiled fish tooth ɛNd(t) values spanning the early Eocene to Oligocene (−54 to −25 Ma) at IODP Site U1356 span a range of −3 epsilon units (ɛNd(t) = −9.6 to −12.4) (Figure 2 and supporting information Table S1). Early Eocene fish teeth at Site U1356 have an average Nd isotope ɛNd(t) value of −10.7 ± 1.0 (2σ, n = 11). During the mid-Eocene interval, a negative excursion to a minimum value of −12.4 is observed at 48.2 Ma. Within the Oligocene section of Site U1356, ɛNd(t) values vary by 1 epsilon unit between −10.2 and −11.2 with an average value of −10.7 ± 0.8 (2σ, n = 8), similar to the average early Eocene value.

4.2. Site U1356 and Site 1171 Bulk Sediment Nd Isotopic Compositions

At Site U1356, the Nd isotopic composition of bulk sediment samples from the early to mid-Eocene interval (−47 to 53 Ma) of this study and Huck et al. [2016] span a narrow range of −1.7 epsilon units (−13.1 to −14.8) (Figure 3 and supporting information Table S1). These bulk sediment values are more negative than fish tooth ɛNd(t) Values by at least 2 epsilon units throughout the study section (Figure 3 and supporting information Table S1) [Huck et al., 2016]. Across the early to middle Eocene (−49 and 47.5 Ma) interval of Site 1171, the Nd isotopic composition of bulk sediment shows limited variability (ɛNd(t) = −9.3 to −9.8) in contrast to the large amplitude variations observed in fish tooth samples. Similar to the results obtained from Site U1356, the bulk sediment ɛNd(t) values at Site 1171 are lower than the value obtained from fish teeth from the same interval (Figure 3) by ~2 epsilon units.

4.3. Fossil Fish Tooth REE Patterns

The REE patterns of fossil fish tooth samples were primarily generated to confirm a seawater-derived signal for Nd in all of our samples. Fish tooth samples from pelagic Sites 738, 757, 277, and 264 yielded middle-REE enriched patterns with a pronounced negative Ce anomaly (Ce/Ce* = 0.3 to 0.6) and a positive yttrium (Y) anomaly (Figure 4). Such patterns, as well as low overall REE concentrations, are diagnostic of a seawater origin [e.g., German and Elderfield, 1990; Scher et al., 2011]. The fish tooth REE patterns from shelf Sites 1171, 1172, and U1356 show a similar enrichment in mid-REEs but a positive Ce anomaly (Ce/Ce* = 1.0 to 1.9) (Figure 4). The positive Ce anomalies may arise from increased weathering of proximal continental regions and/or remobilized REEs from authigenic or organic coatings [e.g., Elderfield and Pagett, 1986; Freslon et al., 2014; Huck et al., 2016; Wright et al., 1987]. Oligocene fish tooth REE concentrations at Site U1356 (gray color in Figure 4 and supporting information Table S2) are generally more enriched than those of the Eocene samples (dark and light green color in Figure 4 and supporting information Table S2). All cleaned and uncleaned fish tooth samples from pelagic and shelf sites show similar REE patterns, with cleaned samples having consistently lower REE concentrations (supporting information Table S2).
Figure 2. (a) Eocene-Oligocene Nd isotopic composition of fossil fish teeth and debris at Site U1356 from this study and Huck et al. [2016]. (b) Early to middle Eocene Nd isotope data for fossil fish tooth samples from shallow Sites 1171 (orange squares) and 1172 (yellow squares) and U1356 (light green squares). (c) Pelagic Sites 757 (pink circles), 738 (purple circles), 264 (red circles), and 277 (blue circles).
5. Discussion

To evaluate ocean circulation in the Southern Ocean during the Eocene, we separate our study sites into two geographical provinces: (i) those located in the Indian Ocean sector of the Southern Ocean situated to the west of the Tasman Gateway and (ii) sites from the Pacific sector of the Southern Ocean to the east of the Tasman Gateway. Interpreted circulation patterns and history derived from these records are then synthesized and discussed in the context of the cooling trend that followed the maximum warmth of the EECO.


Fish tooth Nd isotope records have previously been reported for Indian Ocean Site 757 ($\epsilon_{Nd(t)} = -6.0$ to $-7.5$; 34–40 Ma) [Martin and Scher, 2006] (Figure 5) and Sites 689 and 1090 in the Atlantic sector of the Southern Ocean ($\epsilon_{Nd(t)} = -5.2$ to $-9.5$; 34–46 Ma) [Scher and Martin, 2004, 2006] (Figure 5). Our new fish tooth Nd isotope records extend these records from 43.8 Ma to 52 Ma and overlap with previously published $\epsilon_{Nd(t)}$ values between 43.8 and 46 Ma ($\epsilon_{Nd(t)} = -8.8$ to $-9.0$ and $-7.8$ to $-8.1$ for sites 738 and 757 respectively; supporting information Table S1 and Figure 5). However, more unradiogenic compositions (i.e., lower $\epsilon_{Nd(t)}$ values) are observed throughout the early to middle Eocene than previously recorded in the Indian Ocean during the Eocene, with minimum $\epsilon_{Nd(t)}$ values of $-9.6$ to $-10.7$ across all sites (Figure 2).

Eocene seawater $\epsilon_{Nd(t)}$ values below $-9.5$ have previously been documented only in fish debris and ferromanganese crust records from the North Atlantic ($\epsilon_{Nd(t)} = -7.1$ to $-10.5$) [Burton et al., 1997; Thomas et al., 2003] (Figure 6). Hohbein et al. [2012] proposed formation of deep water in the North Atlantic Basin from the early to middle Eocene transition, but significant southward export of these waters to equatorial Atlantic areas has only been detected from the late Eocene [Borrelli et al., 2014]. Therefore, it seems unlikely that a significant contribution of unradiogenic Nd was transported to our Indian Ocean study sites from the North Atlantic during the early and middle Eocene. This argument is further supported by the subsidence history of Ninety East Ridge and the Kerguelen Plateau which allowed the exchange of intermediate waters between the east and west Indian Ocean basins by the Eocene [e.g., Zachos et al., 1992] but may have restricted the flow of deeper waters from entering the east Indian Ocean.

Another possible source of deep water to the Indian and Southern Oceans during the Eocene may have been the Tethys Ocean [e.g., Kennett and Stott, 1991; Scher and Martin, 2004]. Limited Nd isotope data for seawater in the Tethys during the Eocene are derived from glauconitic deposits in Northern Europe ($\epsilon_{Nd(t)} = -9.3$ to $-9.8$) [Stille and Fischer, 1990] and phosphate-bearing carbonates in southern Israel ($\epsilon_{Nd(t)} = -7.5$) [Soudry et al., 2006]. Export of waters with this range of $\epsilon_{Nd(t)}$ values from the Tethys may explain our fish tooth record at Site 757, but not at Site 738, which reaches $\epsilon_{Nd(t)}$ values as low as $-10.7$ (Figure 5). Furthermore, early Eocene benthic foraminifer $\delta^{18}O$ reconstructions from Sites 757 and 738 are consistent with a southern sourced, cooler water mass [Zachos et al., 1992] rather than a warmer water mass originating at low latitudes.

Figure 3. Neodymium isotopic composition of fossil fish teeth and debris at shelf Sites U1356 (green squares), 1171 (orange squares), and 1172 (yellow squares). Bulk sediment $\epsilon_{Nd(t)}$ values (open circles) are indicated by the corresponding orange (Site 1171) and green (Site U1356) bars. Sediment data for Site U1356 are from this study and Huck et al. [2016].
The early to middle Eocene $\varepsilon_{\text{Nd}}(t)$ values recorded by fossil fish teeth at Indian Ocean Sites 264, 738, and 757 are similar to modern-day bottom waters forming along the Antarctic margin in regions such as the Wilkes Land-Adélie Coast ($\varepsilon_{\text{Nd}} = -9.3$ to $-10.5$) [van de Flierdt et al., 2006; Lambelet et al., 2014]. This unradiogenic composition reflects the influence of Archean and Proterozoic bedrock outcropping along the Wilkes Land and Adélie Coast margin [e.g., Pierce et al., 2011, 2014]. Average fish tooth $\varepsilon_{\text{Nd}}(t)$ values of the Wilkes Land/Adélie Coast Site U1356 during the early Eocene and Oligocene are $-10.7 \pm 1.0$ (2sd, $n = 11$) and $-10.6 \pm 0.8$ (2sd, $n = 8$), respectively (supporting information Table S1 and Figure 2), and are relatively invariant through time despite progressive deepening of the site from a shallow marine to hemipelagic slope environment (>1000 m) during the Eocene [e.g., Escutia et al., 2011; Lawver and Gahagan, 2003]. A stable Nd isotopic composition over a range of depths at Site U1356 is consistent with modern day observations of the formation of bottom water off the Adélie Coast. A source of locally forming waters on the Wilkes Land-Adélie Coast margin during the Eocene provides a suitable Nd end-member for the unradiogenic Nd compositions reconstructed at the Indian Ocean pelagic sites in this study.

The modern process of AABW production from modified Antarctic Surface Water (AASW) and Circumpolar Deep Water (CDW) via sea ice formation and winter cooling [e.g., Orsi and Wiederwohl, 2009] would not have been possible during the persistant Antarctic warmth of the early Eocene [e.g., Pross et al., 2012]. However, modeling studies suggest that Cretaceous to Eocene deep water formation and downwellmg may have occurred due to density contrasts created by seasonal changes in surface water temperatures and salinity (with or without the presence of sea ice) [e.g., Lunt et al., 2010; Huber and Sloan, 2001; Otto-Bliesner et al.,

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**Figure 4.** Rare earth element (REE) patterns normalized to PAAS [Taylor and McLennan, 1985] for (a) shallow water Sites 1171 (orange) and 1172 (yellow), (b) Wilkes Land Site U1356 Early Eocene (green; this study, <500 m water depth) to mid-Eocene (dark green; this study and Huck et al. [2016]) and Oligocene intervals (gray, ~1000 m water depth), and (c) pelagic sites 757 (pink), 738 (purple), 264 (red), and 277 (blue).
More recently, Sijp and England [2016] demonstrated that reduced pole-to-equator sea surface temperature gradients, such as those reconstructed from the Tasman Gateway region during the early Eocene [e.g., Bijl et al., 2009], had little effect on modeled ocean circulation patterns or deep water formation in the southern high latitudes.

Two final observations from our fish tooth Nd data support the persistent export of deep waters from the Antarctic shelves during the early to middle Eocene: (1) the Nd isotope records from high-latitude Site 738 and more northerly Site 757 (Figure 1) follow the same trend towards more radiogenic $\varepsilon_{Nd(t)}$ values during the early to middle Eocene and (2) a consistent Nd isotope gradient of $\pm 1$ $\varepsilon_{Nd}$ unit exists between these
two sites across a south-to-north transect, with lower values at southern Site 738 (Figure 5), suggesting a source to the south. We speculate that entrainment and export of Antarctic shelf-sourced waters to the Indian Ocean study sites likely occurred in geostrophically driven deep western boundary currents [e.g., Scher et al., 2014] (Figure 7) and is consistent with several proxy-based [e.g., Corfield and Norris, 1996; Cramer et al., 2009; Katz et al., 2011; Pak and Miller, 1992; Sexton et al., 2006; Zachos et al., 1992, 1994] and model-based studies [e.g., Bice et al., 1997; Douglas et al., 2014; Uenzelmann-Neben et al., 2016].

5.2. Eocene Circulation and Origin of Deep Water Masses in the Southwest Pacific

Fish tooth $\epsilon_{\text{Nd}(t)}$ values from Site 277 in the SW Pacific sector of the Southern Ocean range from $-7.3$ to $-9.7$ and are similar to those recorded at Indian Ocean sites but are $-2$ epsilon units lower on average than Eocene $\epsilon_{\text{Nd}(t)}$ values from other Pacific Ocean sites ($\epsilon_{\text{Nd}(t)} = -1.8$ to $-6.3$ between 34 and 55 Ma) [Le Houédec et al., 2016; Ling et al., 1997, 2005; Hague et al., 2012; Thomas, 2004; Thomas et al., 2008, 2014; Scher et al., 2015; van de Flierdt et al., 2004] (Figures 5 and 6). This observation is noteworthy, as the Tasman Gateway was fully closed or only open to very shallow circulation during the early and middle Eocene [Bijl et al., 2013b]. Changes in dinoflagellate assemblages at Site U1356 between 48 and 49 Ma [Bijl et al., 2013b] have been interpreted as a shallow opening of the Tasman Gateway, with waters flowing from the SW Pacific into the AAG under the influence of prevailing winds from the east [e.g., Huber et al., 2004]. Furthermore, younger fish tooth Nd isotope records from the region indicate an intermediate to deep ($-500$ to $2500$ m) water flow from the Pacific to the Indian Ocean through the gateway in the late Eocene. Consistent with these observations, we note that the negative $\epsilon_{\text{Nd}(t)}$ values recorded at Site 277 during the early to middle Eocene predate even the earliest opening event in the region. We conclude that it is unlikely that the unradiogenic intermediate/deep waters at Site 277 were the result of eastward transport from the Indian Ocean sector through the Tasman Gateway to the Ross Sea region during the early to middle Eocene.

Instead, we propose two alternative options for the unradiogenic character of waters at Site 277: (1) an influx of intermediate/deep waters from the Indian Ocean via the Indonesian seaway or (2) local formation of intermediate/deep waters in the Ross Sea region. Through the Cretaceous to Eocene, the low-latitude sea-ways were characterized by a strong, westward flowing surface current. These waters flowed from the Pacific Ocean through the Indonesian seaway and across continental seaways in the Tethys to the Atlantic basin [e.g., Jovane et al., 2009; Pucéat et al., 2005; Poulsen et al., 1999; Soudry et al., 2006].

Figure 6. Global sites from the Atlantic, Indian, Pacific, and Southern Ocean basins, where seawater Nd isotope data have been generated for the early to middle Eocene time interval (~54–40 Ma). Data from literature denoted using circles color coded by Nd isotopic composition. New data generated in this study denoted by stars. The published ≤40 Ma record for Site 757 [Martin and Scher, 2006] has been extended in this study back to the early Eocene. Atlantic Ocean data: Scher and Martin [2006], Scher and Martin [2004], Via and Thomas [2006], Thomas et al. [2003], MacLeod et al. [2011], Martin et al. [2012], Burton et al. [1997], and O’Nions et al. [1998], Indian Ocean data: Martin and Scher [2006] and Thomas et al. [2003]. Pacific Ocean data: Ling et al. [1997, 2005], Hague et al. [2012], Thomas [2004], and Thomas et al. [2008, 2014]. Tectonic reconstruction made using Ocean Drilling Stratigraphic Network online toolkit (www.odsn.de).
seawater Nd isotope compositions are reconstructed at depth from the Pacific side of the Indonesian Gateway throughout the Eocene ($\varepsilon_{Nd(t)} = /C_0^{5.9}$ to $/C_0^{4.6}$) [Le Houedec et al., 2016], in contrast to more unradiogenic values reconstructed at Site 213 in the Indian Ocean [Thomas et al., 2003] (Figure 6). A consistent radiogenic-unradiogenic trend from the Pacific to the Indian Ocean basins suggests a westward flow of intermediate/deep waters also characterized the region during the Eocene. We therefore consider Indian Ocean waters flowing through the Indonesian seaway an unlikely explanation for the unradiogenic Nd values recorded at SW Pacific Site 277.

The dominantly radiogenic Nd isotopic composition of Pacific deep waters during the Eocene (Figure 5) have persisted since at least ~110 Ma [e.g., Robinson et al., 2010] and reflect the influx of Nd from young volcanic rocks around the Pacific rim [e.g., Goldstein and Jacobsen, 1988; Jeandel et al., 2007]. In contrast, outcropping bedrock around the pre-Eocene continental margins of the SW Pacific contains a significant amount of older terranes (i.e., Precambrian basement and Paleozoic granitoids and metasediments of the Australian and Antarctic margins) [Cook et al., 2013; Pankhurst et al., 1998; Roberts et al., 2013] as well as younger Mesozoic volcanics, providing a range in $\varepsilon_{Nd(t)}$ values that extends to quite unradiogenic Nd isotopic compositions ($\varepsilon_{Nd(t)} ~ /C_0^{4}$ to $/C_0^{20}$) [Cook et al., 2013; Gingele and De Deckker, 2005]. This range of bedrock Nd compositions provides a suitable source region for the seawater Nd isotopic compositions recorded at Site 277 (Figure 5).

Based on the distribution of geological source regions surrounding the Pacific Ocean basin, the unradiogenic character of fish tooth Nd isotope values from Site 277 suggests formation of intermediate/deep waters in the SW Pacific region during the early and middle Eocene, most likely the Ross Sea region, in agreement with existing modeling and proxy-based studies [e.g., Hollis et al., 2012; Huber et al., 2004; Thomas et al., 2014]. We also note that fish tooth $\varepsilon_{Nd}$ records from the Central Pacific suggest a significant contribution of unradiogenic deep waters (paleodepths of 2300 to 2900 m) originating from the Ross Sea (South Pacific Deep Water, SPDW) during the early Eocene [e.g., Thomas et al., 2003, 2014].

However, Site 277 $\varepsilon_{Nd(t)}$ values (~7.3 to ~9.7) are lower than both Eocene seawater Nd isotope distributions modeled by Thomas et al. [2014] for the SW Pacific ($\varepsilon_{Nd(t)} = ~ −6.5$ to −6.0) and modern day values of Ross Sea bottom water ($\varepsilon_{Nd} = −7.4$ to ~−6.5) [Rickli et al., 2014]. One possible explanation is that the Nd isotopic composition of RSBW has changed through time. This is feasible due to the Oligocene, and younger, emplacement ages of the late Cenozoic McMurdo Volcanic Group in broad areas around the Ross Sea [e.g., McIntosh, 2000]. Clear evidence for a major change in the composition of erosional inputs to the Ross Sea, containing material from this young, volcanic source, is provided in the Cape Roberts cores [Roberts et al., 2013] and could have readily affected local seawater, driving it toward more radiogenic
Nd isotopic compositions since the Oligocene/Miocene. Unfortunately, there are currently no Eocene-aged deep/bottom water Nd data (>1500 m paleodepth) from the SW Pacific region, and, as such, we are unable to conclude whether the intermediate/deep waters at Site 277 were ultimately exported from the region as deeper flowing SPDW or whether a more stratified water column prevailed with unradiogenic waters reconstructed from Site 277 overlying a more radiogenic proto-RSBW [e.g., Thomas et al., 2014].

5.3. The Role of Ocean Circulation in the Termination of the EECO

A notable feature of our new early and middle Eocene fish tooth Nd records is the occurrence of a pronounced excursion in \( \varepsilon_{\text{Nd}}(t) \) values between 50 and 48 Ma at multiple sites located in the southern Indian Ocean, Australo-Antarctic Gulf, and SW Pacific Ocean. The most unradiogenic Nd isotopic compositions are observed at Sites 738, 757, 1171, and U1356 and coincide with the end of extreme global warmth during the EECO, falling within the early/middle Eocene Transition (EMET; ~51–47 Ma; Figure 5). The EMET is characterized by global cooling of deep ocean temperatures, sea level lowstands, and widespread deep marine sediment hiatuses [e.g., Browning et al., 1996; Hohbein et al., 2012; Komini et al., 2008; Miller et al., 1987; Pekar et al., 2005; Zachos et al., 2008]. A drop in atmospheric \( pCO_2 \) concentrations, potentially driven by increased silicate weathering, may explain global cooling [e.g., Anagnostou et al., 2016; Kent and Muttoni, 2008, 2013], while tectonic reorganization such as the shallow opening of the Tasman Gateway at ~48 Ma may have also caused regional cooling [Bijl et al., 2013b]. Below we further explore the origin of the fish tooth Nd excursions from sites in the Tasman Gateway region and the potential relationship between these excursions and the cooling climate trend following the EECO.

5.3.1. Shallow Opening of the Tasman Gateway

Based on early to middle Eocene marine microfossil and organic geochemical records from Site U1356, Bijl et al. [2013b] interpreted a shallow opening of the Tasman Gateway during the EMET. The associated influx of Pacific-sourced surface waters to the Australo-Antarctic Gulf is proposed to have resulted in regional cooling along the East Antarctic margin. There was likely long-term deepening of Site U1356 from ~500 m to ~1000 m during the early to middle Eocene [Bijl et al., 2013b; Escutia et al., 2011], but precise paleodepth estimates for the site are uncertain. Given the uncertainty of the paleodepth of Site U1356 at the EMET, it is possible that a shallow influx of Pacific waters through the Tasman Gateway may not have been detected in our fish tooth Nd isotope record. If the arrival of Pacific-sourced waters was captured by fish tooth samples at Site U1356, we would expect a step change in Nd isotopes toward more radiogenic compositions, similar to \( \varepsilon_{\text{Nd}}(t) \) values observed at Sites 1171 and 1172 (which have average Eocene \( \varepsilon_{\text{Nd}}(t) \) values of \(-6.6 ± 2.2\) (2sd, \( n = 23 \)). We do not observe such a shift during the mid-Eocene interval at Site U1356, but instead a transient excursion to unradiogenic compositions in fish tooth Nd isotopes away from, and subsequently returning to, the average Nd isotopic composition defined by the early Eocene and Oligocene sections of the record (Figure 2). This suggests that a different mechanism than the shallow opening of the Tasman Gateway was responsible for the change in seawater chemistry at Site U1356 during the EMET.

5.3.2. Changes in the Erosional Regime on Antarctica: Influence on Shallow Marine Records

The observed transient negative Nd isotope excursion in our fish tooth record at Site U1356 is also recorded on the Pacific side of the Tasman Gateway at Site 1171, but not at Site 1172. Two excursions in the fish tooth Nd isotopes at Site 1171 at ~48 and ~49 Ma are of a similar magnitude (~2 \( \varepsilon_{\text{Nd}} \)) to Site U1356 with respective \( \varepsilon_{\text{Nd}}(t) \) (MIN) values of \(-12.4\) at Site U1356 and \(-8.9\) at Site 1171 at ~48 Ma (Figure 2) (note that there is uncertainty in the age of the excursion at Site 1171 (~0.5 Myr) due to the low resolution of the age model; see supporting information for age model details). A similar additional excursion in seawater chemistry may have occurred at Site U1356. If it did, it was not recovered due to the hiatus between ~51 and ~49 Ma. Water mass mixing alone cannot be responsible for these observed excursions as no suitably unradiogenic water mass has been documented within the respective surrounding oceanic areas during the Eocene (Figure 6). However, Eocene bulk sediment Nd data at both sites are lower than the associated fish tooth records (U1356 sediment \( \varepsilon_{\text{Nd}}(t) \) = ~13.1 to ~14.7; 1171 sediment \( \varepsilon_{\text{Nd}}(t) \) = ~9.3 to ~9.8; Figure 3), reflecting the local bedrock composition around the areas of Wilkes Land, Adélie Coast and Northern Victoria Land (for a summary see Pierce et al. [2014]). We therefore conclude that changes in the erosional regime of the proximal geological source regions is likely to be responsible for the Nd isotope excursions detected at Sites U1356 and 1171. Relating the transient excursions in our fish tooth Nd records at Sites U1356 and 1171 to changing erosional fluxes from the Antarctic continent is also consistent with the absence of an excursion at Site 1172.
between 48 and 49 Ma, as tectonic reconstructions place Site 1172 further north on the East Tasman Plateau [Conde and Stock, 2004; Figure 1].

We speculate that a period of intense erosion of the respective Antarctic source regions adjacent to Sites U1356 and 1171 (Figure 3) could have resulted in an increased flux of dissolved (and particulate) Nd to the shelf waters, modifying the composition of local waters toward the sedimentary Nd end-member. A similar mechanism was proposed by Scher et al. [2011] who suggested that the rapid growth and associated erosion of an ice sheet on Antarctica resulted in a pulse of unradiogenic Nd delivered to the Southern Ocean across the Eocene-Oligocene transition. Increased continental erosion may have occurred as a result of either higher precipitation levels or ephemeral glaciation in mountainous regions. Sediment Nd data alone cannot decipher which of these mechanisms was responsible for our records over the EMET. Our findings do, however, suggest that there may have been an important perturbation to the hydrological cycle on the Antarctic continent over this interval, which is expressed in the chemistry of the surrounding ocean.

5.3.3. Evidence for a Cooling Event During the EMET?

Constraining the erosional mechanism responsible for the Nd isotope excursion in our fish tooth records at Sites U1356 and 1171 relies on understanding the continental climate on Antarctica at the time. There is evidence for significant cooling during the EMET at both local and regional levels in the southern high latitudes, which may suggest that the development of small-scale highland ice sheets was a feasible driver for changing erosional conditions during the EMET. At Site U1356, bulk sediment geochemistry suggests a short-lived decrease in temperature and precipitation between 49 and 48 Ma [Passchier et al., 2013] within same interval as the excursion in fish tooth Nd values. More regionally, a drop in deep water and sea surface temperatures at Site 277 and an increase in the deposition of siliciclastic sediments has also been reported from the mid-Waipara section in New Zealand at ~48.5 Ma [e.g., Creech et al., 2010; Hollis et al., 2009, 2012]. These regional responses to a transient cooling event on the Antarctic continent are superimposed on a prolonged environmental shift in the southern high latitudes from the early to middle Eocene. Fossil pollen extracted from Site U1356 sediments indicate a changing vegetation assemblage from high diversity plant ecosystems on the Antarctic continent during the early Eocene to a lower diversity, cooler assemblage in mountainous regions. Sediment Nd data alone cannot decipher which of these mechanisms was responsible for our records over the EMET. Our findings do, however, suggest that there may have been an important perturbation to the hydrological cycle on the Antarctic continent over this interval, which is expressed in the chemistry of the surrounding ocean.

6. Conclusion

New Nd isotope data from fossil fish teeth and bulk sediments from pelagic and shallow marine settings in the Tasman region and surrounding areas of the Southern Ocean allow reconstruction of the distribution of intermediate/deep water masses during the early to middle Eocene. These data isotopically fingerprint the Antarctic shelves as the source of unradiogenic Nd isotope values that characterize the Indian Ocean
sector of the Southern Ocean and deep waters of the Indian Ocean during the Eocene. Additionally, we identify a new, locally formed intermediate-deep water mass in the Ross Sea region during the early to middle Eocene, but further research is required to conclude whether this unradiogenic deep water is exported from the SW Pacific or constitutes a specific layer in a stratified water column in the Ross Gyre. Finally, transient excursions in the fish tooth Nd isotope records at shallow marine sites U1356 and 1171 and pelagic, but proximal, Site 738 identify a period of increased flux of Nd from widespread locations on the Antarctic continent to the surrounding shelf seas. Results from Indian Ocean pelagic Site 757 furthermore suggest that this shelf water was then transported northward in intermediate/deep water masses. The increase in continental erosion on Antarctica potentially results from changes in the hydrological cycle in association with regional cooling documented at other regional and global locations between ~49 and 48 Ma during the EMET. Climatic changes on the Antarctic continent during the Eocene greenhouse may hence be communicated in deep waters across ocean basins, potentially influencing climate on a larger, global scale.

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