

1     **A study of the potential release of bioaerosols from containers as a result of reduced**  
2                                   **frequency residual waste collections**

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11     **ABSTRACT**

12             Microorganisms have the potential to grow within waste containers if waste is stored  
13     for longer periods as a result of an extended residual waste collection cycle. Release of  
14     microorganisms as bioaerosols during waste collection and processing may be an  
15     occupational risk to workers within the industry. There may be many constituents of the  
16     bioaerosol that may be of concern, however, there are currently only workplace exposure  
17     limits proposed for endotoxin (90 EU m<sup>-3</sup>). A field-scale trial was established to determine  
18     the concentration of mesophilic bacteria, Gram-negative bacteria, *Listeria monocytogenes*,  
19     thermotolerant fungi, *Aspergillus fumigatus*, endotoxin and (1→3)-β-D-glucan in air within  
20     bins containing either bagged or loose residual waste, in warm (23 °C) or cold (7 °C)  
21     conditions, to simulate an extended collection cycle. Fresh waste was added during the first  
22     four weeks, with an additional ‘missed collection’ phase of a further four weeks where no  
23     more waste was added. A second trial examined the microbiological components of  
24     bioaerosols associated with ‘tipping’ the bins, simulating the moment when bins are emptied  
25     into waste collection vehicles. The majority of

26 mesophilic bacteria, fungi and *A. fumigatus* concentrations were recorded when fresh  
27 material was added to the bins, with only mesophilic bacteria recorded up to week 6 during  
28 the ‘missed collection’ phase. (1→3)- $\beta$ -D-glucan concentrations were variable throughout the  
29 first trial, (geometric mean range 0.4-13.8 ng m<sup>-3</sup>). Perhaps the bioaerosol component of most  
30 interest was endotoxin (geometric mean range 0.52-1288 EU m<sup>-3</sup>). Elevated endotoxin  
31 concentrations were recorded during the ‘missed collection’ phase of the extended collection  
32 cycle and during ‘tipping’. This data demonstrates significant concentrations of bioaerosols  
33 and particularly endotoxin can be generated during prolonged residual waste storage and  
34 collection. As endotoxin is a bioaerosol component of concern it can be concluded there is  
35 the potential for workplace exposure hence identifying key areas for risk assessment.

36

37 **Keywords:** (1→3)- $\beta$ -D-glucan, *Aspergillus fumigatus*, endotoxin, waste, occupational risk.

38

### 39 **1. Introduction**

40 Residual waste is all the material that is left after the recyclables have been removed, and  
41 may consist of organics (including kitchen waste), plastics, cans, glass and various other  
42 recyclable and non-recyclable components. Household waste may be deposited in either  
43 loose or bagged, and the size of residual waste bins are often restricted to 120 litres to  
44 encourage materials to be deposited in recycling containers. In a bid to bring the UK’s  
45 household recycling rates in line with the European Union’s target of 50% of household  
46 waste recycled by 2020 (EC, 2008) many UK Councils have extended residual waste  
47 collection cycles to fortnightly or three-weekly (Gladding, 2009). Indeed, this practice is not  
48 uncommon within Europe, particularly in Germany. Within the UK devolved regions, more  
49 stringent local government targets of 70% by 2025 have also been introduced (Scottish  
50 Government, 2010, Welsh Assembly Government, 2010). Monthly bin collections of residual

51 waste have also been suggested, although public opinion has hampered progress in this area  
52 (Yates, 2016). One reason for this lack of support is often due to the perception that bins  
53 would become a nuisance via odours but also because of public health fears regarding  
54 vermin. However, there is also concern they could also provide a breeding ground for  
55 microorganisms, particularly in bins where nappies and organic wastes are deposited.

56       The health effects of bioaerosols are of interest as they have the potential to be released  
57 whenever the bin is opened, such as when waste is added and when a bin is emptied.  
58 Bioaerosols potentially contain aerosolised biological material such as bacteria, fungi,  
59 viruses, endotoxins and (1→3)-β-D-glucan which may all have health impacts ranging from  
60 upper airways irritation, nausea, and fever to potential lung inflammation and respiratory  
61 illness (Gutarowska et al., 2015, Swan et al., 2003, Searl, 2008). However, only endotoxin,  
62 found in the cell walls of gram-negative bacteria, has a demonstrable increased risk of  
63 symptoms alongside increased exposure (Searl, 2008). As a result, currently, there are no  
64 agreed upon workplace exposure limits for any bioaerosol components (Walser et al., 2015).  
65 In a recent comprehensive review no suitable exposure-response relationships could be found  
66 between the microbial component of bioaerosols and human health due to insufficient and  
67 comparable data in the literature, the range of health effects, and insufficient exposure  
68 assessment (Walser et al., 2015). It has also been noted that variability in response due to  
69 individual risk factors is also a barrier to the setup of reliable exposure-response relationships  
70 for inhaled biological agents (Searl, 2008). Various authors have reported exposure  
71 thresholds in the literature ranging from  $10^3$  cfu m<sup>-3</sup> and  $10^5$  cfu m<sup>-3</sup> for both general bacteria  
72 and total fungi (Eduard et al., 2012; Kuijjer et al., 2010; Searl and Crawford, 2012). The  
73 Health council of the Netherlands has imposed a 30 EU m<sup>-3</sup> limit on endotoxin released from  
74 livestock farms for the protection of the public and an occupational limit of 90 EU m<sup>-3</sup>  
75 (Health Council of the Netherlands, 2010, Health Council of the Netherlands, 2012), whilst

76 Rylander (1997) has suggested a 10 ng m<sup>-3</sup> guideline value for exposure to (1→3)-β-D-  
77 glucan.

78 Although not occupational, the Environment Agency in the UK has applied  
79 precautionary environmental limits to bioaerosols that are emitted downwind of waste  
80 compost sites in order to protect the public (1000 cfu m<sup>-3</sup> for bacteria, 500 cfu m<sup>-3</sup> for  
81 *Aspergillus fumigatus* and 300 cfu m<sup>-3</sup> for Gram-negative bacteria) (EA, 2009). In the  
82 absence of validated occupational limits, data from this study was also assessed against these  
83 more stringent reference guidelines.

84 Whilst some work has been undertaken to assess the health risks associated with  
85 bioaerosols emitted from general waste collection (Neumann et al., 2005, Roodbari et al.,  
86 2013, Kuijter et al., 2010, Neumann et al., 2014, Neumann et al., 2015, Schantora et al.,  
87 2015), source separated recyclable collection (Heldal et al., 1997) and indoor storage of  
88 organic waste (Wouters et al., 2000) no studies to date have detailed the risks associated with  
89 storage and collection of containers (bins) containing only the residual fraction after  
90 recycling. Therefore, the aims of this study were three-fold:

- 91 1) To explore the potential for bioaerosol emissions arising from the extended storage of  
92 residual waste material,
- 93 2) To explore the link between these emissions and potential health impacts on  
94 householders and waste collectors, and,
- 95 3) To provide further evidence to support the development of guidance to local  
96 authorities that may be considering extended waste collections in the future.

97

## 98 **2. Material and methods**

### 99 *2.1. Experimental setup*

100 Household-sized wheeled bins (240 litres) were stored under ‘simulated waste disposal’ and  
101 ‘tipping’ conditions at a dedicated indoor field site facility at the Open University  
102 ( $52^{\circ}1'27''\text{N}$ ,  $0^{\circ}42'20''\text{W}$ ). The facility space was in two parts, and was approximately 5 m x  
103 5 m at 23 °C in one part, with a separated refrigerated container measuring 5 m x 10 m at 7  
104 °C in the other. Data were collected between July and September, 2013. ‘Simulated waste  
105 disposal’ bins were divided by waste addition method, e.g. bagged (black plastic refuse sacks  
106 tied at the top) and loose (waste material emptied straight into the bin). Each waste treatment  
107 was further subdivided into summer (warm) and winter (cold) groupings in the separated  
108 field site facility areas (23 °C and 7 °C respectively). Temperatures were checked in the  
109 airspace of the bins and in the atmosphere of these areas daily utilising calibrated digital  
110 thermometers. This culminated in four subgroups ( $n = 6$  in each) namely, bagged, warm  
111 (BW); loose, warm (LW); bagged, cold (BC), and loose, cold (LC). Mixed residual waste  
112 (4.5 kg; see section 2.2, Table 1) was added to each bin once a week for four weeks and  
113 subsequently left for a further four weeks to simulate householder deposition and subsequent  
114 missed collection on an extended frequency. Therefore, results from week 2 could be used to  
115 assess the risk to householders and collectors after a fortnightly waste collection, week 4  
116 could be used to assess the risk to householders and collectors after an extended four-weekly  
117 collection, and results at week 8 could be used to assess the risk to householders and  
118 collectors after a missed collection of an extended four-weekly collection cycle.

119 To further simulate the risks posed to waste operators involved in bin emptying, a  
120 ‘tipping’ scenario was established. ‘Tipping’ was standardised by laying the bins on their side  
121 and agitating them for 10 seconds to replicate the disturbance caused when wheeled bins are  
122 emptied or moved. Bins ( $n = 6$ ) containing loose, warm waste (23 °C) (expected to be the  
123 ‘worst case scenario’) were left undisturbed for four weeks (LW4) and then agitated as  
124 described to mimic the activity of tipping bins into a waste collection vehicle. Subsequently,

125 the bins used in the eight-week 'simulated waste disposal' trial were left for a further week  
126 before tipping and agitating (week 9; LW9, BW9, LC9 & BC9). In this instance, waste was  
127 added at time 0 and 1 day prior to tipping for LW4. The last addition of waste to the other  
128 treatments was as for the 'simulated waste disposal' scenario, i.e. in week 4.

129 Table 1: The composition of waste added to the bins during weeks 1 to 4.

Waste category	Source	Specific waste <sup>1</sup>	Week 1 (kg)	Week 2 (kg)	Week 3 (kg)	Week 4 (kg)
Food waste	Local markets, food deposited on plastics, out of date material, university food waste	Vegetables: Potatoes, onions, courgettes, carrots (uncooked), some apples Week 2 onwards	0.9	0.9	0.9	0.9
		Meat: Beef mince, chicken legs (uncooked); Week 4 cooked ham	0.1	0.1	0.1	0.1
		Fish: Fish fingers (uncooked)	0.1	0.1	0.1	0.1
		Bread: Pitta breads	0.2	0.2	0.2	0.2
Garden waste	University premises garden waste	Hay, grass	0.3	0.3	0.3	0.3
		Woodchips	0.3	0.3	0.3	0.3
		Stones: Gravel	0.56	0.56	0.56	0.56
Paper	University waste paper	Newspaper	0.3	0.3	0.3	-
Card	Primarily as packaging for end of life material and from university recycling	Cardboard cut to size	0.4	0.4	0.4	0.4
Textiles	Bought commercially	Cotton rags, Week 4 cotton t-shirts	0.2	0.2	0.2	0.2
Sanitary materials	Commercial nursery	Nappies	0.1	0.1	0.1	0.1
Plastics and plastic film	Primarily as packaging for end of life material and from University recycling	2D: Heavy duty plastic bags	0.45	0.45	0.45	0.45
		3D: Plastic bottles	0.45	0.45	0.45	0.45
Metals	University recycling	Soiled drinks cans	0.1	0.1	0.1	0.1
Total			4.46	4.46	4.46	4.16

130 <sup>1</sup>Vegetable category accurate to within 0.05kg, all other categories accurate to within 0.02 kg

131 Table 2: A summary of the sampling strategy

Week	Monday	Tuesday	Wednesday	Thursday	Friday
1	BW & LW	BC & LC		Waste deposited in BW & LW	Waste deposited in BC & LC
2	BW & LW	BC & LC		Waste deposited in BW & LW	Waste deposited in BC & LC, PCR
3	BW & LW	BC & LC		Waste deposited in BW & LW	Waste deposited in BC & LC
4	BW & LW	BC & LC	<i>LW4</i>	Waste deposited in BW & LW	Waste deposited in BC & LC, PCR
5	BW & LW	BC & LC			
6		BW & LW	BC & LC		
7	BW & LW	BC & LC			
8				BW & LW	BC & LC
9	<i>BW9, LW9</i>	<i>BC9, LC9</i>			

132 Trial 1, simulated extended waste collection: BW= bagged waste at 23°C, LW = loose waste at 23°C, BC = bagged waste at 7 °C & LC = loose  
 133 waste at 7 °C.

134 Trial 2, tipping (*in italics*): LW4 = loose waste at 23 °C, week 4; LW9 = loose waste at 23 °C, week 9; BW9 = bagged waste at 23 °C, week 9;  
 135 LC9 = loose waste at 7 °C, week 9; BC9 = bagged waste at 7 °C, week 9.

136 2.2. *Waste properties*

137 Waste was chosen to reflect typical waste compositions (Table 1) and were taken from Parfitt  
138 and Bridgewater (2010) with slight modifications to account for ‘fines’ and ‘other organics’  
139 which were not defined, and to remove glass and replace it with other packaging in the  
140 interests of safe handling. The following assumptions were made to determine the quantity of  
141 material to be added to the bins per week: On average, one person generates 428 kg of waste  
142 a year which, with a 43 % recycling rate (DEFRA, 2013) results in approximately 4.7 kg of  
143 residual waste per person a week. Previous scoping studies from Zero Waste Scotland (ZWS)  
144 (Gladding, *unpublished*) on an extended waste collection scheme in Germany showed that, on  
145 average, 37 % of all waste material was deposited in the residual bin. Based on the waste  
146 generation figure above, this equates to 3 kg of residual waste per person a week. Waste  
147 generation is also falling by around 2 % a quarter (DEFRA, 2013). Therefore, taking all of  
148 these figures into account, and assuming a two-person household, a target of 4.5 kg of  
149 residual waste per bin per week was considered acceptable.

150

151 2.3. *Bioaerosol sampling*

152 A trial of 12 bins (6 using a clear plastic bag and 6 a chamber) was undertaken to identify the  
153 best method of sampling the bioaerosols from the bins. All microbiological analysis in the  
154 trial was as for the main study. Based upon these results it was decided that a clear plastic bag  
155 (240 l) would be taped to the opening of the bin immediately after waste depositing and left  
156 for approximately 4 days before sampling to catch all emissions after deposition to model a  
157 ‘worst case scenario’. Prior to sampling air (200 l) was pumped into the bag through a  
158 sealable porthole at the top of the bin. Bioaerosols were captured using liquid impingers  
159 (SKC BioSamplers and Biolite air sampling pumps, SKC Ltd. Blandford Forum, UK)  
160 containing 20 ml of sterile non-pyrogenic water (Associates of Cape Cod Intl. Inc.,

161 Liverpool, UK) at a rate of  $12.5 \text{ l min}^{-1}$  for 10 minutes through a sealable porthole in the  
162 sampling bag. Bioaerosol samples, bin mass and headspace measurements were taken on a  
163 weekly basis for the duration of the trial. The sampling strategy can be found in Table 2.  
164 Samples were transported from the field site to the laboratory and microbiological  
165 determinants were processed immediately. Aliquots (1 ml) were stored at  $-20 \text{ }^{\circ}\text{C}$  for  
166 subsequent endotoxin and  $(1\rightarrow3)\text{-}\beta\text{-D-glucan}$  analysis. The remaining liquid was stored at -  
167  $20 \text{ }^{\circ}\text{C}$  for molecular analysis.

168

## 169 2.4. Microbiological analysis

### 170 2.4.1. Enumeration

171 Aliquots of the impinger liquid ( $100 \mu\text{l}$ ) were spread-plated in triplicate onto  $\frac{1}{2}$ -strength  
172 nutrient agar containing  $100 \text{ mg l}^{-1}$  cycloheximide for total mesophilic bacterial counts,  
173 MacConkey agar No. 3 containing  $200 \text{ mg l}^{-1}$  cycloheximide for Gram-negative bacteria,  
174 *Listeria* selective media (Oxford formulation) containing *Listeria* selective supplement for  
175 *Listeria monocytogenes* and malt extract agar containing  $20,000 \text{ U l}^{-1}$  penicillin and  $40,000 \text{ U}$   
176  $\text{l}^{-1}$  streptomycin for total fungi favouring *A. fumigatus*. Plates were incubated for 48 hours  
177 (counted again after 7 days for *L. monocytogenes*) at  $37 \text{ }^{\circ}\text{C}$  for bacteria and at  $40 \text{ }^{\circ}\text{C}$  for  
178 fungi. All media were obtained from Oxoid Ltd. (Basingstoke, UK) and supplements from  
179 Fisher Scientific (Loughborough, UK) and Sigma Aldrich (Dorset, UK). Hence all  
180 bioaerosols collected were culturable, that is they were able to grow on agar. This method,  
181 including media and incubation temperatures, followed the Environment Agency (England  
182 and Wales) recommended 'AfOR Protocol' (2009). This protocol is in common use  
183 throughout the UK to assess bioaerosols from open windrow composting sites and other  
184 organic waste treatment facilities, as a result the study is not designed to identify all

185 mesophilic bacteria and fungi that might grow on waste but to align with the standards by  
186 which emissions are measured from UK facilities.

187

#### 188 2.4.2. Confirmation

189 Colonies chosen for molecular confirmation were collected from counted plates in weeks 2, 4  
190 and 8. Presumptive Gram-negative and *L. monocytogenes* colonies were suspended in sterile  
191 phosphate-buffered saline (PBS) and pelleted. DNA was extracted using the PureLink®  
192 Genomic DNA kit (Life Technologies, Paisley, UK) according to the manufacturer's  
193 instructions and sent to GATC Biotech AG (Köln, Germany) for PCR and sequencing using  
194 universal bacterial primers COM1 (5'-CAGCAGCCGCGGTAATAC-3') and COM2 (5'-  
195 CGTCAATTCCTTTGAGTTT-3') (Schwieger and Tebbe, 1998). Returned sequences were  
196 identified using the BLASTn tool available at <http://decipher.cee.wisc.edu/>.

197

#### 198 2.5. Endotoxin and (1→3)-β-D-glucan analysis

199 Endotoxin and (1→3)-β-D-glucan were analysed using the kinetic Pyrochrome® and  
200 GlucateLL® kits respectively (Associates of Cape Cod Inc., Liverpool, UK) following the  
201 manufacturer's instructions and analysed by a BioTek ELx808 microplate reader (BioTek  
202 Instruments Inc., Swindon, UK) according to the British Standard BS EN 14031:2003  
203 (British Standards Institution, 2003).

204

#### 205 2.6. Data analysis

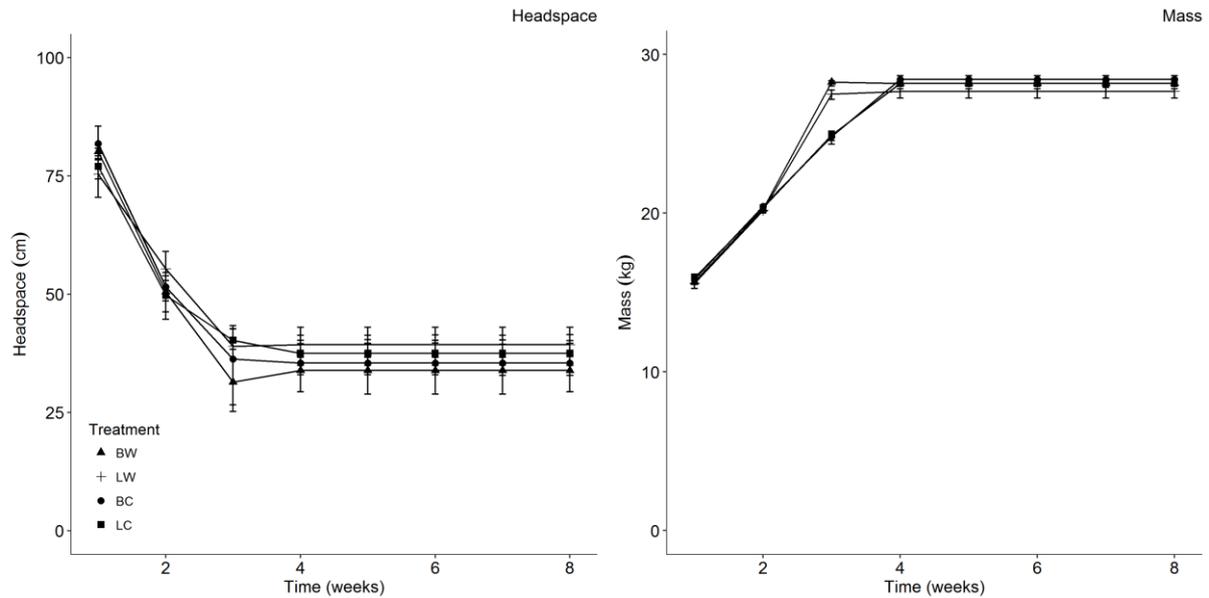
206 Detection limits were 533 cfu m<sup>-3</sup> for all microorganisms, 0.8 EU m<sup>-3</sup> for endotoxin and 0.5  
207 ng m<sup>-3</sup> for (1→3)-β-D-glucan. Concentrations below the limit of detection were given an  
208 arbitrary value of half the detection limit. All statistical analysis was carried out in the open-  
209 source package 'R'. Normality was assessed using the Shapiro-Wilk test. Data remained

210 positively-skewed despite attempts to transform the data; therefore, all statistics were carried  
211 out on the original figures. Means were summarised using the geometric mean and a 95%  
212 bias-corrected accelerated bootstrapped confidence interval. The non-parametric tests  
213 Kruskal-Wallis, followed by the post-hoc Nemenyi test with Chi-squared approximation for  
214 independent samples, and Mann-Whitney were used to determine if there were differences in  
215 bioaerosol concentrations between treatments. Differences between normal collections (week  
216 2) and missed collections (week 4) were determined using the Wilcoxon sign rank test.  
217 Correlations between determinants were determined using the Spearman Rank test.

### 218 **3. Results**

#### 219 *3.1. Trial Management*

220 Temperature measurements taken in the airspace across a selection of bins confirmed that  
221 bins stored in the summer and winter groupings had an average temperature of 23 °C (min =  
222 21.7 °C, max = 25.0 °C) and 7 °C (min = 6 °C, max = 8 °C) respectively. Approximately 4.5  
223 to 5.0 kg of waste was added to each bin, once a week for four weeks. At four weeks bins  
224 were almost at capacity as can be seen from the head-space data (Figure 1A), particularly for  
225 bagged material (BW & BC). No further waste additions were made to simulate the scenario  
226 of a missed extended four-weekly collection. On average, approximately 4.0 kg was lost from  
227 each bin in the first four weeks after which time, mass remained constant (Figure 1B); this  
228 indicates that the majority of organic waste decomposition occurred within the first four  
229 weeks.



230

231 **Figure 1:** Weekly headspace and bin mass measurements over the 8-week ‘simulated waste  
 232 disposal’ trial. BW= bagged waste at 23°C, LW = loose waste at 23°C, BC = bagged waste at  
 233 7 °C & LC = loose waste at 7 °C. Values represent the geometric mean of six replicates 95%  
 234 confidence interval estimated from 5000 bootstrap samples.

235

### 236 3.2. Bioaerosol analysis

237 Although selective media were used, 16S rRNA sequencing identified presumptive Gram-  
 238 negative colonies as being either *Streptomyces* spp., or *Staphylococcus* spp., neither of which  
 239 are Gram-negative. Similarly, no *L. monocytogenes* were positively confirmed. Indeed,  
 240 colonies grown on *Listeria* selective media were shown to be *Streptomyces* spp. and *Bacillus*  
 241 spp. Therefore, the data relating to these culturable microorganisms were deemed unreliable  
 242 and removed from further analysis.

243

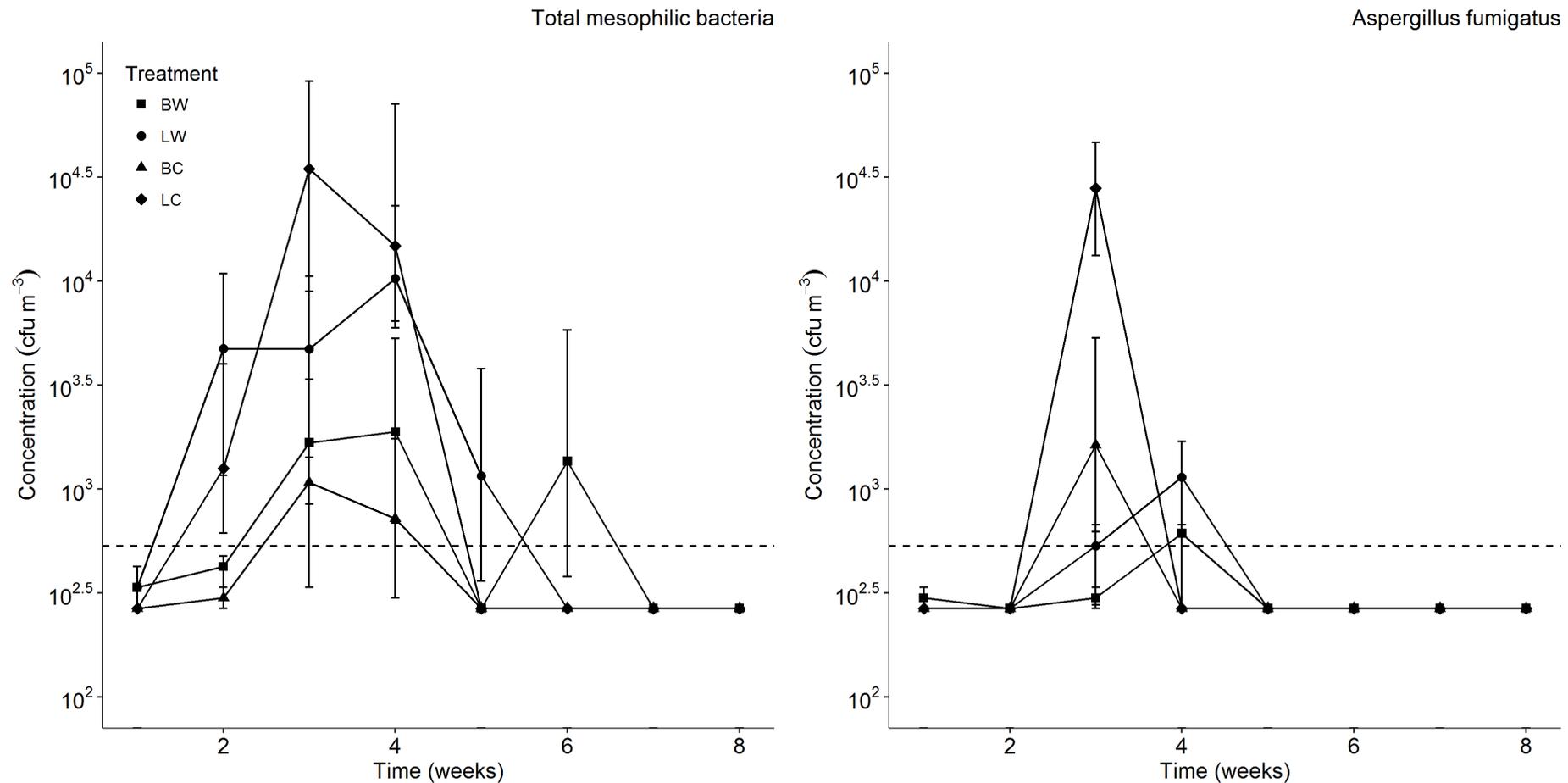
#### 244 3.2.1. Simulated waste disposal

245 Culturable microbial counts were restricted to the first six weeks of the trial. After this time,  
 246 no bacteria or fungi were isolated from bioaerosols (Figure 2). Mesophilic bacteria  
 247 concentrations differed significantly between waste treatments ( $\chi^2 = 12.9$ ,  $P < 0.01$ ), and

248 showed two peaks in concentration in BW bins, with the first peak at week 4, followed by a  
249 further peak at week 6. LW showed a higher concentration at week 4 ( $P > 0.05$ ) but numbers  
250 were reduced to below detection limits by week 5. BC mesophilic bacterial concentrations  
251 were significantly lower than those of LW ( $P < 0.01$ ) and were only recordable at  
252 concentrations higher than the detection limit in weeks 3 and 4. Similarly to BC, mesophilic  
253 bacteria in LC were only recordable in weeks 2-4. However, concentrations reached the  
254 highest recorded for all treatments in week 3 ( $3.47 \times 10^4$  cfu m<sup>-3</sup>) and the second highest  
255 recorded in week 4 ( $1.47 \times 10^4$  cfu m<sup>-3</sup>). *A. fumigatus* had a similar pattern of activity to  
256 mesophilic bacteria with the exception that there was no second peak in the BW treatment  
257 and concentrations fell to below detection limits at a slightly faster rate in all treatments  
258 (Figure 2). As for mesophilic bacteria, the highest *A. fumigatus* count was recorded in LC at  
259 week 3 ( $2.79 \times 10^4$  cfu m<sup>-3</sup>). Fungi other than *A. fumigatus* growing at the 40°C incubator  
260 temperature were only recorded in one incidence (BW, week 3,  $4.14 \times 10^2$  cfu m<sup>-3</sup>; data not  
261 shown).

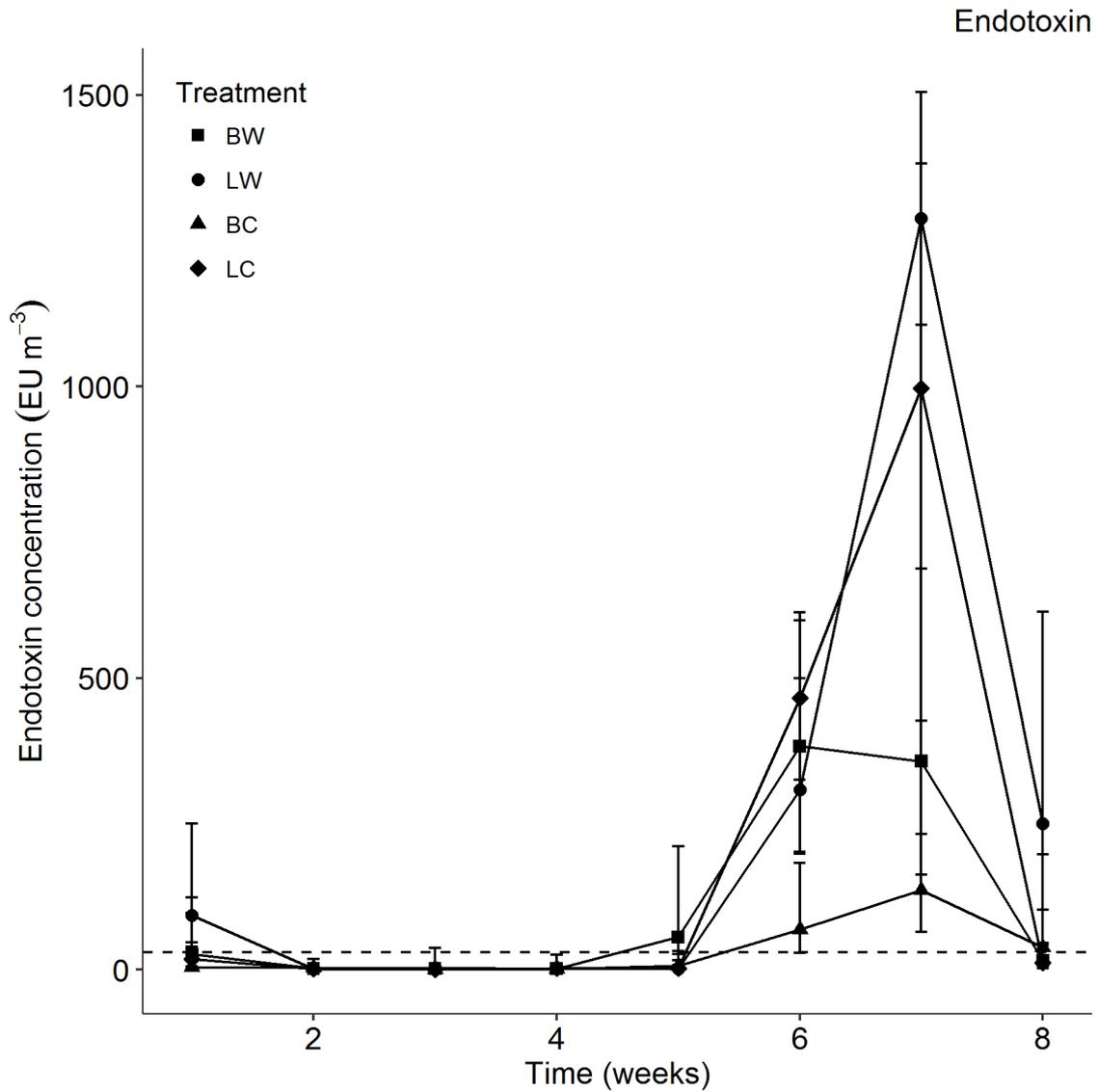
262 Figure 3 shows the concentration of endotoxins released in the bioaerosols from the  
263 different waste storage treatments. Endotoxin concentration showed a similar pattern in all  
264 treatments with a slight peak at week 1 and a much higher peak in week 7 (Figure 3). Overall  
265 endotoxin concentrations were not significantly different between treatments ( $X^2 = 3.962$ ,  $P >$   
266  $0.05$ ). However, during week 7, BC was significantly lower than both LC & LW ( $P < 0.05$   
267 for both; Figure 3). It is notable that endotoxin concentrations were detected consistently  
268 above the 30 EU m<sup>-3</sup> exposure guideline (Health Council of the Netherlands, 2012) at week 1  
269 and from week 5 until the end of the trial, with the highest endotoxin concentration recorded  
270 in LW in week 7 ( $1,313$  EU m<sup>-3</sup>). The mean concentration of (1→3)-β-D-glucan showed  
271 more variability throughout the trial (data not shown) with the highest concentration recorded  
272 in LC, in week 5 ( $14$  ng m<sup>-3</sup>). In fact, the data is almost cyclical. However, whilst the

273 Kruskal-Wallis tests indicated a significant difference between treatments ( $X^2 = 9.048$ ,  $P <$   
274  $0.05$ ), the post-hoc Nemenyi with chi squared distribution test failed to distinguish where the  
275 differences lay. Nevertheless, geometric mean (1→3)- $\beta$ -D-glucan concentrations only  
276 exceeded the proposed  $10 \text{ ng m}^{-3}$  exposure limit (Rylander, 1997) at two time points; LW,  
277 BC & LC in week 5 (11, 12 &  $14 \text{ ng m}^{-3}$  respectively) and LW ( $10 \text{ ng m}^{-3}$ ) in week 8.



278

279 **Figure 2:** The concentrations of microorganisms emitted as bioaerosols during the ‘simulated waste disposal’ scenario. BW= bagged waste at  
 280 23°C, LW = loose waste at 23°C, BC = bagged waste at 7 °C & LC = loose waste at 7 °C. Values represent the geometric mean of six replicates  
 281 95% confidence interval estimated from 5000 bootstrap samples. The dashed line represents the detection limit.



282

283 **Figure 3:** Endotoxin concentrations from the bioaerosols emitted from each bin treatment during

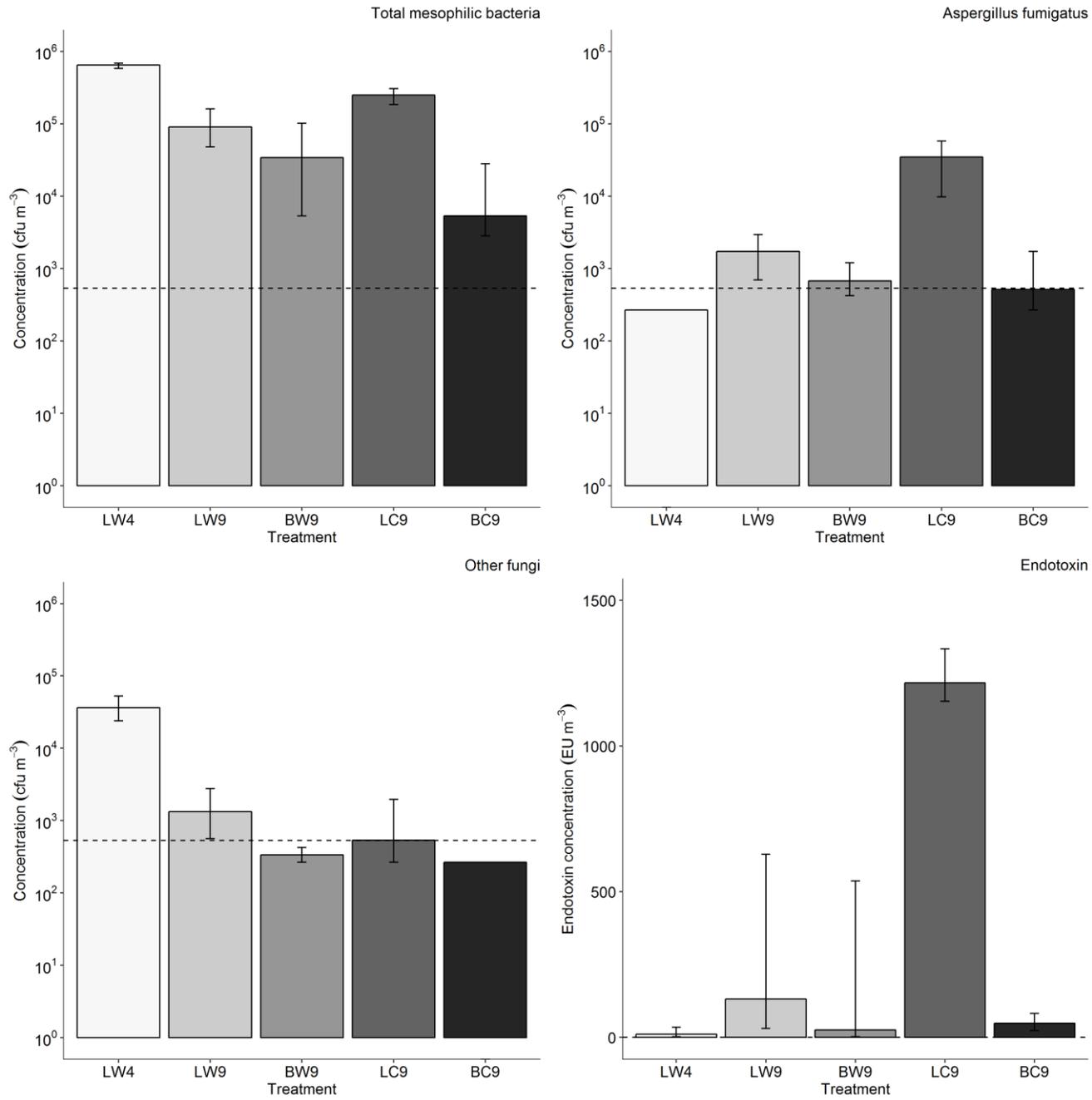
284 the ‘simulated waste disposal’ scenario. BW= bagged waste at 23°C, LW = loose waste at 23°C,

285 BC = bagged waste at 7 °C & LC = loose waste at 7 °C. Values represent the geometric mean of

286 six replicates 95% confidence interval estimated from 5000 bootstrap samples. The dashed line

287 represents proposed exposure limits for endotoxin proposed by the Health Council of the

288 Netherlands (2012).



290

291 **Figure 4:** The concentrations of microorganisms and endotoxin emitted as bioaerosols during the ‘tipping’ scenario. LW4 = loose waste at 23  
292 °C, week 4; LW9 = loose waste at 23 °C, week 9; BW9 = bagged waste at 23 °C, week 9; LC9 = loose waste at 7 °C, week 9; BC9 = bagged  
293 waste at 7 °C, week 9. Values represent the geometric mean of six replicates 95% confidence interval estimated from 5000 bootstrap samples.  
294 The dashed line represents the detection limit.

295 3.2.2. *Tipping*

296 At week four, LW4 bins were tipped to assess potential bioaerosol emissions for waste  
297 collection operators emptying bins (Figure 4). In this scenario, the highest mesophilic  
298 bacteria and other fungi counts were found in LW4 ( $6.51 \times 10^5$  cfu m<sup>-3</sup> and  $3.64 \times 10^4$  cfu m<sup>-3</sup>  
299 respectively). Whereas, the highest *A. fumigatus* counts were recorded in LC9 ( $3.47 \times 10^4$  cfu  
300 m<sup>-3</sup>). As data only exists for week 4 in LW bins, statistical comparisons were only made for  
301 the treatments LW4 and LW9. According to Mann Whitney comparisons, mesophilic bacteria  
302 and other fungi counts were significantly higher in LW4 than LW9 ( $P < 0.01$  for both) whilst  
303 the opposite held true for *A. fumigatus* ( $P < 0.01$ ).

304 Endotoxin emissions during tipping are illustrated in Figure 4. Mean endotoxin  
305 emissions of 11 EU m<sup>-3</sup> at week four were recorded for warm loose tipped material (LW4).  
306 By week nine, levels had increased 12-fold to 132 EU m<sup>-3</sup> (LW9). Higher levels of 537 EU m<sup>-3</sup>  
307 and 1217 EU m<sup>-3</sup> were recorded in BW9 and LC9 material respectively. At week 9, the  
308 lowest endotoxin levels were recorded in BC9 (48 EU m<sup>-3</sup>). No significant difference was  
309 observed between endotoxin concentrations in loose, warm waste at week 4 and 9 (LW4 &  
310 LW9;  $P > 0.05$ ). The sometimes large variability among replicate bins is probably the reason  
311 for the lack of statistical significance. The highest (1→3)-β-D-glucan concentration was  
312 recorded in LW4 (10 ng m<sup>-3</sup>) which equalled the proposed 10 ng m<sup>-3</sup> exposure limit  
313 (Rylander, 1997). All other treatments were recorded at 6 ng m<sup>-3</sup> or lower (data not shown).  
314 In this instance, (1→3)-β-D-glucan concentration was significantly higher in the LW4  
315 treatment than the LW9 ( $P < 0.05$ ). This suggests that emissions could be slightly different  
316 between week 4 and week 9 with (1→3)-β-D-glucan and fungi being more of an issue at  
317 week 4, and endotoxin may be more of an issue at week 9. This could have important  
318 implications for a missed bin collection that might be left for longer than four weeks.

319

320 **4. Discussion**

321           The process of breakdown of waste material begins when the material is deposited  
322 within a container ready for collection. The behaviour of organic and biodegradable  
323 materials within residual waste in storage containers are of particular interest when  
324 considering prolonged storage intervals. During this study, in the period of the trial when a  
325 fresh residual waste mix was regularly added simulating an extended waste collection,  
326 (weeks 1-4), the bins were dominated by bacterial growth from mesophilic bacteria.  
327 Although concentrations regularly exceeded the Environment Agency's precautionary 1000  
328 cfu m<sup>-3</sup> guideline in the bins, these measured concentrations do not necessarily equate to  
329 exposure and it should be noted all bioaerosols were sealed within the bin straight after waste  
330 deposition and concentrated to create a 'worst case scenario'. Searl & Crawford (2012)  
331 reported that adverse health effects in waste workers was linked to concentration exceeding  
332 10<sup>5</sup> cfu m<sup>-3</sup> of total viable bacteria. These higher concentrations were not reached in the bins  
333 in this part of the study, but were exceeded during 'tipping' which was intended to mimic an  
334 occupational activity linked to bin emptying. For the subsequent 'missed collection' (weeks  
335 5-8), bacterial levels in the bins stored at 7°C (BC & LC) became undetectable. However,  
336 bacteria in the warmer bins (BW & LW) took slightly longer to reduce to below the detection  
337 limit of the method. This domination by bacteria over fungi in the bins is seen in similar  
338 studies such as Choi et al., (1998) whom on investigating food waste and found early heavy  
339 colonisation by yeasts, with subsequent growth of thermophilic bacteria after 2 days, and also  
340 reported that the activity of fungi was not significant (related to 'in container' growth).  
341 Ryckeboer et al., (2003) also reported that bins of organic waste are first colonised by  
342 bacteria and Mayrhofer et al., (2006), using organic material within a small bin, also found  
343 that bacteria were one to three orders of magnitude higher than fungal colonies. Hence it is

344 concluded that bacteria are the main initial colonisers of residual material and in longer term  
345 storage beyond one week.

346 It is of interest that Gram-negative bacteria were not detected on the selective media,  
347 which contained mainly *Streptomyces* or *Staphylococcus* spp. Previous studies in containers,  
348 such as Ryckeboer *et al* (2003), did identify gram-negative bacteria, but most collection  
349 studies have concentrated on measuring endotoxin (Nielsen *et al.*, (2000), Lavoie *et al.*,  
350 (2002), Neumann *et al.*, (2002) and Wouters *et al.*, (2002)). Since endotoxin was detected in  
351 significant concentrations it is concluded that gram-negative bacteria were present, but were  
352 not culturable by this method. The possibilities are that they did not survive the sampling  
353 procedure or were outcompeted on the selective media by other species. One of the outcomes  
354 of this study is that measurement of gram-negative bacteria are recommended to be removed  
355 from the UK Protocol going forward as selective media are not reliable for reporting these  
356 microorganisms.

357 In terms of concentrations of endotoxin this increased and peaked during the ‘missed  
358 collection’ period at week 7, most likely because Gram-negative bacteria cells died after  
359 growth on material already added to the bins. Indeed, a negative correlation was recorded for  
360 endotoxin concentration and total mesophilic bacteria in both the treatments containing loose  
361 material ( $R = -0.64$ ,  $P < 0.001$  and  $R = -0.56$  &  $P < 0.001$  for LW & LC respectively). It is  
362 possible that lower oxygen levels, in addition to lack of fresh waste input, contributed to  
363 aerobic microorganisms dying out, thus releasing endotoxin. Endotoxin has previously been  
364 measured in waste containers, for example in the ‘percolate’ liquid from containers, (Nielsen  
365 *et al.*, 1998) which found no difference between one to two weeks of storage and none in the  
366 bioaerosol, this is perhaps not surprising as the differences in this study were only apparent  
367 after 4-6 weeks peaking at week 7. Endotoxin as a bioaerosol has more often been measured  
368 in waste collection. Nielsen *et al.*, (2000), Lavoie *et al.*, (2002), Neumann *et al.*, (2002) and

369 Wouters et al., (2002) all measured endotoxin in waste collections (of organic and mixed  
370 waste) and found concentrations ranging between 16-100 EU m<sup>-3</sup>, and hence some were  
371 above and others were below the 90 EU m<sup>-3</sup> suggested as an occupational exposure limit,  
372 (Health Council of the Netherlands, 2012). However, these collections were either weekly or  
373 fortnightly. There is a lack of studies on collectors who collect residual waste after a longer  
374 storage interval, which would be interesting after the results of this study.

375 It is also interesting to note that internal bin temperature did not vary much in either the  
376 warm (23 °C) or cold bins (7 °C), unlike Ryckeboer et al., (2003) who saw distinct heating  
377 and cooling phases in containers of organic waste during 30 days of storage. It is possible the  
378 organic content was not sufficient to generate heating within residual wastes, or that in this  
379 study the regulation of the ambient storage temperature prevented such variations. As a result  
380 conditions which might have enabled certain species of thermophilic fungi to thrive and take  
381 over from bacteria which has been seen in studies such as that by Ryckeboer et al., (2003) did  
382 not occur in this study and concentrations of fungi were not as prevalent as bacteria.

383 Temperature was measured in this study as previous research has shown higher  
384 concentrations of bioaerosols in waste collection during summer conditions (Nielsen et al.,  
385 2000, Lavoie et al., 2002). However, no strong statistical associations were seen with  
386 temperature in this study.

387 This study favoured the growth of *Aspergillus fumigatus* due to the use of the AfOR  
388 Protocol (2009) and hence the growth of fungi at 40°C. *A. fumigatus* causes invasive  
389 aspergillosis in immunocompromised people (Latge, 1999, O'Gorman, 2011) and is often  
390 found in high numbers in bioaerosols from composting sites (Gutarowska et al., 2015) and  
391 during organic or food waste collection (Nielsen et al., 1997, Nielsen et al., 2000). Indeed  
392 Poole & Wong (2013) recommend that garden waste collectors are screened for asthma and  
393 *Aspergillus* sensitivity, cystic fibrosis, bronchiectasis and immunodeficiency if *Aspergillus*

394 spp. cannot be controlled sufficiently. However, in this study *A. fumigatus* appeared only  
395 sporadically in significant numbers (above the limit of detection) in weeks three and four in  
396 BW & LW, and in LC material. In a review of the literature, Kuijer et al. (2010) reported  
397 general fungal concentrations emitted from waste collections in the range of  $5.9 \times 10^3$  and  $6.3$   
398  $\times 10^4$  cfu m<sup>-3</sup>. Combined *A. fumigatus* and other thermotolerant fungi counts were consistently  
399 lower than these figures within the bins. Eduard et al. (2012) proposed a guideline limit of  $1.0$   
400  $\times 10^5$  cfu m<sup>-3</sup> for spores, concentrations in this study were below this but it should be noted  
401 that the growth temperature in this study may not reflect the full range of fungi that may have  
402 grown at lower temperatures. It should also be noted that existing garden waste collections  
403 have shown to have higher concentrations of total fungi, and *A. fumigatus* in particular,  
404 (Nielsen et al., 1997) than those found in this study.

405         With regards to the reference guidelines on *A. fumigatus* suggested by the Environment  
406 Agency to protect the public downwind of composting facilities, counts in this study  
407 exceeded the reference guideline of 500 cfu m<sup>-3</sup> on only one occasion each for LC, BC and  
408 BW (week 3,  $2.79 \times 10^4$  cfu m<sup>-3</sup>; week 3,  $1.63 \times 10^3$  cfu m<sup>-3</sup>; and week 4,  $6.10 \times 10^2$  cfu m<sup>-3</sup>  
409 respectively), and twice for LW (week 3,  $5.33 \times 10^3$  cfu m<sup>-3</sup> & week 4,  $1.14 \times 10^3$  cfu m<sup>-3</sup>); and  
410 therefore shows that *A. fumigatus* counts were consistently below this within individual bins.  
411 It is possible that fungi, including *A. fumigatus*, were not becoming airborne in undisturbed  
412 waste due to the damp atmosphere within the bins. It is also possible however, that *A.*  
413 *fumigatus* is not the primary fungi of concern in material with mixed organic and residual  
414 waste, particularly if most households keep their green waste separate, or this may be a  
415 limitation of the growth temperature required by the AfOR Protocol (2009). Residual waste  
416 may have a differing emission profile from purely organic materials such as food and garden  
417 waste. Therefore, future studies should consider identifying a wider range of fungi to  
418 determine the community structure within the bins and the emissions emanating from them.

419           In terms of limitations to the study methodology it is important to note that the  
420 concentrations measured in this study were monitored directly from sealed bins. These  
421 concentrations should therefore be considered a ‘worst-case scenario’ from the mix generated  
422 in the laboratory but should also not be directly related to exposure, hence the results are  
423 considered illustrative. It should also be noted that temporary exposure from opening a non-  
424 sealed bin to throw out waste will not necessarily lead to the same level of exposure. It is  
425 noted that there is a lack of exposure-response data to determine the impact of different  
426 exposure times. However, based on available information, the concentrations of bacteria and  
427 fungi measured should not present an issue to the average healthy adult. Opening a lid outside  
428 could also lead to faster dispersal and dissipation of waste emissions, compared to the  
429 controlled conditions of the laboratory.

430           In summary, the primary issue for householders with extended four-weekly collections  
431 appears to be elevated airborne bacteria up to the four-week point, and elevated endotoxin  
432 thereafter should the extended collection be missed. This reinforces the importance of  
433 managing missed collections, such as those beyond four weeks. However, there was no  
434 significant difference in concentrations of mesophilic bacteria, *A. fumigatus*, other fungi,  
435 endotoxin and (1→3)-β-D-glucan ( $P > 0.05$  for all) in bins associated with fortnightly  
436 collections (weeks 2) and the extended four-weekly collection (week 4). The only two  
437 exceptions being a significantly higher concentration of *A. fumigatus* in LW at week 4 than  
438 week 2 ( $P < 0.05$ ), and a significantly higher concentration of (1→3)-β-D-glucan in BW in  
439 week 2 than week 4 ( $P < 0.05$ ). It is also important to note this study only examined  
440 bioaerosols, and handling of waste materials may lead to hand-to-mouth transmission, but  
441 this was not within the scope of this study and should be explored further in subsequent work.

442           For waste collectors, the movement and disturbance of waste material presents the  
443 greatest issues and concerns. ‘Tipping’ tests were designed to determine whether disturbance

444 may cause waste components to become airborne. Based on the concentrations measured, the  
445 study suggests there may be some risks associated with the potential inhalation of endotoxin  
446 during the 'disturbance' of bins that have been stored for up to eight weeks, i.e. a missed  
447 extended collections, with concentrations at week 4 peaking at 35 EU m<sup>-3</sup> and at week 9 at  
448 533 EU m<sup>-3</sup>. The potential risk of exposure to bioaerosols is an issue that waste collectors  
449 should have included in COSHH (Control of Substances Hazardous to Health) risk  
450 assessments that should be undertaken prior to collection of such wastes which should  
451 specifically mention the issues associated with bioaerosols and mitigation measures, e.g.  
452 handling measures and any protective equipment.

453

## 454 **5. Conclusions**

455         When introducing an extended collection programme, local authorities should provide  
456 advice for householders that will help to minimise exposure to emissions; for example, to  
457 start bagging waste in warmer conditions. Missed collections on an extended cycle should  
458 also be minimised as much as possible; the importance of frequency is demonstrated by  
459 endotoxin concentrations which increase after four weeks. As a range of microorganisms  
460 were found aerosolised from enclosed waste containers, it is recommended householders  
461 should also receive advice regarding hand-to-mouth transmission.

462         COSHH risk assessments need to be carried out for collectors of residual waste  
463 materials on extended collection cycles, for microorganisms and particularly endotoxin which  
464 has a known dose-response relationship. Because of the presence of endotoxin in significant  
465 concentrations within the waste and hence potential for aerosolisation during processing at a  
466 facility, it is also advised that waste operatives, including collectors and processing staff,  
467 undergo evidence-based worker health surveillance for early signs of occupational illness.

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